



एनटीपीसी लिमिटेड

(भारत सरकार का उद्यम)

**NTPC Limited**

(A Govt. of India Enterprise)

केन्द्रीय कार्यालय/ Corporate Centre

Ref No: 01: SEC :LA-4

Dated: 16.10.2019

Manager (Listing) National Stock Exchange of India limited "Exchange Plaza", Bandra-Kurla Complex Bandra (E) Mumbai -400 051	General Manager Bombay Stock Exchange Limited 1 <sup>st</sup> Floor, P. J. Towers, Dalal Street, Mumbai -400 001
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**Sub: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended 30.09.2019**

Dear Sir,

Pursuant to Regulation 40 (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate issued by M/s. Manish Ghia & Associates, Company Secretaries for the half-year ended 30.09.2019 in compliance with Regulation 40 (9) of SEBI Listing Regulations, 2015 for your information and records.

Thanking you,

Yours faithfully,

*Nandini Sarkar*

(Nandini Sarkar)  
Company Secretary &  
Compliance Officer

*Ruchir*

Encl.: As above

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **NTPC Limited** having its registered office at NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodi Road, New Delhi - 110003 maintained by **Alankit Assignments Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019**, for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **NTPC Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **30<sup>th</sup> September, 2019** the Company:

- a) has issued Share certificate(s) relating to the Share Transfer form(s) received during the said half year (from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019**) as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment of each form(s) except those rejected on technical grounds;
- b) has issued Share certificate(s) relating to the requests received for consolidation of share certificates during the said half year (from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019**) within thirty days from the respective date of receipt of such request(s) except those rejected on technical grounds; and
- c) has not received any request for sub-division, renewal, exchange or endorsement of calls/ allotment monies.



Place : Mumbai  
Date : 16<sup>th</sup> October, 2019  
UDIN : F006252A000089280

For Manish Ghia & Associates  
Company Secretaries

A handwritten signature in blue ink, appearing to read "Manish L. Ghia".

**Manish L. Ghia**  
Partner

M. No. FCS 6252 C.P. No. 3531