



एनटीपीसी लिमिटेड

(भारत सरकार का उद्यम)

NTPC Limited

(A Govt. of India Enterprise)

केन्द्रीय कार्यालय/ Corporate Centre

Ref No: 01: SEC :LA-4

Dated: 16.04.2018

Manager (Listing) National Stock Exchange of India limited "Exchange Plaza", Bandra-Kurla Complex Bandra (E) Mumbai -400 051	General Manager Bombay Stock Exchange Limited 1 st Floor, P. J. Towers, Dalal Street, Mumbai -400 001
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Sub: Compliance Certificate under Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended on 31.03.2018

Dear Sir,

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are sending herewith two Certificates, for the half-year ended on 31.03.2018 as per the following details, in compliance of Regulation 7(2) of SEBI Listing Regulations, 2015 for your information and records:

- (i) For the period from 01.10.2017 to 30.11.2017 duly signed by Karvy Computershare Private Limited (Share Transfer Agent till 30.11.2017) and the Compliance Officer of NTPC and
- (ii) For the period from 01.12.2017 to 31.03.2018 duly signed by Alankit Assignments Limited (Share Transfer Agent w.e.f. 01.12.2017) and the Compliance Officer of NTPC.

Thanking you,

Yours faithfully

(K.P. GUPTA)
ED (CS, Fin. & Law) &
Compliance Officer

Encl: as above

के पी गुप्ता / K. P. GUPTA

कार्यकारी निदेशक एवं कम्पनी सचिव
Executive Director & Company Secretary

एनटीपीसी लिमिटेड NTPC Limited

कोरपोरेट पहाचान नम्बर : L40101DL1975GOI007966, टेलीफोन नं.: 011-24387333, फैक्स नं.: 011-24361018, ईमेल: ntpcc@ntpc.co.in, वेबसाइट: www.ntpc.co.in

कोरपोरेट पहचान नम्बर : L40101DL1975GOI007966, टेलीफोन नं.: 011-24387333, फैक्स नं.: 011-24361018, ईमेल: ntpcc@ntpc.co.in

Registered Office : NTPC Bhawan, SCOPE Complex, 7 Institutional Area, Lodi Road, New Delhi - 110003

Corporate Identification Number : L40101DL1975GOI007966, Telephone No.: 011-24387333, Fax No.: 011-24361018, E-mail: ntpcc@ntpc.co.in

Website : www.ntpc.co.in

CERTIFICATE

In terms of Regulation 7(3) of Securities & Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015, we hereby **CERTIFY** that during the period from 1st October 2017 to 30th November 2017, all the activities in relation to both physical and electronic share transfer facility of NTPC Limited are maintained by Registrar & Share Transfer Agent viz. Karvy Computershare Private Limited who are registered with the Securities and Exchange Board of India under Registration No. INR000000221 as a Category under Registrar & Share Transfer Agent.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

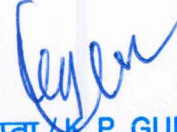
For Karvy Computershare Private Limited



Authorised Signatory

Place : Hyderabad

Date :12/04/2018



के० पी० गुप्ता / K. P. GUPTA

कार्यकारी निदेशक एवं कम्पनी सचिव

Executive Director & Company Secretary

एनटीपीसी लिमिटेड / NTPC Limited

कोर-7, चतुर्थ तल / Core-7, 4th Floor

स्कोप कॉम्प्लेक्स / SCOPE Complex

लोधी रोड, नई दिल्ली-3 / Lodi Road, New Delhi-3

**Karvy Computershare Private Limited**

Corporate office: "Karvy Selenium Tower B", Plot No. 31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad- 500 032, Telangana, INDIA. T: +91-40-67162222, 33211000. F: +91-40-23420814, e-mail: support@karvy.com

Registered Office: Karvy House, 46, Avenue 4, Street No. 1, Banjara Hills, Hyderabad - 500 034.
T: +91-40-2331-2454/2332-0751/752/251 | F: +91-40-2331-1968 | www.karvy.com | www.karvycomputershare.com | CIN: U72400TG2003PTC041636

Australia | Bahrain | Canada | Channel Islands | Germany | Hong Kong | Ireland | New Zealand | Philippines | South Africa | United Kingdom | USA



Health & Wealth
We Manage Both

Alankit ASSIGNMENTS LIMITED

COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, Alankit Assignments Limited (AAL) is providing Registry and Share Transfer services to NTPC LIMITED and having a valid agreement in the form of Memorandum of Understanding (MOU).

Further certified that that AAL;

- Hold and continues to hold requisite certificate as category I Registrar and share transfer Agent vide SEBI Registration No. INR000002532 issued by Securities Exchange Board of India.
- Handling all activities in relation to physical share transfer facility and maintaining related documents /correspondence for all the transactions in physical in respect of the company as per the regulations.
- Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7 (3) of the provisions of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.


For **ALANKIT ASSIGNMENTS LTD.**


J.K. SINGLA

(SR. MANAGER)

Place: New Delhi

Date: 09/04/2018


के० पी० गुप्ता / K. P. GUPTA
कार्यकारी निदेशक एवं कम्पनी सचिव
Executive Director & Company Secretary
एनटीपीसी लिमिटेड / NTPC Limited
कोर-7, चतुर्थ तल / Core-7, 4th Floor
स्कोप कॉम्प्लेक्स / SCOPE Complex
लोधी रोड, नई दिल्ली-3 / Lodi Road, New Delhi-3

SEBI Registration. Nos.

INB230881235, INF230881235, INE230881235, INB010881234, INF010881234, INE010881234, INE260881235, INB050881235, INP000001363, IN-DP-NSDL-14-96, IN-DP-CDSL-43-99

CIN : U74210DL1991PLC042569

Registered Office : 205-208, Anarkali Complex, Jhandewalan Extension, New Delhi - 110055

Corporate Office : Alankit House, 4E/2, Jhandewalan Extension, New Delhi -110055

Phone : +91-11-4254 1234 / 2354 1234 | Fax : +91-11-2355 2001 | Website : www.alankit.com | e-mail : info@alankit.com

26344



A Maharatna Company

एन टी पी सी लिमिटेड
(भारत सरकार का उद्यम)

NTPC Limited
(A Govt. of India Enterprise)

केन्द्रीय कार्यालय / Corporate Centre

Ref No: 01: SEC :LA-4
Dated: 12.10.2017

Manager (Listing) National Stock Exchange of India limited "Exchange Plaza", Bandra-Kurla Complex Bandra (E) Mumbai -400 051	General Manager Bombay Stock Exchange Limited 1 st Floor, P. J. Towers, Dalal Street, Mumbai -400 001
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Sub: Compliance Certificate under Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ending on 30.09.2017

Dear Sir,

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are sending herewith a Certificate, duly signed by Karvy Computershare Private Limited, Share Transfer Agent and the Compliance Officer of NTPC, for the half-year ending on 30.09.2017 in compliance of Regulation 7(2) of SEBI Listing Regulations, 2015 for your information and records.

Thanking you,

Yours faithfully

(K.P. GUPTA)

**Company Secretary &
Compliance Officer**

Encl: as above

पंजीकृत कार्यालय : एनटीपीसी भवन, स्कोप काम्प्लेक्स, 7, इंस्टीट्यूशनल एरिया, लोधी रोड, नई दिल्ली-110003

कार्पोरेट पहचान नम्बर: L40101DL1975GO1007966 टेलीफोन नं.: 011-24387333 फैक्स नं.: 011-24361018 ईमेल : ntpccc@ntpc.co.in वेबसाइट : www.ntpc.co.in

Registered Office : NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodhi Road, New Delhi-110003

Corporate Identification Number : L40101DL1975GO1007966 **Tel. :** 011-24387333 **Fax :** 011-24361018 **E-mail :** ntpccc@ntpc.co.in

Website : www.ntpc.co.in

COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, Karvy Computershare Pvt. Ltd (KCPL) is providing Registry and Share Transfer services to NTPC LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KCPL:

- Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For Karvy Computershare Private Limited



Authorised Signatory

Place : Hyderabad
Date : 11/10/2017

□



के० पी० गुप्ता / K. P. GUPTA

कार्यकारी निदेशक एवं कम्पनी सचिव

Executive Director & Company Secretary

एनटीपीसी लिमिटेड / NTPC Limited

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