



**एनटीपीसी लिमिटेड**

(भारत सरकार का उद्यम)

**NTPC Limited**

(A Govt. of India Enterprise)

केन्द्रीय कार्यालय/ Corporate Centre

Ref. No.01:SEC:LA:4

Dated : 27.4.2023

<b>General Manager</b> Department of Corporate Services BSE Limited Floor 25, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001 Scrip Code: 532555	<b>Manager</b> Listing Department National Stock Exchange of India Limited "Exchange Plaza", Bandra-Kurla Complex, Bandra (E), Mumbai -400 051 Scrip Code: NTPC
--	---

**Sub.: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31.3.2023**

Dear Sir/Madam,

Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a Certificate issued by M/s Manish Ghia & Associates, Company Secretaries for the year ended 31.3.2023 in compliance with Regulation 40(9) of the above mentioned Regulations, for your information and record.

Thanking you,

Yours faithfully,

(Arun Kumar)

Company Secretary &  
Compliance Officer

Encl: as above

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD  
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2023**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to NTPC Limited having its registered office at NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodhi Road, New Delhi – 110003 maintained by Beetal Financial & Computer Services (P) Limited, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the year ended 31<sup>st</sup> March, 2023, for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, to NTPC Limited and based on information provided by the Company, we hereby certify that during the year ended on 31<sup>st</sup> March, 2023 the Company:

- a) has issued share certificate(s) or letter of confirmation wherever required, relating to request(s) for transmission, and consolidation received during the financial year ended 31<sup>st</sup> March, 2023 within stipulated time from the date of the receipt;
- b) has issued the share certificate which was lodged for correction of name, however such issue was done beyond 30 days; and
- c) was not required to deliver any share certificates or letter of confirmation as it has not received any request for transfer, sub-division, renewal/replacement, exchange or endorsement of calls / allotment monies.



For Manish Ghia & Associates  
Company Secretaries  
(Unique ID: P2006MH007100)

*Mannish L. Ghia*

CS Mannish L. Ghia  
Partner

M. No. FCS 6252, C.P. No. 3531  
PR 822/2020

Place: Mumbai  
Date: April 27, 2023  
UDIN: F006252E000208388