



एनटीपीसी लिमिटेड  
(भारत सरकार का उद्यम)

**NTPC Limited**  
(A Govt. of India Enterprise)

केन्द्रीय कार्यालय/ Corporate Centre

**Ref. No.01:SEC:LA:4**

**Dated: 29.4.2024**

<b>General Manager</b> Department of Corporate Services BSE Limited Floor 25, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001 Scrip Code: 532555	<b>Manager</b> Listing Department National Stock Exchange of India Limited "Exchange Plaza", Bandra-Kurla Complex, Bandra (E), Mumbai -400 051 Scrip Code: NTPC
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**Sub.: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended  
31.3.2024**

Dear Sir/Madam,

Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a Certificate issued by M/s A. K. Rastogi & Associates, Company Secretaries for the year ended 31.3.2024 in compliance with Regulation 40(9) of the above mentioned Regulations, for your information and record.

Thanking you,

Yours faithfully,

Ritu

Arora

Digitally signed  
by Ritu Arora  
Date: 2024.04.29  
17:59:22 +05'30'

**(Ritu Arora)**  
**Company Secretary &  
Compliance Officer**

Encl: as above

पंजीकृत कार्यालय : एनटीपीसी भवन, स्कोप काम्प्लेक्स, 7, इंस्टीट्यूशनल एरिया, लोधी रोड, नई दिल्ली - 110003  
कार्पोरेट पहचान नम्बर : L40101DL1975GOI007966, टेलीफोन नं. : 011-24387333 फैक्स नं. : 011-24361018, ईमेल: ntpccc@ntpc.co.in वेबसाइट: [www.ntpc.co.in](http://www.ntpc.co.in)

**Register Office:** NTPC Bhawan, SCOPE Complex, 7 Institutional Area, Lodi Road, New Delhi – 110003  
**Corporate Identification Number:** L40101DL1975GOI007966, **Telephone No.:** 011-24387333, **Fax No.:** 011-24361018, **E-Mail:** [ntpccc@ntpc.co.in](mailto:ntpccc@ntpc.co.in)  
**Website:** [www.ntpc.co.in](http://www.ntpc.co.in)



**A.K. RASTOGI &  
ASSOCIATES**  
Company Secretaries

R-13/69, RAJ NAGAR  
GHAZIABAD-201002  
UTTAR PRADESH  
Mob:9650990414  
[anilrastogi3609@gmail.com](mailto:anilrastogi3609@gmail.com),

**CERTIFICATE UNDER REGULATIONS 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2024**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined the relevant documents and papers relating to equity shares of **NTPC Limited** having its registered office at NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodhi Road, New Delhi - 110003 maintained and provided electronically by **Beetal Financial & Computer Services (P) Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to equity shares of the Company for the Financial year ended **31st March, 2024**, for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, to **NTPC Limited** and based on information provided by the Company/ RTA, we hereby certify that during the Financial Year ended on **31st March, 2024**:

- a) The Company had not received any request for registration of Transfer / Sub-division/ Exchange / endorsement of call / allotment money during the financial year ended 31st March, 2024.
- b) Shareholders' request in respect for Transmission / Consolidation / Renewal(Replacement)/ Transposition received during the above period were effected within stipulated time from the date of receipt.
- c) One request for issue of Duplicate shares was received; however the same could not be issued within stipulated time due to some technical reason.

**Place: Ghaziabad**  
**Date: 29.04.2024**

**For A. K. Rastogi & Associates**  
Company Secretaries

**ICSI Unique code No S2020UP724400**

**Anil Kumar Rastogi**

Digitally signed by Anil Kumar  
Rastogi  
Date: 2024.04.29 11:29:43 +05'30'

**(A.K. RASTOGI)**

**PROPRIETOR**

**FCS No 1748; CP No.:22973**

**UDIN F001748F000266023**