



NTPC Limited

(A Govt. of India Enterprises)
Corporate Centre

Ref. No.01:SEC:LA:4
Dated : 29.10.2020

General Manager Department of Corporate Services BSE Limited Floor 25, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001 Scrip Code: 532555	Manager Listing Department National Stock Exchange of India Limited "Exchange Plaza", Bandra-Kurla Complex, Bandra (E), Mumbai -400 051 Scrip Code: NTPC
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Sub.: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended 30.9.2020

Dear Sir/Madam,

Pursuant to Regulation 40 (10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate issued by M/s Manish Ghia & Associates, Company Secretaries for the half-year ended 30.9.2020 in compliance with Regulation 40 (9) of the above mentioned Regulations, for your information and record.

Thanking you,

Yours faithfully,

(Nandini Sarkar)
Company Secretary &
Compliance Officer

Encl: as above

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,
2015 FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020**

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **NTPC Limited** having its registered office at NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodi Road, New Delhi – 110003 maintained by **Alankit Assignments Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1st April, 2020 to 30th September, 2020**, for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **NTPC Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **30th September, 2020** the Company:

- a) was not required to deliver any share certificates as it has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies.



Place: Mumbai

Date: October 29, 2020

UDIN: F006252B001093525

**For Manish Ghia & Associates
Company Secretaries**

MANISH
LALITCHAN
DRA GHIA

Digitally signed by
MANISH
LALITCHANDRA GHIA
Date: 2020.10.29
13:42:24 +05'30'

**CS Manish L. Ghia
Partner**

M. No. FCS 6252, C.P. No. 3531

Note: We have not been able to carry out physical visit to RTA office for verification of data on account of various travel and other restrictions by authorities due to Covid-19 situation. The Report is as such based on inputs received via email from RTA and oral confirmation with RTA.