

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



## Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L40101DL1975GOI007966

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACN0255D

(ii) (a) Name of the company

NTPC LIMITED

(b) Registered office address

NTPC BHAWAN, SCOPE COMPLEX, 7, INSTITUTIONAL AREA  
LODHI ROAD  
NEW DELHI  
Delhi  
110003

(c) \*e-mail ID of the company

csntpc@ntpc.co.in

(d) \*Telephone number with STD code

01124360100

(e) Website

www.ntpc.co.in

(iii) Date of Incorporation

07/11/1975

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Union Government Company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U74210DL1991PLC042569

Pre-fill

Name of the Registrar and Transfer Agent

M/s ALANKIT ASSIGNMENTS LIMITED

Registered office address of the Registrar and Transfer Agents

Alankit House, 4E/2, Jhandewalan Extension, New Delhi-110055

(vii) \*Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

24/09/2020

(b) Due date of AGM

30/09/2020

(c) Whether any extension for AGM granted

☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	D	Electricity, gas, steam and air condition supply	D1	Electric power generation, transmission and distribution	89.7

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 28

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	NTPC Electric Supply Company	U40108DL2002GOI116635	Subsidiary	100
2	NTPC Vidyut Vyapar Nigam Lin	U40108DL2002GOI117584	Subsidiary	100

3	Kanti Bijlee Utpadan Nigam Ltd	U40102DL2006GOI153167	Subsidiary	100
4	Bhartiya Rail Bijlee Co Limited	U40102DL2007GOI170661	Subsidiary	74
5	Patratu Vidyut Utpadan Nigam Ltd	U40300DL2015GOI286533	Subsidiary	74
6	Nabinagar Power Generating Co	U40104DL2008PLC183024	Subsidiary	100
7	NTPC Mining Limited	U10300DL2019GOI354454	Subsidiary	100
8	THDC India Limited	U45203UR1988GOI009822	Subsidiary	74.49
9	North Eastern Electric Power Co	U40101ML1976GOI001658	Subsidiary	100
10	Utility Powertech Limited	U45207MH1995PLC094719	Associate	50
11	NTPC - GE Power Services Pvt.	U74899DL1999PTC101702	Associate	50
12	NTPC- SAIL Power Company Ltd	U74899DL1999PLC098274	Associate	50
13	NTPC Tamil Nadu Energy Company	U40108DL2003PLC120487	Associate	50
14	Ratnagiri Gas and Power Pvt. Ltd	U40105DL2005PTC138458	Associate	25.51
15	Konkan LNG Limited	U11100DL2015PLC288147	Associate	14.82
16	Aravali Power Company Pvt. Ltd	U40105DL2006PTC156884	Associate	50
17	NTPC BHEL Power Projects Private	U40102DL2008PTC177307	Associate	50
18	Meja Urja Nigam Private Limited	U74900DL2008PTC176247	Associate	50
19	Transformers and Electricals Kerala	U31102KL1963SGC002043	Associate	44.6
20	National High Power Test Laboratory	U73100MP2009PTC047744	Associate	20
21	Energy Efficiency Services Limited	U40200DL2009PLC196789	Associate	47.15
22	CIL NTPC Urja Private Limited	U14105DL2010PTC202053	Associate	50
23	Anushakti Vidhyut Nigam Limited	U40300MH2011GOI212727	Associate	49
24	Hindustan Urvarak & Rasayan Ltd	U24100DL2016PLC358399	Associate	33.33
25	INTERNATIONAL COAL VENTURES	U10100DL2009PTC190448	Associate	0.11
26	Trincomalee Power Company Ltd		Associate	50
27	Bangladesh - India Friendship		Associate	50

28	BF-NTPC Energy Systems Limit	U40106DL2008PLC179793	Associate	49
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#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	10,000,000,000	9,894,557,280	9,894,557,280	9,894,557,280
Total amount of equity shares (in Rupees)	100,000,000,000	98,945,572,800	98,945,572,800	98,945,572,800

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY SHARES				
Number of equity shares	10,000,000,000	9,894,557,280	9,894,557,280	9,894,557,280
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	100,000,000,000	98,945,572,800	98,945,572,800	98,945,572,800

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)	0			

###### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

###### (d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
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<b>Equity shares</b>					
<b>At the beginning of the year</b>		9,894,557,280	98,945,572,800	98,945,572,800	
<b>Increase during the year</b>		0	0	0	0
i. Public Issues		0	0	0	0
ii. Rights issue		0	0	0	0
iii. Bonus issue		0	0	0	0
iv. Private Placement/ Preferential allotment		0	0	0	0
v. ESOPs		0	0	0	0
vi. Sweat equity shares allotted		0	0	0	0
vii. Conversion of Preference share		0	0	0	0
viii. Conversion of Debentures		0	0	0	0
ix. GDRs/ADRs		0	0	0	0
x. Others, specify		0	0	0	
Not applicable					
<b>Decrease during the year</b>		0	0	0	0
i. Buy-back of shares		0	0	0	0
ii. Shares forfeited		0	0	0	0
iii. Reduction of share capital		0	0	0	0
iv. Others, specify		0	0	0	
Not applicable					
<b>At the end of the year</b>		9,894,557,280	98,945,572,800	98,945,572,800	
<b>Preference shares</b>					
<b>At the beginning of the year</b>		0	0	0	
<b>Increase during the year</b>		0	0	0	0
i. Issues of shares		0	0	0	0
ii. Re-issue of forfeited shares		0	0	0	0
iii. Others, specify		0	0	0	
Not applicable					

<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
Not applicable				
<b>At the end of the year</b>	0	0	0	

**(ii) Details of stock split/consolidation during the year (for each class of shares)**

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☐ Nil

[Details being provided in a CD/Digital Media]

☒

Yes

☐

No

☐

Not Applicable

Separate sheet attached for details of transfers

☐

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		21/08/2019	
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	8,270,364,790	48.88	404,278,300,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			12,261,815,689.12
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			1,270,576,616,782.94
Deposit			0
<b>Total</b>			1,687,116,732,472.06

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	348,533,300,000	73,565,000,000	17,820,000,000	404,278,300,000
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)****(i) Turnover**

974,433,256,628.14

**(ii) Net worth of the Company**

1,129,809,615,426.68

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	5,048,097,508	51.02	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	5,048,097,508	51.02	0	0

**Total number of shareholders (promoters)**

1

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	171,387,725	1.73	0	
	(ii) Non-resident Indian (NRI)	6,467,237	0.07	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	9,600,994	0.1	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	213,509,977	2.16	0	
4.	Banks	1,129,080,697	11.41	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	1,253,083,529	12.66	0	
7.	Mutual funds	1,965,942,572	19.87	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	24,071,184	0.24	0	
10.	Others Clearing Members, Director	73,315,857	0.74	0	
	<b>Total</b>	4,846,459,772	48.98	0	0

**Total number of shareholders (other than promoters)**

605,243

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

605,244

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

566

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
LEGAL AND GENERAL	CITIBANK N.A. CUSTODY SERVICES			2,505,612	0.03
LEGAL AND GENERAL	CITIBANK N.A. CUSTODY SERVICES			8,716,312	0.09
CITIGROUP GLOBAL M	CITIBANK N.A. CUSTODY SERVICES			3,960,128	0.04
LOS ANGELES CITY EM	CITIBANK N.A. CUSTODY SERVICES			1,932,727	0.02
PACS-LIFE FUND	CITIBANK N.A. CUSTODY SERVICES			6,014,072	0.06
THE EMERGING MARK	CITIBANK N.A. CUSTODY SERVICES			1,458,107	0.01
EMERGING MARKETS C	CITIBANK N.A. CUSTODY SERVICES			5,878,601	0.06
MUNICIPAL EMPLOYE	CITIBANK N.A. CUSTODY SERVICES			6,534	0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
CREDIT SUISSE (SINGA	CITIBANK N.A. CUSTODY SERVICES			921	0
EMERGING MARKETS S	CITIBANK N.A. CUSTODY SERVICES			399,036	0
NORTHERN EMERGING	CITIBANK N.A. CUSTODY SERVICES			753,294	0.01
KUWAIT INVESTMENT	CITIBANK N.A. CUSTODY SERVICES			4,500,000	0.05
NORTHERN TRUST CO	CITIBANK N.A. CUSTODY SERVICES			124,024	0
COLONIAL FIRST STAT	CITIBANK N.A. CUSTODY SERVICES			161,758	0
PZENA VALUE GROUP	CITIBANK N.A. CUSTODY SERVICES			2,776,806	0.03
UTAH STATE RETIREM	CITIBANK N.A. CUSTODY SERVICES			347,034	0
T.A. WORLD EX U.S. CC	CITIBANK N.A. CUSTODY SERVICES			185,685	0
THE NOMURA TRUST /	CITIBANK N.A. CUSTODY SERVICES			1,921	0
THE NOMURA TRUST /	CITIBANK N.A. CUSTODY SERVICES			142,008	0
MIRAE ASSET INDIA SE	CITIBANK N.A. CUSTODY SERVICES			2,295,825	0.02
FUTURE FUND BOARD	CITIBANK N.A. CUSTODY SERVICES			3,157,528	0.03
FIDELITY INVESTMENT	CITIBANK N.A. CUSTODY SERVICES			25,661,307	0.26
LOCKHEED MARTIN CO	CITIBANK N.A. CUSTODY SERVICES			1,514,038	0.02
CITY OF LOS ANGELES	CITIBANK N.A. CUSTODY SERVICES			118,068	0
MIRAE ASSET INDIA SE	CITIBANK N.A. CUSTODY SERVICES			98,223	0

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
**(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	561,688	605,243
Debenture holders	632,952	612,380

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	2	0	2	0	0
<b>B. Non-Promoter</b>	7	9	5	7	0	0
(i) Non-Independent	7	0	5	0	0	0
(ii) Independent	0	9	0	7	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>7</b>	<b>11</b>	<b>5</b>	<b>9</b>	<b>0</b>	<b>0</b>

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

16
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**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Gurdeep Singh	00307037	Whole-time director	5,828	
Saptarshi Roy	03584600	Whole-time director	1,341	
Anand Kumar Gupta	07269906	Whole-time director	7,224	31/07/2020
Prakash Tiwari	08003157	Whole-time director	0	30/04/2020
Ashish Upadhyaya	06855349	Nominee director	0	
Anil Kumar Gautam	08293632	Whole-time director	12,370	
Vivek Kumar Dewangar	01377212	Nominee director	0	
Mahendra Pratap Singh	07937931	Director	0	07/09/2020

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Pradeep Kumar Deb	03424714	Director	0	07/09/2020
Shashi Shekhar	01747358	Director	829	07/09/2020
Vinod Kumar	00955992	Director	5,555	07/09/2020
Subhash Joshi	07946219	Director	0	07/09/2020
Kuzhupunnil Padmanab	08189583	Director	0	
Bhim Singh	08189580	Director	0	
Anil Kumar Gautam	AEHPG8290D	CFO	12,370	
Nandini Sarkar	APMPS9197R	Company Secretar	3,034	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

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Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Archana Agrawal	02105906	Nominee director	22/04/2019	Cessation
Seethapathy Chander	02336635	Director	12/06/2019	Cessation
Anurag Agarwal	01360908	Additional director	01/07/2019	Appointed as Government Nominee I
Anurag Agarwal	01360908	Additional director	12/07/2019	Cessation
Prasant Kumar Mohapa	07800722	Whole-time directo	31/07/2019	Cessation
Anil Kumar Gautam	AEHPG8290D	CFO	01/08/2019	Appointment
Sreekant Kandikuppa	06615674	Additional director	12/08/2019	Cessation
Anil Kumar Gautam	08293632	Additional director	18/10/2019	Appointed as Director (Finance)
Gauri Trivedi	06502788	Director	15/11/2019	Cessation
Susanta Kumar Roy	07940997	Whole-time directo	30/11/2019	Cessation
Sudhir Arya	AAAPA2077P	CFO	29/07/2019	Cessation
Saptarshi Roy	03584600	Whole-time directo	31/03/2020	Cessation
Ashish Upadhyaya	06855349	Additional director	22/01/2020	Appointed as Government Nominee I
Gauri Trivedi	06502788	Director	28/08/2019	Reappointment as Independent Direc

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	21/08/2019	577,743	3,044	54.51

### B. BOARD MEETINGS

\*Number of meetings held

12

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	03/05/2019	17	16	94.12
2	25/05/2019	17	14	82.35
3	01/07/2019	17	16	94.12
4	22/07/2019	16	14	87.5
5	10/08/2019	15	13	86.67
6	21/08/2019	14	14	100
7	21/09/2019	14	14	100
8	18/10/2019	15	13	86.67
9	09/11/2019	15	14	93.33
10	27/01/2020	14	12	85.71
11	07/02/2020	14	12	85.71
12	19/03/2020	14	8	57.14

### C. COMMITTEE MEETINGS

Number of meetings held

25

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	03/05/2019	4	4	100
2	Audit Committee	25/05/2019	4	3	75
3	Audit Committee	11/06/2019	4	4	100
4	Audit Committee	01/07/2019	3	3	100
5	Audit Committee	10/08/2019	3	3	100
6	Audit Committee	21/09/2019	3	3	100
7	Audit Committee	09/11/2019	3	3	100
8	Audit Committee	27/01/2020	4	3	75
9	Audit Committee	07/02/2020	4	4	100
10	Stakeholder R	25/05/2019	4	4	100

#### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	24/09/2020
								(Y/N/NA)
1	Gurdeep Singh	12	12	100	0	0	0	Yes
2	Saptarshi Roy	12	11	91.67	6	6	100	Not Applicable
3	Anand Kumar	12	11	91.67	0	0	0	Not Applicable
4	Prakash Tiwar	12	12	100	3	3	100	Not Applicable
5	Ashish Upadh	3	2	66.67	2	1	50	Yes
6	Anil Kumar Ga	5	5	100	1	1	100	Yes
7	Vivek Kumar D	12	10	83.33	1	1	100	Yes
8	Mahendra Pra	12	11	91.67	11	11	100	Not Applicable
9	Pradeep Kum	12	11	91.67	20	20	100	Not Applicable
10	Shashi Shekh	12	8	66.67	2	2	100	Not Applicable
11	Vinod Kumar	12	9	75	13	11	84.62	Not Applicable

12	Subhash Josh	12	11	91.67	2	2	100	Not Applicable
13	Kuzhupunnil F	12	9	75	0	0	0	Yes
14	Bhim Singh	12	11	91.67	1	1	100	Yes

#### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Gurdeep Singh	CMD/CEO	10,663,014	0	0	0	10,663,014
2	Anil Kumar Gautam	WTD/CFO	5,488,670	0	0	0	5,488,670
3	Saptarshi Roy	WTD	11,928,121	0	0	0	11,928,121
4	Anand Kumar Gupta	WTD	6,011,943	0	0	0	6,011,943
5	Susanta Kumar Roy	WTD	10,939,375	0	0	0	10,939,375
6	Prakash Tiwari	WTD	6,098,019	0	0	0	6,098,019
7	Prasant Kumar Moh	WTD	7,876,973	0	0	0	7,876,973
	Total		59,006,115	0	0	0	59,006,115

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Nandini Sarkar	Company Secre	5,208,873	0	0	0	5,208,873
2	Sudhir Arya	CFO	6,756,723	0	0	0	6,756,723
	Total		11,965,596	0	0	0	11,965,596

Number of other directors whose remuneration details to be entered

9

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Gauri Trivedi	Independent Dir	660,000	0	0	0	660,000
2	Seethapathy Chand	Independent Dir	170,000	0	0	0	170,000
3	M.P. Singh	Independent Dir	980,000	0	0	0	980,000
4	Pradeep Kumar Del	Independent Dir	1,520,000	0	0	0	1,520,000



S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
5	Shashi Shekhar	Independent Dir	650,000	0	0	0	650,000
6	Vinod Kumar	Independent Dir	930,000	0	0	0	930,000
7	Subhash Joshi	Independent Dir	740,000	0	0	0	740,000
8	K P Kylasanatha Pillai	Independent Dir	880,000	0	0	0	880,000
9	Bhim Singh	Independent Dir	800,000	0	0	0	800,000
	Total		7,330,000	0	0	0	7,330,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Amit Kaushal

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

6663

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

ANIL  
KUMAR  
GAUTAM  
Digitally signed by  
ANIL KUMAR  
GAUTAM  
Date: 2020.12.21  
15:20:34 +05'30'

DIN of the director

08293632

**To be digitally signed by**

NANDINI  
SARKAR  
Digitally signed by  
NANDINI SARKAR  
Date: 2020.12.21  
15:21:05 +05'30'

☒ Company Secretary

☐ Company secretary in practice

Membership number

4977

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

**Attach****Attach****Attach****Attach****List of attachments**

MGT-8\_NTPC\_2020\_Optimized.pdf  
Letter for MGT-7.pdf

**Remove attachment**

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**