एनटीपीसी लिमिटेड

(भारत सरकार का उद्यम)

NTPC Limited (A Govt. of India Enterprise)

केन्द्रीय कार्यालय/ Corporate Centre

Ref. No.01:SEC:LA:4 Dated : 27.4.2023

General Manager	Manager
Department of Corporate Services	Listing Department
BSE Limited	National Stock Exchange of India Limited
Floor 25, Phiroze Jeejeebhoy Towers,	"Exchange Plaza", Bandra-Kurla
Dalal Street,	Complex, Bandra (E),
Mumbai-400 001	Mumbai -400 051
Scrip Code: 532555	Scrip Code: NTPC

Sub.: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31.3.2023

Dear Sir/Madam,

Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a Certificate issued by M/s Manish Ghia & Associates, Company Secretaries for the year ended 31.3.2023 in compliance with Regulation 40(9) of the above mentioned Regulations, for your information and record.

Thanking you,

Yours faithfully,

(Aru'n Company Secretary & Compliance Officer

Encl: as above

पंजीकृत कार्यालय : एनटीपीसी भवन, स्कोप काम्पलेक्स, 7. इन्स्टीट्यूशनल एरिया, लोधी रोड़ नई दिल्ली—110003 कार्पोरेट पहचान नम्बर : L40101DL1975GO1007966, टेलीफोन नं.: 011-24387333, फैक्स नं.: 011-24361018, ईमेल: ntpccc@ntpc.co.in, वेबसाइट: www.ntpc.co.in

Registered Office : NTPC Bhawan, SCOPE Complex, 7 Institutional Area, Lodi Road, New Delhi-110003 Corporate Identification Number : L40101DL1975GOI007966, Telephone No.: 011-24387333, Fax No.: 011-24361018, E-mail : ntpccc@ntpc.co.in Website : www.ntpc.co.in

Manish Ghia & Associates

🖼 :+91 22 6902 5400

info@mgconsulfing.in

U :www.mgconsulting.in

CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31st MARCH, 2023

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COMPANY SECRETARIES

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to NTPC Limited having its registered office at NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodhi Road, New Delhi – 110003 maintained by Beetal Financial & Computer Services (P) Limited, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the year ended 31st March, 2023, for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, to NTPC Limited and based on information provided by the Company, we hereby certify that during the year ended on 31st March, 2023 the Company:

- a) has issued share certificate(s) or letter of confirmation wherever required, relating to request(s) for transmission, and consolidation received during the financial year ended 31st March, 2023 within stipulated time from the date of the receipt;
- b) has issued the share certificate which was lodged for correction of name, however such issue was done beyond 30 days; and
- c) was not required to deliver any share certificates or letter of confirmation as it has not received any request for transfer, sub-division, renewal/replacement, exchange or endorsement of calls / allotment monies.

For Manish Ghia & Associates Company Secretaries (Unique ID: P2006MH007100)

CS Mannish L. Ghia Partner M. No. FCS 6252, C.P. No. 3531 PR 822/2020

Place: Mumbai Date: April 27, 2023 UDIN: F006252E000208388

Regd. Office : 4. Chandon Niwas (Old), M.V. Road, Off Andheri Kurla Road, Opp. Vishai Hall, Andheri (E). Munibia-400069. Maharashta: India.