



A Maharatna Company

एन टी पी सी लिमिटेड
(भारत सरकार का उद्यम)

NTPC Limited
(A Govt. of India Enterprise)

केन्द्रीय कार्यालय / Corporate Centre

Ref No: 01: SEC :LA-4

Dated: 15.10.2018

Manager (Listing) National Stock Exchange of India limited "Exchange Plaza", Bandra-Kurla Complex Bandra (E) Mumbai -400 051	General Manager Bombay Stock Exchange Limited 1 st Floor, P. J. Towers, Dalal Street, Mumbai -400 001
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Sub: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended 30.09.2018

Dear Sir,

Pursuant to Regulation 40 (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate issued by M/s. Manish Ghia & Associates, Company Secretaries for the half-year ended 30.09.2018 in compliance with Regulation 40 (9) of SEBI Listing Regulations, 2015 for your information and records.

Thanking you,

Yours faithfully,

Nandini Sarkar

(Nandini Sarkar)
Company Secretary &
Compliance Officer

Encl.: As above

पंजीकृत कार्यालय : एनटीपीसी भवन, स्कोप कॉम्प्लेक्स, 7, इंस्टीट्यूशनल एरिया, लोधी रोड, नई दिल्ली-110003

कार्पोरेट पहचान नम्बर: L40101DL1975GO1007966 टेलीफोन नं.: 011-24387333 फेक्स नं.: 011-24361018 ईमेल : ntpccc@ntpc.co.in वेबसाइट : www.ntpc.co.in

Registered Office : NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodhi Road, New Delhi-110003

Corporate Identification Number : L40101DL1975GO1007966 Tel. : 011-24387333 Fax : 011-24361018 E-mail : ntpccc@ntpc.co.in
Website : www.ntpc.co.in

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 30th September, 2018**

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to NTPC Limited having its registered office at NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodi Road, New Delhi - 110003 maintained by Alankit Assignments Limited, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1st April, 2018 to 30th September, 2018 for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by NTPC Limited and based on information provided by the Company, we hereby certify that during the half year ended on 30th September, 2018 the Company:

- a) has issued Share certificate(s) relating to the Share Transfer form(s) received during the said half year (from 1st April, 2018 to 30th September, 2018) as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment of each form(s) except those rejected on technical grounds;
- b) has issued Share certificate(s) relating to the requests received for consolidation of share certificates during the said half year (from 1st April, 2018 to 30th September, 2018) within thirty days from the respective date of lodgment of request(s) except those rejected on technical grounds; and
- c) has not received any request for sub-division, renewal, exchange or endorsement of calls/ allotment monies.

For Manish Ghia & Associates
Company Secretaries



Manish L. Ghia

Manish L. Ghia
Partner

M. No. FCS 6252 C.P. No. 3531

Place : Hyderabad
Date : 15th October, 2018