Petition No.....



### SINGRAULI SUPER THERMAL POWER STATION

(5X200 MW+2X500 MW)

PETITION FOR APPROVAL OF TARIFF FOR THE PERIOD 01.04.2019 TO 31.03.2024

### BEFORE THE HON'BLE CENTRAL ELECTRICITY REGULATORY COMMISSION NEW DELHI

PETITION NO
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IN THE MATTER OF

Petition Under Section 62 and 79 (1) (a) of the Electricity Act, 2003 read with Chapter-V of the Central Electricity Regulatory Commission (Conduct of Business) Regulations, 1999 and Chapter-3, Regulation-9 of Central Electricity Regulatory Commission (Terms and Conditions of Tariff) Regulations, 2019 for approval of tariff of Singrauli Super Thermal Power Station (2000 MW) for the period from 01.04.2019 to 31.03.2024.

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### BEFORE THE HON'BLE CENTRAL ELECTRICITY REGULATORY COMMISSION NEW DELHI

PETITION NO.	
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IN THE MATTER OF

: Petition Under Section 62 and 79 (1) (a) of the Electricity Act, 2003 read with Chapter-V of the Central Electricity Regulatory Commission (Conduct of Business) Regulations, 1999 and Chapter-3, Regulation-9 of Central Electricity Regulatory Commission (Terms and Conditions of Tariff) Regulations, 2019 for approval of tariff of Singrauli Super Thermal Power Station (2000 MW) for the period from 01.04.2019 to 31.03.2024.

### AND IN THE MATTER OF

Petitioner:

: NTPC Ltd.

NTPC Bhawan

Core-7, Scope Complex

7, Institutional Area, Lodhi Road

New Delhi-110 003.

#### Respondents:

1 Uttar Pradesh Power Corp. Ltd. (UPPCL)
Shakti Bhawan
14, Ashok Marg,
Lucknow – 226 001.

- 2 Rajasthan Urja Vika Nigam Limited (RUVNL) (on behalf of DISCOMs of Rajasthan), Vidyut Bhawan, Janpath, Jaipur 302 005
- 3 Tata Power Delhi Distribution Ltd. Grid Substation, Hudson Road Kingsway Camp Delhi-110009.

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- 4 BSES Rajdhani Power Ltd., 2nd floor, B-Block BSES Bhawan, Nehru Place New Delhi-110019.
- 5 BSES Yamuna Power Ltd., Shakti Kiran Building Karkardooma Delhi-110092
- 6 Haryana Power Purchase Centre (HPPC) Shakti Bhawan, Sector – VI, Panchkula Haryana – 134 109
- 7 Punjab State Power Corporation Ltd. (PSPCL) The Mall Patiala – 147 001
- 8 Himachal Pradesh State Electricity Board Ltd. (HPSEB)
   Kumar Housing Complex Building-II
   Vidyut Bhawan
   Shimla – 171 004
- 9 Power Development Department (J&K) Govt. of J&K, Secretariat Srinagar
- 10 Electricity Department (Chandigarh) Union Territory of Chandigarh Addl. Office Building Sector-9 D, Chandigarh
- Uttarakhand Power Corporation Ltd. (UPCL)
   Urja Bhawan, Kanwali Road
   Dehradun 248 001
   Uttarakhand.

#### The Petitioner humbly states that:

1) The Petitioner herein NTPC Ltd. (hereinafter referred to as 'Petitioner' or 'NTPC'), is a company incorporated under provisions of the Company Act, 1956 and a Government

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Company as defined under Section 2(45)of the Companies Act, 2013. Further, NTPC is a 'Generating Company' as defined under Section 2(28) of the Electricity Act, 2003.

- In terms of Section 79(1)(a) of Electricity Act, 2003, the Hon'ble Commission has been vested with the functions to regulate the tariff of NTPC, being a Generating Company owned and controlled by the Central Government. The regulation of the tariff of NTPC is as provided under Section 79(1)(a) read with Section 61, 62 and 64 of the Electricity Act, 2003 and the Regulations notified by the Hon'ble Commission in exercise of powers under Section 178 read with Section 61 of the Electricity Act, 2003.
- The Petitioner is having power stations/ projects at different regions and places in the country. Singrauli Super Thermal Power Station (2000 MW) (hereinafter referred to as Singrauli STPS) is one such station located in the State of Uttar Pradesh (UP). The power generated from Singrauli STPS is being supplied to the respondents herein above.
- The Hon'ble Commission has notified the Central Electricity Regulatory Commission (Terms & Conditions of Tariff) Regulations, 2019 (hereinafter 'Tariff Regulations 2019') which came into force from 01.04.2019, specifying the terms & conditions and methodology of tariff determination for the period 01.04.2019 to 31.03.2024.
- 5) Regulation 9(2) of Tariff Regulations 2019 provides as follows:
  - "(2) In case of an existing generating station or unit thereof, or transmission system or element thereof, the application shall be made by the generating company or the transmission licensee, as the case may be, by 31.10.2019, based on admitted capital cost including additional capital expenditure already admitted and incurred up to 31.3.2019 (either based on actual or projected additional capital expenditure) and estimated additional capital expenditure for the respective years of the tariff period 2019-24 along with the true up petition for the period 2014-19 in accordance with the CERC (Terms and Conditions of Tariff) Regulations, 2014."

The date of filing of Tariff Petition for the period 2019-24 has subsequently been extended by Hon'ble Commission vide order dated 28.10.2019 in Petition No. 331/MP/2019.

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In terms of above, the Petitioner is filing the present petition for determination of tariff for Singrauli STPS for the period from 01.04.2019 to 31.03.2024 as per the Tariff Regulations 2019.

- The tariff of the Singrauli STPS for the tariff period 1.4.2014 to 31.3.2019 was determined by the Hon'ble Commission vide its order dated 28.07.2016 in Petition No. 290/GT/2014 in accordance with the CERC (Terms & Conditions of Tariff) Regulations 2014. The petitioner vide affidavit dated 03.01.2020 had filed a separate true up petition for the period 01.04.2014 to 31.03.2019 for revision of tariff in line with the applicable provisions of Tariff Regulations 2014.
- It is submitted that Hon'ble Commission vide order dated 28.07.2016 in Petition no 290/GT/2014 has allowed a capital cost of Rs 1247.4699 Cr as on 31.03.2019 based on the admitted projected capital expenditure for the 2014-19 period. However, the actual closing capital cost as on 31.03.2019 has been worked out in the foresaid true-up petition as Rs. 1229.8092 Cr based on the actual expenditure after truing up exercise for the period 2014-19. Accordingly, the Petitioner has adjusted an amount of Rs. (17.6607) Cr from the admitted capital cost as on 31.03.2019 and accordingly the opening capital cost as on 01.04.2019 has been considered as Rs 1229.8092 Cr. in the instant petition. The Hon'ble Commission may be pleased to accordingly adopt this adjustment in the admitted capital cost as on 31.3.2019 and determine the tariff in the present petition for the period 2019-24.
- 8) The capital cost claimed in the instant petition is based on the opening capital cost as on 01.04.2019 considered as above and projected estimated capital expenditures for the period 2019-24 under Regulation 19 and Regulation 26 of the Tariff Regulations, 2019.
- 9) The Petitioner further respectfully submits that as per Regulation 35(1)(6) of the Tariff Regulations 2019, the water charges, security expenses and capital spares consumed for

thermal generating stations are to be allowed separately. The details in respect of water charges such as type of cooling water system, water consumption, rate of water charges as applicable for 2019-20 have been furnished below. Accordingly, water charges may be allowed in tariff based on the same for the 2019-24. In accordance with provision of the Regulations, the petitioner shall be furnishing the details of actual for the relevant year at the time of truing up and the same shall be subject to retrospective adjustment.

Description	Remarks	
Type of Plant	Coal	
Type of cooling water system	Once Through Cooling	<u>.</u>
Consumption of Water	74.38 Cusec	
Rate of Water charges	295.543	
Total Water Charges	868.61 Lacs	

- Similarly, the Petitioner is claiming the security expenses based on the estimated expenses for the period 2019-24, the same shall be subject to retrospective adjustment based on actuals at the time of truing up. In respect of capital spares consumption, it is submitted that the same shall be claimed at the time of true-up in terms of the proviso to the Regulation 35 (1)(6) based on actual consumption of spares during the period 2019-24.
- It is submitted that the petitioner is in the process of installing the Emission Control Systems (ECS) in compliance of the Revised Emission Standards as notified by MOEF vide notification dated 07.12.2015 as amended. Completion of these schemes in compliance of revised emission norms will affect the station APC, Heat Rate, O&M expenses etc. In addition the availability of the unit/ station would be also effected due to shutdown of the units for installation of ECS. The petitioner would be filing the details of the same in a separate petition in terms of the Regulation 29 of Tariff Regulations 2019. The tariff of the instant petition would undergo changes consequent to the Order of the Hon'ble Commission in the said ECS petition.



- It is submitted that a notification dated 25.01.2016 has been issued by Government of India, Ministry of Environment, Forest & Climate Change (MOEFCC) under the statutory provisions of Environment (Protection) Act 1986. The said notification of MOEFCC prescribed bearing of the transportation cost of Fly Ash generated at power. In this regard, Petitioner filed a petition, being no. 172/MP/2016, before the Hon'ble Commission seeking reimbursement of the additional expenditure for Fly Ash transportation directly from the beneficiaries as the same was in the nature of statutory expense. Hon'ble Commission vide order dated 05.11.2018 disposed of the said petition and directed as follows:
  - "31. Accordingly, we in exercise of the regulatory power hold that the actual additional expenditure incurred by the Petitioner towards transportation of ash in terms of the MOEFCC Notification is admissible under "Change in Law" as additional O&M expenses. However, the admissibility of the claims is subject to prudence check of the following conditions on case to case basis for each station:
  - a) Award of fly ash transportation contract through a transparent competitive bidding procedure. Alternatively, the schedule rates of the respective State Governments, as applicable for transportation of fly ash.
  - b) Details of the actual additional expenditure incurred on Ash transportation after 25.1.2016, duly certified by auditors.
  - c) Details of the Revenue generated from sale of fly ash/fly ash products and the expenditure incurred towards Ash utilisation up to 25.1.2016 and from 25.1.2016 to till date, separately.
  - d) Revenue generated from fly Ash sales maintained in a separate account as per the MoEF notification.
  - 32. The Petitioner is granted liberty to approach the Commission at the time of revision of tariff of the generating stations based on truing –up exercise for the period 2014-19 in terms of Regulation 8 of the 2014 Tariff Regulations along with all details / information, duly certified by auditor."

The expenditure towards the ash transportation charges are recurring in nature. The Petitioner has been incurring ash transportation expenditure in some of its stations in the current tariff period also. In case the same is permitted to be recovered at the end of the tariff period 2019-24, there will be additional liability on the beneficiary on account of the interest payment for the period till the time the true-up petitions for the

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period 2019-24 is decided. To avoid the interest payment liability of the beneficiaries it is prayed that the petitioner may be allowed to recover/ pass on the ash transportation charges after adjusting the revenue earned from sale of ash at the end of each quarter of financial year subject to true-up at the end of the period.

- It is submitted that the Petitioner has already paid the requisite filing fee vide UTR No. CMS1106438370 on 22.04.2019 for the year 2019-20 and the details of the same have been duly furnished to the Hon'ble Commission vide our letter dtd. 25.04.2019. For the subsequent years, it shall be paid as per the provisions of the CERC (Payment of Fees) Regulations, 2012 as amended. Further Regulation 70 (1) of Tariff Regulations 2019 provides that the application fee and publication expenses may be allowed to be recovered directly from the beneficiaries at the discretion of the Hon'ble Commission. Accordingly, it is prayed that Hon'ble Commission may be pleased to allow recover filing fee and publication fee directly from the beneficiaries.
- 14) The petitioner has accordingly calculated the tariff for 2019-24 period based on the above and the same is enclosed as **Appendix-I** to this petition.
- 15) It is submitted the Petitioner has served the copy of the Petition on to the Respondents mentioned herein above and has posted the Petition on the company website i.e. www.ntpc.co.in
- 16) It is submitted that the petitioner is filing this tariff petition subject to the outcome of its various appeals/ petitions pending before different courts. Besides, the petitions filed by NTPC for determination of capital base as on 31.3.2014 through true-up exercise are pending before the Hon'ble Commission and would take some time. The Petitioner, therefore, reserves its right to amend the tariff petition as per the outcome in such appeals/ petitions, if required.

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#### **Prayers**

In the light of the above submissions, the Petitioner, therefore, prays that the Hon'ble Commission may be pleased to:

- i) Approve tariff of Singrauli STPS for the tariff period 01.04.2019 to 31.03.2024.
- ii) Allow the recovery of filing fees as & when paid to the Hon'ble Commission and publication expenses from the beneficiaries.
- iii) Allow reimbursement of Ash Transportation Charges directly from the beneficiaries quarterly on net basis.
- iv) Pass any other order as it may deem fit in the circumstances mentioned above.

# BEFORE THE CENTRAL ELECTRICITY REGULATORY COMMISSION NEW DELHI

PETITION NO:
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IN THE MATTER OF

Petition Under Section 62 and 79 (1) (a) of the Electricity Act, 2003 read with Chapter-V of the Central Electricity Regulatory Commission (Conduct of Business) Regulations, 1999 and Chapter-3, Regulation-9 of Central Electricity Regulatory Commission (Terms and Conditions of Tariff) Regulations, 2019 for approval of tariff of Singrauli Super Thermal Power Station for the period from 01.04.2019 to 31.03.2024.

AND

IN THE MATTER OF

Petitioner

NTPC Ltd.

NTPC Bhawan

Core-7, Scope Complex

7, Institutional Area, Lodhi Road

New Delhi-110 003

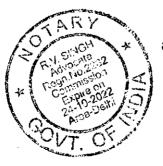
Respondent:

1. Uttar Pradesh Power Corp. Ltd. (UPPCL)

Shakti Bhawan,

14, Ashok Marg

Lucknow - 226 001.



and Others

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#### **AFFIDAVIT**

- I, E. Prabhakara Rao, son of Late Shri E. K. Rama Sharma aged about 52 years resident of D-311, Parsvnath Prestige, Sector-93A, Noida (UP) solemnly affirm and state as follows:
  - 1. That I am the Additional General Manager (Commercial) in Petitioner Corporation NTPC Ltd. and am well conversant with the facts of the case and am competent to swear the present affidavit.
  - 2. The statement made in the accompanying Petition for approval of tariff of Singrauli Super Thermal Power Station (2000 MW) for the period from 01.04.2014 to 31.03.2019 are based on the official records maintained during the ordinary course of business and believed by the deponent to be true.

#### Verification

I, the deponent above named, do hereby verify that the contents of the above affidavit are true to the best of my knowledge, no part of it is false and nothing material has been concealed there from.

Verified at New Delhi on this 24th January.2020

Notary Fiblic, OZLEI

Deponènt

### TARIFF FILING FORMS (THERMAL)

# FOR DETERMINATION OF TARIFF FOR

**Singrauli STPS** 

(From 01.04.2019 to 31.03.2024)

**PART-I** 

**ANNEXURE-I** 

### Checklist of Main Tariff Forms and other information for tariff filing for Thermal Stations

Form No.	Title of Tariff Filing Forms (Thermal)	Tick
FORM- 1	Summary of Tariff	<b>√</b>
FORM -1 (I)	Statement showing claimed capital cost	1
FORM -1 (II)	Statement showing Return on Equity	<b>/</b>
FORM-2	Plant Characteristics	1
FORM-3	Normative parameters considered for tariff computations	<b>-</b>
FORM-3A**	Statement showing O&M Expenses	✓.
FORM-3B**	Statement of Special Allowance	<u> </u>
FORM- 4	Details of Foreign loans	NA NA
FORM- 4A	Details of Foreign Equity	NA.
FORM-5	Abstract of Admitted Capital Cost for the existing Projects	
FORM-5A**	Abstract of Claimed Capital Cost for the existing Projects	
FORM- 6	Financial Package upto COD	NA NA
FORM- 7	Details of Project Specific Loans	<del></del>
FORM- 8	Details of Allocation of corporate loans to various projects	NA V
FORM-9A**	Summary of Statement of Additional Capitalisation claimed during the period	· /
FORM-9 ##	Statement of Additional Capitalisation after COD	
FORM- 10	Financing of Additional Capitalisation	<del>-   `, -</del>
FORM- 11	Calculation of Depreciation on original project cost	NA NA
FORM- 12	Statement of Depreciation	
FORM- 13	Calculation of Weighted Average Rate of Interest on Actual Loans	
ORM- 14	Draw Down Schedule for Calculation of IDC & Financing Charges	NA NA
ORM- 15	Details of Fuel for Computation of Energy Charges	- NA
ORM- 15A	Details of Seconday Fuel for Computation of Energy Charges	- <del> </del>
ORM- 15B	Computation of Energy Charges	<del>-</del>
ORM- 16	Details of Limestone for Computation of Energy Charge Rate	
ORM-17	Details of Capital Spares	NA ***
ORM- 18	Non-Tariff Income	***
ORM-19	Details of Water Charges	***
ORM-20	Details of Statutory Charges	***

## Provided yearwise for the period 2019-24

### <u>List of Supporting Forms / documents for tariff filing for Thermal Stations</u>

Form No.	Title of Tariff Filing Forms (Thermal)	Tick
FORM-A	Abstract of Capital Cost Estimates	
FORM-B	Break-up of Capital Cost for Coal/Lignite based projects	NA NA
FORM-C	Break-up of Capital Cost for Gas/Liquid fuel based Projects	NA NA
ORM-D	Break-up of Construction/Supply/Service packages	NA
ORM-E	Details of variables, parameters, optional package etc. for New Project	NA NA
ORM-F	Details of cost over run	NA NA
ORM-G	Details of time over run	NA
ORM -H	Statement of Additional Capitalisation during end of the useful life	NA
ORM -I	Details of Assets De-capitalised during the period	NA
ORM –J	Reconciliation of Capitalisation claimed vis-à-vis books of accounts	***
ORM -K	Statement showing details of items/seast-/	***
ORM-L	Statement showing details of items/assets/works claimed under Exclusions Statement of Capital cost	***
ORM-M	Statement of Capital Woks in Progress	***
ORM-N	Colombrian of Landau Works in Progress	***
	Calculation of Interest on Normative Loan	<b>√</b>
ORM-O	Calculation of Interest on Working Capital	1
ORM-P	Incidental Expenditure up to SCOD and up to Actual COD	
ORM-Q	Expenditure under different packages up to SCOD and up to Actual COD	NA NA
ORM-R	Actual cash expenditure	NA NA
ORM-S	Statement of Liability flow	NA
ORM-T	Summary of issues involved in the petition	***
Additional E		<b>/</b>

\*\* Additional Forms

\*\*\* Shall be provided at the time of true up

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PART-I

#### List of supporting documents for tariff filing for Thermal Stations

S. No.	Information / Document	Tick			
1	Certificate of incorporation, Certificate for Commencement of Business, Memorandum of Association, & Articles of Association ( For New Station setup by a company making tariff application for the first time to CERC)	NA			
	A. Station wise and Corporate audited Balance Sheet and Profit & Loss Accounts with all the Schedules & annexures on COD of the Station for the new station & for the relevant years.				
2	B. Station wise and Corporate audited Balance Sheet and Profit & Loss Accounts with all the Schedules & annexures for the existing station for relevant years.	***			
3	Copies of relevant loan Agreements	NA			
4	Copies of the approval of Competent Authority for the Capital Cost and Financial package.	NA			
5	Copies of the Equity participation agreements and necessary approval for the foreign equity.	NA			
6	Copies of the BPSA/PPA with the beneficiaries, if any	NA			
	Detailed note giving reasons of cost and time over run, if applicable.				
	List of supporting documents to be submitted:				
	a. Detailed Project Report				
7	b. CPM Analysis				
	c. PERT Chart and Bar Chart				
	d. Justification for cost and time Overrun	i			
8	Generating Company shall submit copy of Cost Audit Report along with cost accounting records, cost details, statements, schedules etc. for the Generating Unit wise /stage wise/Station wise/ and subsequently consolidated at Company level as submitted to the Govt. of India for first two years i.e. 2019-20 and 2020-21 at the time of mid-term true-up in 2021-22 and for balance period of tariff period 2019-24 at the time of final true-up in 2024-25. In case of initial tariff filing the latest available Cost Audit Report should be furnished.	ЯVA			
9	Any other relevant information, (Please specify)	NA			
	Reconciliation with Balance sheet of any actual additional capitalization and amongst stages of a generating station	***			
11	BBMB is maintaining the records as per the relevant applicable Acts. Formats specified herein may not be suitable to the available information with BBMB. BBMB may modify the formats suitably as per available information to them for submission of required information for tariff purpose.	NA			

<sup>\*\*\*</sup> Shall be provided at the time of true up



		Su	Summary of Tariff	ariff				PART-I FORM- 1
	Name of the Petitioner:	NTPC Limited	ted					
	Name of the Generating Station:	Singrauli STPS	PS					
	Place (Region/District/State):	Northern Re	Northern Region/ Sonebhadra/ Uttar Pradesh	Ira/ Uttar Pra	lesh			
							Amount	Amount in Rs. Lakhs
S. No.	Particulars	Unit	Existing 2018-19	2019-20	2020-21	2021-22	2022-23	2023-24
-	2	3	4	22	9	7	<b>∞</b>	6
= :	Depreciation	Rs Lakh	55.64	64.46	193.98	336.23	376.99	397 37
1.7	Interest on Loan	Rs Lakh	865.69	884.98	1,017.65	1.153.44	1.170.15	1 161 40
1.3	Return on Equity	Rs Lakh	11,821.51	6,937.65	7,075.87	7,227.67	7.271.17	7 292 91
<u>-</u>  ;	Interest on Working Capital	Rs Lakh	10,482.62	8,674.31	8,800.66	8,929.44	9.054.56	9 191 02
<u> </u>	O&M Expenses	Rs Lakh	59,516.77	60423.61	62782.61	65241.61	67726.61	70529 61
9	Special Allowance (If applicable)	Rs Lakh	17,543.23	19000.00	19000.00	19000.00	19000,00	19000,00
	Total	Rs Lakh	100285.45	95985.02	98870.77	101888 38	104500 47	107572 40
2.1	Landed Fuel Cost (coal/gas/RLNG/ liquid)	Rs/Ton			70000		12:272:41	10/2/2:40
	(%) of Fuel Quantity	(%)			70007	<del>-</del>		
2.2	Landed Fuel Cost Imported Coal	,			-			i
	(%) of Fuel Quantity							
2.3	Landed Fuel Cost (coal/gas/RLNG/liquid) other than FSA	Rs/Ton						
	(%) of Fuel Quantity	(%)			NA			
2.4	Landed Fuel Cost Imported Coal other than FSA.							
	(%) of Fuel Quantity							
2.5	Secondary fuel oil cost	Rs/Unit			0.003	3		
	Energy Charge Rate ex-bus (Paise/kWh) 2A, 2B, 2C, 2D	Rs/Unit			1.404	4		
								,
								Petitioner

			· · · · · ·			PART-I
<del>                                     </del>	Name of the Petitioner:	NTPC Limit				FORM- 1(I
	Name of the Generating Station:					<u>.                                      </u>
	Name of the Generating Station:	Singrauli ST	rs			
	Statement showing	r claimed can	ital cost . (A	(+ <u>D)</u>	<u>Amoun</u>	t in Rs. <u>L</u> akh
S. No.	Particulars	2019-20	2020-21	2021-22	2022-23	2023-24
1	2	3	4	5	6	7
1	Opening Capital Cost	1,22,980.92	1,23,270.92	1,27,886.92	1,28,658.92	1,29,430.92
2	Add: Addition during the year/period	290.00	4,616.00	772.00	772.00	1,29,430.92
3	Less: De-capitalisation during the year/period	250.00	4,010.00	//2.00	772.00	
4	Less: Reversal during the year / period	<del>                                     </del>				
5	Add: Discharges during the year/ period	<del>                                     </del>		•		
6	Closing Capital Cost	1,23,270.92	1,27,886.92	1,28,658.92	1,29,430.92	1 20 420 02
7	Average Capital Cost	1,23,125.92	1,25,578.92	1,28,272.92	1,29,430.92	1,29,430.92
		1,25,125,72	1,23,370.72	1,20,212.92	1,29,044.92	1,29,430.92
	Statement showing claimed capi	tal cost eligib	le for RoE a	t normal rai	te (A)	
S. No.	Particulars	2019-20	2020-21	2021-22	2022-23	2023-24
1	2	3	4	5	6	7
1	Opening Capital Cost	122000 02	122270.02			
1	Opening Capital Cost	122980.92	123270.92	127886.92	128658.92	129430.92
2	Add: Addition during the year / period	290.00	4616.00	772.00	128658.92 772.00	
		<del>                                     </del>				0.00
2	Add: Addition during the year / period	290.00	4616.00	772.00	772.00 0.00	0.00
3	Add: Addition during the year / period  Less: De-capitalisation during the year / period	290.00 0.00	4616.00 0.00	772.00 0.00	772.00	0.00 0.00 0.00
2 3 4	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period	290.00 0.00 0.00	4616.00 0.00 0.00	772.00 0.00 0.00	772.00 0.00 0.00	0.00 0.00 0.00 0.00
2 3 4 5	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period	290.00 0.00 0.00 0.00	4616.00 0.00 0.00 0.00	772.00 0.00 0.00 0.00	772.00 0.00 0.00 0.00 129430.92	0.00 0.00 0.00 0.00 129430.92
2 3 4 5 6	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period  Closing Capital Cost  Average Capital Cost	290.00 0.00 0.00 0.00 123270.92 123125.92	4616.00 0.00 0.00 0.00 127886.92 125578.92	772.00 0.00 0.00 0.00 128658.92 128272.92	772.00 0.00 0.00 0.00 129430.92 129044.92	0.00 0.00 0.00 0.00 129430.92
2 3 4 5 6	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period  Closing Capital Cost	290.00 0.00 0.00 0.00 123270.92 123125.92	4616.00 0.00 0.00 0.00 127886.92 125578.92	772.00 0.00 0.00 0.00 128658.92 128272.92	772.00 0.00 0.00 0.00 129430.92 129044.92	0.00 0.00 0.00 0.00 129430.92
2 3 4 5 6 7	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period  Closing Capital Cost  Average Capital Cost  Statement showing claimed capital cost el  on actual	290.00 0.00 0.00 0.00 123270.92 123125.92	4616.00 0.00 0.00 0.00 127886.92 125578.92 E at weighte	772.00 0.00 0.00 0.00 128658.92 128272.92	772.00 0.00 0.00 0.00 129430.92 129044.92	129430.92 0.00 0.00 0.00 0.00 129430.92 129430.92
2 3 4 5 6 7	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period  Closing Capital Cost  Average Capital Cost  Statement showing claimed capital cost el  on actual  Particulars	290.00 0.00 0.00 0.00 123270.92 123125.92 igible for Ro	4616.00 0.00 0.00 0.00 127886.92 125578.92 E at weighte	772.00 0.00 0.00 0.00 128658.92 128272.92	772.00 0.00 0.00 0.00 129430.92 129044.92	0.00 0.00 0.00 0.00 129430.92
2 3 4 5 6 7	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period  Closing Capital Cost  Average Capital Cost  Statement showing claimed capital cost el  on actual  Particulars  2	290.00 0.00 0.00 0.00 123270.92 123125.92 igible for Ro loan portfol 2019-20 3	4616.00 0.00 0.00 0.00 127886.92 125578.92 E at weighte io (B) 2020-21	772.00 0.00 0.00 0.00 128658.92 128272.92 d average rate of the second se	772.00 0.00 0.00 0.00 129430.92 129044.92	0.00 0.00 0.00 0.00 129430.92 129430.92
2 3 4 5 6 7	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period  Closing Capital Cost  Average Capital Cost  Statement showing claimed capital cost el	290.00 0.00 0.00 0.00 123270.92 123125.92 igible for Ro loan portfol 2019-20 3 0.00	4616.00 0.00 0.00 0.00 127886.92 125578.92 E at weighte io (B) 2020-21 4 0.00	772.00 0.00 0.00 0.00 128658.92 128272.92 d average rate of the control of	772.00 0.00 0.00 0.00 129430.92 129044.92 ate of interes 2022-23 6 0.00	0.00 0.00 0.00 0.00 129430.92 129430.92 st 2023-24 7
2 3 4 5 6 7	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period  Closing Capital Cost  Average Capital Cost  Statement showing claimed capital cost el  On actual  Particulars  2  Opening Capital Cost  Add: Addition during the year / period	290.00 0.00 0.00 123270.92 123125.92 igible for Roloan portfol 2019-20 3 0.00 0.00	4616.00 0.00 0.00 0.00 127886.92 125578.92  E at weighte io (B) 2020-21 4 0.00 0.00	772.00 0.00 0.00 0.00 128658.92 128272.92 d average rate of the second of the se	772.00 0.00 0.00 0.00 129430.92 129044.92 ate of interes 2022-23 6 0.00 0.00	0.00 0.00 0.00 129430.92 129430.92  12903-24 7 0.00 0.00
2 3 4 5 6 7 7 . No. 1 1 2 3	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period  Closing Capital Cost  Average Capital Cost  Statement showing claimed capital cost el  On actual  Particulars  2  Opening Capital Cost  Add: Addition during the year / period  Less: De-capitalisation during the year / period	290.00 0.00 0.00 0.00 123270.92 123125.92 igible for Ro loan portfol 2019-20 3 0.00 0.00 0.00	4616.00 0.00 0.00 0.00 127886.92 125578.92  E at weighte io (B) 2020-21 4 0.00 0.00 0.00	772.00 0.00 0.00 0.00 128658.92 128272.92 d average rate of the second of the se	772.00 0.00 0.00 0.00 129430.92 129044.92  ate of interes  2022-23 6 0.00 0.00 0.00	0.00 0.00 0.00 0.00 129430.92 129430.92  129430.92  100 0.00 0.00
2 3 4 5 6 7	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period  Closing Capital Cost  Average Capital Cost  Statement showing claimed capital cost el  on actual  Particulars  2  Opening Capital Cost  Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period	290.00 0.00 0.00 123270.92 123125.92 igible for Ro loan portfol 2019-20 3 0.00 0.00 0.00 0.00	4616.00 0.00 0.00 0.00 127886.92 125578.92  E at weighte io (B) 2020-21 4 0.00 0.00 0.00 0.00	772.00 0.00 0.00 0.00 128658.92 128272.92 d average ra 2021-22 5 0.00 0.00 0.00 0.00	772.00 0.00 0.00 0.00 129430.92 129044.92 ate of interes  0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 129430.92 129430.92 17 0.00 0.00 0.00 0.00
2 3 4 5 6 7 3. No.	Add: Addition during the year / period  Less: De-capitalisation during the year / period  Less: Reversal during the year / period  Add: Discharges during the year / period  Closing Capital Cost  Average Capital Cost  Statement showing claimed capital cost el  On actual  Particulars  2  Opening Capital Cost  Add: Addition during the year / period  Less: De-capitalisation during the year / period	290.00 0.00 0.00 0.00 123270.92 123125.92 igible for Ro loan portfol 2019-20 3 0.00 0.00 0.00	4616.00 0.00 0.00 0.00 127886.92 125578.92  E at weighte io (B) 2020-21 4 0.00 0.00 0.00	772.00 0.00 0.00 0.00 128658.92 128272.92 d average rate of the second of the se	772.00 0.00 0.00 0.00 129430.92 129044.92  ate of interes  2022-23 6 0.00 0.00 0.00	0.00 0.00 0.00 0.00 129430.92 129430.92  129430.92  100 0.00 0.00

		•		_	FC	PART-I PRM- 1(IIA)
	Name of the Petitioner:	NTPC Limite	ed			1(21.1)
	Name of the Generating Station:	Singrauli ST	PS		_	
	Statement showing Return o	n Equity at No	ormal Rate			
			-		Amount	in Rs. Lakhs
S. No.	Particulars	2019-20	2020-21	2021-22	2022-23	2023-24
1	2	3	4	5	6	7
	Return on Equity					
1	Gross Opening Equity (Normal)	59,683.92	59,770.92	61,155.72	61,387.32	61618.92349
2	Less: Adjustment in Opening Equity	22,789.65				
3	Adjustment during the year		22789.65	22789.65	22789.65	22789.65
4	Net Opening Equity (Normal)	36,894.28	36,981.28	38,366.08	38,597.68	38,829.28
5	Add: Increase in equity due to addition during the year / period	87.00	1384.80	231.60	231.60	0.00
7	Less: Decrease due to De-capitalisation during the year / period	0.00	0.00	0.00	0.00	0.00
8	Less: Decrease due to reversal during the year / period	0.00	0.00	0.00	0.00	0.00
9	Add: Increase due to discharges during the year / period	0.00	0.00	0.00	0.00	0.00
10	Net closing Equity (Normal)	36,981.28	38,366.08	38,597.68	38,829.28	38,829.28
11	Average Equity (Normal)	36,937.78	37,673.68	38,481.88	38,713.48	38,829.28
12	Rate of ROE (%)	18.782	18.782	18.782	18.782	18.782
13	Total ROE	6,937.65	7,075.87	7,227.67	7,271.17	7,292.91

					FC	PART-I DRM- 1(IIB)
	Name of the Petitioner:	NTPC Limite	:d			711.71
	Name of the Generating Station:	Singrauli STI	PS			
	Statement showing Return on Equity a	t Weighted A	verage Rate	of Interest		
					Amount	in Rs. Lakhs
S. No.	Particulars Particulars	2019-20	2020-21	2021-22	2022-23	2023-24
1	2	3	4	5	6	7
	Return on Equity (beyond the original scope of work excluding	additional capit	alization due	to Change in	Law)	
1	Gross Opening Equity (Normal)	0.00	0.00	0.00	0.00	0.00
2	Less: Adjustment in Opening Equity	0.00	0.00	0.00	0.00	0.00
3	Adjustment during the year	0.00	0.00	0.00	0.00	0.00
4	Net Opening Equity (Normal)	0.00	0.00	0.00	0.00	0.00
5	Add: Increase in equity due to addition during the year / period	0.00	0.00	0.00	0.00	0.00
7	Less: Decrease due to De-capitalisation during the year / period	0.00	0.00	0.00	0.00	0.00
8	Less: Decrease due to reversal during the year / period	0.00	0.00	0.00	0.00	0.00
9 -	Add: Increase due to discharges during the year / period	0.00	0.00	0.00	0.00	0.00
10	Net closing Equity (Normal)	0.00	0.00	0.00	0.00	0.00
11	Average Equity (Normal)	0.00	0.00	0.00	0.00	0.00
12	Rate of ROE (%)	10.056	10.065	10.075	10.088	10.097
13	Total ROE	0.00	0.00	0.00	0.00	0.00

Petitione

PART-I FORM- 3

Normative parameters considered for tariff computations	<b>Normative</b>	parameters	considered	for	tariff computations
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	parameters		TOF CAPITI	computati	<u>ons</u>		
Name of the Petitioner:	NTPC Limit						
Name of the Generating Station:	Singrauli ST	PS	<del>-</del>				
<u> </u>						(Year En	ding March
Particulars	Unit	Existing 2018-19	2019-20	2020-21	2021-22	2022-23	2023-24
1	2	3	4	5	6	7	8
Base Rate of Return on Equity \$\$	%	15.50	15.50	15.50	15.50	15.50	15.50
Base Rate of Return on Equity on Add. Capitalization** \$\$	%	•	8.299	8.306	8.315	8.325	8.333
Effective Tax Rate	%	21.5488	17.4720	17.4720	17.4720	17.4720	17.4720
Target Availability	%	85.00	85.00				
In High Demand Season	%	<u>-</u>		85.00	85.00	85.00	85.00
Peak Hours	%	-	-	85.00	85.00	85.00	85.00
Off-Peak Hours	%	-	-	85.00	85.00	85.00	85.00
In Low Demand Season(Off-Peak)	%	-	-	85.00	85.00	85.00	85.00
Peak Hours	%	-	-	85.00	85.00	85.00	85.00
Off-Peak Hours	%		-	85.00	85.00	85.00	85.00
Auxiliary Energy Consumption	%	6.88	7.13	7.13	7.13	7.13	7.13
Gross Station Heat Rate	kCal/kWh	2412.50	2410.00	2410.00	2410.00	2410.00	2410.00
Specific Fuel Oil Consumption	ml/kWh	0.50	0.50	0.50	0.50	0.50	0.50
Cost of Coal/Lignite for WC1	in Days	45	40	40	40	40	40
Cost of Main Secondary Fuel Oil for WC1	in Months	2	2	2	2	2	2
Fuel Cost for WC2	in Months						
Liquid Fuel Stock for WC2	in Months						
O&M Expenses	Rs lakh/MW	0	27.735	28.71	29.715	30,765	31.84
Maintenance Spares for WC	% of O&M	20.00	20.00	20.00	20.00	20.00	20.00
Receivables for WC	in Days	60	45	45	45	45	45
Storage capacity of Primary fuel	Lakh MT	8.4			8.4		
SBI 1 Year MCLR plus 350 basis point3	%	13.50	12.05	12.05	12.05	12.05	12.05
Blending ratio of domestic coal/imported coal							
* Data of Datum on Add and beautiful							



<sup>\*\*</sup> Rate of Return on Add - cap beyong original scope and excluding Change in Law
\$\$ Additional RoE due to better ramp rate would be claimed at the time of true-up or as per guidelines to be issued

Part-I FORM-3A ADDITIONAL FORM

Name	of the Company :	NTPC Limit	ted			<del></del> -			
Name	of the Power Station:	Singrauli STPS							
					Amount in Rs.				
S.No.	Particulars	2019-20	2020-21	2021-22	2022-23	2023-24			
1	2	3	4	5	7	8			
1	O&M expenses under Reg.35(1)				_				
la	Normative	55470.00	57420.00	59430.00	61530.00	63680.00			
2	O&M expenses under Reg.35(6)				0.00	05000.00			
2a	Water Charges	868.61	868.61	868.61	868.61	868.61			
2b	Secutiry expenses	4085.00	4494.00	4943.00	5328.00	5981.00			
_2c	Capital Spares**	0.00	0.00	0.00	0.00	0.00			
3	O&M expenses-Ash Transportation**	0.00	0.00	0.00	0.00	0.00			
	Total O&M Expenses	60423.61	62782.61	65241.61	67726.61	70529.61			

Calculation of O&M Expenses

\*\* Shall be provided at the time of truing up

PART-I FORM-3B Additional Form

#### Computation of Special Allowance

Name of the Company:	NTPC Limited		
Name of the Power Station :	Singrauli STPS		
Rate of Special allowance @lakh/M'	W/year	9.5	

									(KS. Lakii)
Unit	Capacity	Date of	Year of completion of useful		Special Allowance as per Clause 28				
No.	(MW)	COD	life of 25	Existing 2018-19	2019-20	2020-21	2021-22	2022-23	2023-24
1	200	1-Jun-82	2007-08	1,699	1,900	1,900	1,900	1,900	1,900
2	200	1-Feb-83	2007-08	1,699	1,900	1,900	1,900	1,900	1,900
3	200	1-Jul-83	2008-09	1,699	1,900	1,900	1,900	1,900	1,900
4	200	1-Jan-84	2008-09	1,699	1,900	1,900	1,900	1,900	1,900
5	200	1-Jun-84	2009-10	L699	1,900	1,900	1,900	1,900	1,900
6	500	1-Jul-87	2012-13	4,249	4,750	4,750	4,750	4,750	4,750
7	500	1-May-88	2013-14	4,797	4,750	4,750	4,750	4,750	4,750
Year wis	e Total for	r the Station	1	17,543	19,000	19,000	19,000	19,000	19,000



		•	PART 1
A.I.	potro of of Admitted Control of the		FORM- 5
Name of the Company:	ostract of Admitted Capital Cost for the	e existing Projects	
Name of the Power Station:	NTPC Limited Singrauli STPS		
Last date of order of Commission	n for the project	D. (DD MANAGER)	
Reference of petition no. in which	the above order was nacred	Date (DD-MM-YYYY)	28-07-2016
Following details (whether admir	tted and /or considered) as on the last date of the	Petition no.	290/GT/2014
approved, in the above order by t	he Commission:	e period for which tariff is	
Capital cost*			1,24,746.99
Amount of un-discharged liabilit admitted capital cost)	ies included in above (& forming part of		
Amount of un-discharged liabilit cost (but not forming part of adm	ies corresponding to above admitted capital itted capital cost being allowed on cash basis)		
Gross Normative Debt		(Rs. in lakh)	340.63
Cumulative Repayment		- (IG. III IAKII)	64,533.25
Net Normative Debt		<del> </del>	54,591.60
Normative Equity		†	9,941.64
Cumulative Depreciation		<del> </del>	60,213.74 1,12,071.65
Freehold land		<del> </del>	
As on 31.03.2019		<u>1                                    </u>	1,081.00

			PART FORM- 5A
Abstr	act of Claimed Capital Cost for the	existing Projects	
Name of the Company: NTPC Limi	ited		
Name of the Power Station : Singrauli S	TPS		
Reference of Final True-up Tariff Petition	·	Affidavit dated	03-Jan-20
Capital Cost as on 31.03.2019 as per Hon'ble Pet. No. 290-GT-2014	Commission's Order dated 28-07-2016 In	Rs. Lakhs	1,24,746.99
Adjustment as per Para (7) of this petition		As. Lakus	-1766.07
Following details as considered by the Petition claimed:	ner as on the last date of the period for which	h final true-up tariff is	-1766.07
Capital cost as on 01.04.02019		T	122980.92
Amount of un-discharged liabilities included capital cost)	in above (& forming part of admitted		
Amount of un-discharged liabilities correspond not forming part of admitted capital cost bein	nding to above admitted capital cost (but g allowed on cash basis)		254.99
Gross Normative Debt		† . <u> </u>	63,297.00
Cumulative Repayment		(Rs. in lakh)*	52,702.40
Net Normative Debt		<b>†</b>	10,594.60
Normative Equity		ļ . <del>[ -</del>	59,683.92
*Adjustment in Equity (D/E ratio 70:30)		1	22,789.65
*Net Normative Equity			36,894.28
Cumulative Depreciation			109629.05
Freehold land			1,081.00
*Additional			
	· · · · · · · · · · · · · · · · · · ·		(Petition of

## Statement Giving Details of Project Financed through a Combination of loan Form 8

### TRANCHE NO

BP NO 5050000641	T00001	D0001
Unsecured I	oan From HDFC Bank Ltd. VI	20001
Source of Loan :	HDFC Bank Ltd. VI	
Currency:	INR	· · · · · · · · · · · · · · · · · · ·
Amount of Loan :	15,00,00,00,000	<del>_</del>
Total Drawn amount :	2,70,00,00,000	
Date of drawl	26.09.2018	
Interest Type :	Floating	
Fixed Interest Rate :		
Base Rate, If Floating Interest	8.45%	
Margin, If Floating Interest :	NIL	
Are there any Caps/ Floor :	Y/N	· · · · · · · · · · · · · · · · · · ·
Frequency of Intt. Payment	MONTHLY	
If Above is yes, specify Caps/ Floor :		
Moratorium Period :	6 Years	<del></del> -
Moratorium effective from :	26.09.2018	
Repayment Period (Inc Moratorium) :	15 Years	
Repayment Frequency:	9 Yearly Instalment	
Repayment Type :	AVG	
First Repayment Date :	26.09.2025	···
Base Exchange Rate :	RUPEE	
Date of Base Exchange Rate :	N.A.	
Project Code	Project Name	Amount
	NORTH KARANPURA	70,00,00,000
	SINGRAULI	1,00,00,00,000
	RAMAGUNDAM	1,00,00,00,000
Total Allocate	d Amount	2,70,00,00,000



# Statement Giving Details of Project Financed through a Combination of Ioan Form 8

#### TRANCHE NO

BP NO 5050000571	T00001	D00003
Unsecured Loa	n From Punjab National Bank-	III
Source of Loan :	Punjab National Bank-III	
Currency:	INR	
Amount of Loan :	20,00,00,00,000	
Total Drawn amount :	5,00,00,00,000	
Date of Drawl	13.08.2018	
Interest Type :	Floating	
Fixed Interest Rate :		<del></del>
Base Rate, If Floating Interest	8.30%	
Margin, If Floating Interest :	0.00%	
Are there any Caps/ Floor :	Y/N	
Frequency of Intt. Payment	MONTHLY	
If Above is yes, specify Caps/ Floor :		
Moratorium Period :	3 Years	
Moratorium effective from :	13.08.2018	
Repayment Period (Inc Moratorium) :	12 Years	
Repayment Frequency:	9 Yearly Instalment	<u> </u>
Repayment Type :	AVG	
First Repayment Date :	01.02.2022	
Base Exchange Rate :	RUPEE	
Date of Base Exchange Rate :	N.A.	
		<u></u>
Project Code	Project Name	Amount
	BARH-I	30,00,00,000.00
<u> </u>	SOLAPUR	20,00,00,000.00
	TANDA-II	20,00,00,000.00
	TALLAIPALLI	50,00,00,000.00
	SINGRAULI R&M	80,00,00,000.00
	FARAKKA R&M	80,00,00,000.00
	RIHAND R&M	50,00,00,000.00
	DADRI GAS R&M	40,00,00,000.00
	KORBA R&M	40,00,00,000.00
	RAMAGUNDAM R&M	40,00,00,000.00
	VINDHAYACHAL R&M	30,00,00,000.00
	UNCHAHAR R&M	20,00,00,000.00
Total Allocated	Amount	5,00,00,00,000.00



#### Statement Giving Details of Project Financed through a Combination of loan Form 8

#### TRANCHE NO

#### BP NO 5050000571 T00001

BP NO 5050000571	T00001	D00004
Unsecured Loa	n From Punjab National Bank-l	11
Source of Loan :	Punjab National Bank-III	·
Currency:	INR	<u></u>
Amount of Loan :	20,00,00,00,000	
Total Drawn amount :	5,00,00,000	
Date of Drawl	21.08.2018	
Interest Type :	Floating	
Fixed Interest Rate :		
Base Rate, If Floating Interest	8.30%	-
Margin, If Floating Interest :	0.00%	<del> </del>
Are there any Caps/ Floor :	Y/N	-
Frequency of Intt. Payment	MONTHLY	
If Above is yes, specify Caps/ Floor :		
Moratorium Period :	3 Years	·
Moratorium effective from :	21.08.2018	
Repayment Period (Inc Moratorium) :	12 Years	
Repayment Frequency:	9 Yearly Instalment	
Repayment Type :	AVG	
First Repayment Date :	01.02.2022	
Base Exchange Rate :	RUPEE	
Date of Base Exchange Rate :	N.A.	
		<del></del>
Project Code	Project Name	Amount
	SINGRAULI R&M	1,00,00,00,000.00
	KORBA R&M	1,00,00,00,000.00
	RAMAGUNDAM R&M	1,00,00,00,000.00
	VINDHYACHAL R&M	1,00,00,00,000.00
	TANDA R&M	1,00,00,00,000.00
Total Allocated	d Amount	5,00,00,00,000.00

# Statement Giving Details of Project Financed through a Combination of Ioan Form 8

#### TRANCHE NO

BP NO 5050000382	T00001	D0005
Unsec	ured Loan From SBBJ-II	
Source of Loan:	SBBJ-II	
Currency:	INR	
Amount of Loan :	5,00,00,00,000	
Total Drawn amount :	2,00,00,00,000	
Date of Drawal:	29.06.2018	<u> </u>
Interest Type :	Floating	<u></u>
Fixed Interest Rate :		
Base Rate, If Floating Interest	8.25%	<u> </u>
Margin, If Floating Interest:	0.00%	<del>-</del>
Are there any Caps/ Floor :	Y/N	<del></del>
Frequency of Intt. Payment	Monthly	
If Above is yes, specify Caps/ Floor :		
Moratorium Period :	5 Years	
Moratorium effective from :	29.06.2018	
Repayment Period (Inc Moratorium) :	15 Years	
Repayment Frequency :	10 Yearly Installments	
Repayment Type :	AVG	<u> </u>
First Repayment Date :	14.03.2020	
Base Exchange Rate :	RUPEE	
Date of Base Exchange Rate :	N.A.	
Desired C. L.		
Project Code	Project Name	Amount
	SINGRAULI R&M	1,00,00,00,000
	Tanda R&M	1,00,00,00,000
Total Allocated	Amount	2,00,00,00,000.00

Stur.

## Statement Giving Details of Project Financed through a Combination of loan Form 8

#### **TRANCHE NO**

BP NO 5050000442	T00001	D0001
Unsecu	ured Loan From SBI-VIII	
Source of Loan :	SBI-VIII	
Currency:	INR	
Amount of Loan :	1,00,00,00,000	
Total Drawn amount :	5,00,00,00,000	
Interest Type :	Floating	
Fixed Interest Rate :		
Base Rate, If Floating Interest	D0001-3-8.25%	
Margin, If Floating Interest		·
Are there any Caps/ Floor :	Y/N	
Frequency of Intt. Payment	Monthly	
If Above is yes, specify Caps/ Floor :	·	
Moratorium Period :	6 Years	
Moratorium effective from :	21.01.2015	
Repayment Period (Inc Moratorium) :	15 Years	
Repayment Frequency :	9 Yearly Installments	
Repayment Type :	AVG	
First Repayment Date :	· 31.01.2022	
Base Exchange Rate :	RUPEE	
Date of Base Exchange Rate :	N.A.	T
· · · · · · · · · · · · · · · · · · ·		
Project Code	Project Name	Amount
	BARH-I	1,00,00,00,000
	FARAKKA R&M	25,00,00,000
-	TSTPP R&M	40,00,00,000
	SINGRAULI R&M	40,00,00,000
	RAMAGUNDAM R&M	50,00,00,000
	KAWAS R&M	60,00,00,000
	KORBA R&M	60,00,00,000
	GANDHAR R&M	1,25,00,00,000
Total Allocated	d Amount	5,00,00,00,000.00



# Statement Giving Details of Project Financed through a Combination of Ioan Form 8

#### **TRANCHE NO**

BP NO 5050000661	T00001	D00005
Unsec	cured Loan From SBI-XI	
Source of Loan :	SBI-XI	
Currency:	INR	
Amount of Loan :	50,00,00,00,000	
Total Drawn amount :	5,00,00,00,000	· · · · · · · · · · · · · · · · · · ·
Date of Drawal:	11.12.2018	
Interest Type :	Floating	<del></del>
Fixed Interest Rate :		
Base Rate, If Floating Interest	8.35%	
Margin, If Floating Interest :	0.00%	
Are there any Caps/ Floor :	Y/N	
Frequency of Intt. Payment	Monthly	
If Above is yes, specify Caps/ Floor :		
Moratorium Period :	3 Years	
Moratorium effective from :	11.12.2018	
Repayment Period (Inc Moratorium) :	12 Years	
Repayment Frequency :	9 Yearly Installments	
Repayment Type :	AVG	
First Repayment Date :	01.10.2022	
Base Exchange Rate :	RUPEE	
Date of Base Exchange Rate :	N.A.	
Business O. I.		
Project Code	Project Name	Amount
	BARH-I	6,00,00,000
	TAPOVAN VISHNUGARH	7,00,00,000
	SOLAPUR	12,00,00,000
	LARA-I	40,00,00,000
	GADARWARA	75,00,00,000
	NORTH KARANPURA	10,00,00,000
	DARLIPALLI	60,00,00,000
	TANDA-II	30,00,00,000
	KHARGONE	1,00,00,00,000
	TELANGANA	1,20,00,00,000
	SINGRAULI R&M	20,00,00,000
	RAMAGUNDAM R&M	20,00,00,000
Total Allocated	Amount	5,00,00,00,000.00

Ser.

Regulation Under Which Claimed/Justification  8  fer respective financial Year fer ion	NTPC Limited   Singratii STPS			Vear	wise Staten	nent of Addi	<u> Year wise Statement of Additional Capitalisation after COD</u>	lisation aft		Additional Form
ACE Claimed (Actual / Projected)   Regulation Under Which	Singratul STPS   1-05-1988   1-05-1988   1-05-1988   1-05-1988   1-05-1988   1-05-1988   1-05-108   1-05-1088	Vame	of the Petitioner			NTPC I imits				
2019-24 (Summary)   Regulation Under Which Cope, Change in Law etc. eligible for Ros at Normal Rate   30.00   44.00   772.00   163.00   4.616.00   772.00   290.00   4.616.00   772.00   290.00   4.616.00   772.00   772.00   772.00   772.00   772.00   772.00   772.00   772.00   772.00   772.00   772.00   772.00   772.00   10stification   10stificat	2019-24 (Summary)   Amount	Name	of the Generating Station			Singrauli ST	200			-
ACE Claimed (Actual / Projected)   Regulation Under Which     2019-20   2020-21   2021-22   2022-23   2023-24   Claimed/Justification     3	Amount  ACE Claimed (Actual / Projected)  Amount  ACE Claimed (Actual / Projected)  3	8				01-05-1988	2			
ACE Claimed (Actual / Projected)   Regulation Under Which     2019-20   2020-21   2021-22   2022-23   2023-24   Claimed/Justification     3	Annustri 2019-20 2020-21 2021-22 2022-23 2023-24 Regulation Under Which Annustrication Colored Claimed (Actual / Projected)  3	For F	inancial Year	,		2019-24 (Sun	ımarv)			
ACE Claimed (Actual / Projected)   Regulation Under Which     2019-20   2020-21   2021-22   2022-23   2023-24   Claimed/Justification     3	ACE Claimed (Actual / Projected)   Regulation Under Which   ACE Claimed (Actual / Projected)   A   Regulation Under Which   A	-							mA	ount in Re I ob
2019-20   2020-21   2021-22   2023-24   Regulation Under Which Cope, Change in Law etc. eligble for Role at Normal Rate   7   8   8   6   7   8	2019-20   2020-21   2021-22   2022-23   2023-24   Regulation Under Which Claimed/Justification   Compet. Change in Law etc. eligble for RoE at Normal Rate   A,572.00   A + .00   A + .0				ACE Clai	med (Actual/	Projected)			Admitted Cost
30.00   44.00   772.00   Please refer respective financial Year for Justification   163.00   44.00   772.00   772.00   Please refer respective financial Year for Justification   Please refer respective financial Yea	30.00   4.512.00   T72.00   Please refer respective financial Year for Justification   Please ref	Ž.		2019-20		2021-22	2022-23	2023-24	Regulation Under Which Claimed/Justification	by the Commission, i
Cope, Change in Law etc. eligble for RoE at Normal Rate   Please refer respective financial Year for Justification   4,572.00   44.00   44.00   Please refer respective financial Year for Justification   Please refer respective financial Year for Justification   Please refer respective financial Year for Justification   Please refer respective financial Year for Ple	Cope, Change in Law etc. eligble for RoE at Normal Rate   Please refer respective financial Year for Justification	-	2	3	4	2	ý	r		any
ture 30.00 44.00 772.00 772.00 772.00 772.00 772.00 8cope exluding add-cap due to Change in Law eligble for RoE at Wtd. Average 1 NA	ture  30.00	¥	185	moe in I aw at	. olimble for D			/	8	6
ture 30.00 44.00	ture  30.00  44.00  163.00  163.00  163.00  163.00  163.00  1772.00	-			- cirgore lor	COE AU NOFMA	Kate  -			
ture 30.00 44.00   44.00   163.00   44.00   772.	ture 30.00 44.00 Heave refer respective financial Year for Justification Please refer respective financial Y	-	(DAES)		4,572.00			-	Please refer respective financial Year for	
163.00 97.00 97.00 4,616.00 772.00 772.00 772.00 772.00 772.00 772.00 772.00 772.00 772.00 772.00 772.00 772.00 772.00 772.00	163.00   163.00   772.00   7	7	Ash Utilisation Infrastructure (Weight bridge, Ash brick machines etc)	30.00	44.00				Please refer respective financial Year for Justification	
97.00 772.00 772.00 772.00 scope exluding add-cap due to Change in Law elighte for RoE at Wtd. Average  NA	97.00   772.00   772.00   Please refer respective financial Year for Justification   Please refer	8	Biomass Gassifier	163.00					Please refer respective financial Year for	
97.00  290.00  4,616.00  772.00  772.00  772.00  772.00  772.00  772.00  772.00  772.00  772.00  772.00  772.00  772.00  772.00	97.00   4,616.00   772.00   772.00   Please refer respective financial Year for Justification   Justification   Justification   Justification   Justification   Justification   Justification   NA	4	Package of CIO <sub>2</sub> Plants			772.00	772.00		Please refer respective financial Year for	
scope exluding add-cap due	scope exluding add-cap due to Change in Law eligble for RoE at Wtd. Average rate of Interest         NA           290.00         4,616.00         772.00         772.00	2	AAQMS	00.79					Please refer respective financial Year for listification	
scope exluding add-cap due	Scope exluding add-cap due to Change in Law eligble for RoE at Wtd. Average rate of Interest         NA       NA         290.00       4,616.00       772.00		Total (A)	290.00	4,616.00	772.00	772.00			
290.00	290.00 4,616.00 772.00 772.00	B.	Works beyond Original scope exlu	uding add-cap	due to Chang	e in Law eligb	le for RoE at V	Vtd. Average	rate of Interest	
290.00 4,616.00 772.00	290.00 4,616.00 772.00 772.00		Total (B)			NA				
00.277	00.277	otal,	Add. Cap. Claimed (A+B)	290.00	4 616 00	- 777	. 66			
						00.7				

	Name of the Petitioner			NTPC 1 Section			Activities of Automobile (Appliansation after COD)	
Bure	Name of the Generating Station			Singrauli STPS	S,			
For	For Financial Year			01-05-1988				
=	t			07-510-			owy	Amount in D. P. Litt
5 5	nead of work /Equipment		ACE Clai	ACE Claimed ( Projected)		Regulations under	nuc	Admitted Cost
<u>i</u>		Accrual basis as per IGAAP	Un-discharged Liability included in col. 3	Cash basis	IDC included in col. 3	which claimed	Justification	Commission, if
-		3	4	S= (3-4)	٩			•
	Works under Original scope, Change in Law etc. eligble for Roll at Normal Rate	inge in Law etc.	eligble for RoE a	t Normal Rate				6
_	Ash Utilisation Infrastructure (Weight bridge, Ash brick machines etc)	30.00		30.00		26(1)(b)	It is submitted that 100% Ash utilization has been made mandatory by MOEF &CC vide its notification dtd. 25.01.2016 and subsequent NGT Order dtd 20.11.2018 (Attached as Annexure-A) For complaince of MOEF Gazette Notification and NGT order, and to avoid imposition of penality for "damages for environment restoration" as prescribed in the said Order, NTPC singrauli is incurring this expenditure for achieving 100% Ash utilisation target in Singrauli. In view of this, Hon'ble Commission may be pleased to allow the same under Reg. 26(1)(b) of Tariff Regulations 2019.	
7	Biomass Gassifier	163.00		163.00		26(1)(b)	Biomass gasification is a process of converting solid biomass fuel into a gaseous combustible gas (called producer gas) through a sequence of thermo-chemical reactions. Gol, is promoting setting up of biomass gassifiers with focus on Sustainable development Waste Management, Climate change & Environment Protection. The Project was awarded under policy framework of the Government of India to meet captive electrical and thermal needs which in turn help in replacing / saving of conventional fuels such as coal, diesel, furnace oil etc. Hon'ble Commission may be pleased to allow the the expenditure under Reg. 26(1)(b) of 2019 Tariff Regulations.	
m	AAQMS	97.00		97.00		26(1)(b)	It is submitted that continious monitoring of Ambient Air quality has been made mandatory by Central Pollution Control Board vide its direction dtd 05.02.2014 and subsequent Orders for the units including thermal power plant. Copy of CPCB direction dtd 05.02.2014 is attached as <b>Annexure-B</b> . The capitalization towards Ambient Air Quality Monitoring System around Power Plants is for compliance of CPCB order dtd 05.02.014 and the same is admissible under Regulation 26 (i) (b) of Tariff Regulations. 2019 i.e. compliance of existing law. The Hon'ble Commission may be pleased to allow capitalization under Regulation 26(i)(b). Continous monitoring of Ambient Air Quality and online transmission of Data is a statutory requirement to comply with the directions issued by Central Pollution Control 05.02.2014 is attached.  Hon'ble Commission may be pleased to allow the capitalization of the same under Regulation 26(i)(b) of 2019 Tariff Regulations.	
П	Total (A)	290.00		290.00		,		
á	Works Deyond Original scope extuding add-cap due to Change in Law eligble for RoE at Wid. Average	ding add-cap d	ie to Change in La	nw eligble for I	to E at Wie	L Average rate of Interest	terest	
4	Total (B)		}				NA	
7	Total Add. Cap. Claimed (A+B)	00 001	1	, ,	1			
		470,00	-	290.062	-			

Name of the Petitioner   Name of the				•				
NTPC Limited   Singerauli STPS					4,616.00		4,616.00	al Add. Cap. Claimed (A+B)
The Conversiting Station   NTPC Limited				-	1	-	3	Total (B)
Tear wise Statement of Additional Capitalisation after COD			٧	_		·		
Variet Cenerating Station   NTPC Limited   NTPC L			2	_				
	7 (2) 20 (2) 3 (3)	rate of Interest	Vtd. Average r	r RoE at V	aw eligble for	lue to Change in I	uding add-cap	
NTPC Limited   Statement of Additional Capitalisation after COD				•	4,616.00		4,616.00	
Year wise Statement of Additional Capitalisation after COD   NTPC Limited   NTP		environment restoration" as prescribed in the said Order, NTPC singrauli is incurring this expenditure for achieving 100% Ash utilisation target in Singrauli. In view of this, Hon'ble Commission may be pleased to allow the same under Reg. 26(1)(b) of Tariff Regulations 2019.			44.00		44.00	
Year wise Statement of Additional Capitalisation after COD           If the Cenerating Station         NTPC Limited           Singrauli STPS         Accrual basis         Singrauli STPS           Head of Work / Equipment         Accrual basis         Un-discharged as per IGAAP         Liability included as per IGAAP         Included in col. 3         Included in col. 3         Included in col. 3         A S=-(3-4)         A S=-(3		It is submitted that 100% Ash utilization has been made mandatory by MOEF &CC vide its notification dtd. 25.01.2016 and subsequent NGT Order dtd 20.11.2018.(Attached as Annexure-A) For complaince of MOEF Gazette Notification and NGT order, and to avoid imposition of penality for "damages for	26(1)(b)		4,572.00		4,572.00	Dry Ash Extraction System (DAES)
Year wise Statement of Additional Capitalisation after COD           If the Cenerating Station         NTPC Limited           Singrauli STPS         Accural basis         Regulations         Regulations           Head of Work /Equipment         Accrual basis         Un-discharged         Lability included in col. 3         Incl. 3         Incl. 3         Incl. 3         Incl. 3         Accidational Capital to a principle of a		表的是是是一个人,我们也是一个是一个人,我们也是一个人,我们也是一个人,我们也是一个人,我们也会会会一个人,我们也会会会一个人,我们也会会会会会会会会会会会会会会会会会会会会会会会会会会会会会会会会会		۔	t Normal Rat	. eligble for RoE a	ange in Law etc	
Year wise Statement of Additional Capitalisation after COD         of the Petitioner       NTPC Limited         Independing Station       NTPC Limited         Independent Accrual basis as per IGAAP       Accrual basis as per IGAAP       Un-discharged as per IGAAP       Regulations in col. 3       Regulations in col. 3       Regulations in col. 3       Regulations in col. 3       Additional Capitalisation after CApitalisation in col. 3       Additional Capitalisation after CAPITALISA (claimed in col. 3)       Incol. 3       Inco	9	∞	4	L	5=(3-4)	4	3	
Year wise Statement of Additional Capitalisation after COD         of the Petitioner       NTPC Limited         singrauli STPS       OI-05-1988         nancial Year       2020-21         Head of Work /Equipment       ACEClaimed (Projected)       Regulations     Amount in Aceclaimed (Projected)  Amount in Aceclaimed (Projected)	by the Commission, if any		under which claimed	IDC included in col. 3			Accrual basis as per IGAAP	
Year wise Statement of Additional Capitalisation after COD  INTPC Limited Singrauli STPS  01-05-1988  2020-21	Tount in KS Lakin		Regulations	(p	med ( Projecte	ACECIai		
Year wise Statement of Additional Capitalisation after COD  Of the Petitioner  of the Generating Station  Singrauli STPS  O1-05-1988  12020-21	Alle De I alth	***						1
of the Petitioner  Of the Generating Station					2020-21			r Financial Year
Year wise Statement of Additional Capitalisation after COD				2	Singrauli ST   01-05-1988			DD
Year wise Statement of Additional Capitalisation after COD				7.	NTPC Limite			ame of the Petitioner
	FORM- 9	Sapitalisation after COD	Additional C	ment of	r wise State	Yea	į	

Name	Name of the Petitioner			NTPC Limited		gr wise Statem	Year wise Statement of Additional Capitalisation after COD	FORM-9
Same.	Name of the Generating Station			Singrandi CTBC	8 3			
<u>a</u>				01-05-1988	2			
Por Fi	For Financial Year			2021-22	   			
S	Head of Work /Equipment		ACE Cla	ACE Claimed ( Projected)		Decomposition		Amount in Re Lakh
Š		Accrual basis as per IGAAP	Un-dis Liat	Cash basis	IDC included in col. 3	which claimed	Justification	Admitted Cost by the Commission, if
_	2	3	4	5=(3-4)	٥	7		î
4	A. World under Original scope, Change in Law etc. eligbie for Roll at Normal Rais	hange in Law ch	z eligble for RoE	at Normal Ran	3			6
_	Package of CIO2 Plants	772.00		772.00		26(1Yb),26(1)(d)	In the instant station, at present Chlorine gas is being dozed directly at various stages of water treatment to maintain water quality and to inhibit organic growth in the water retaining structures/ equipment such as clarifers, storage tanks, cooling towers, condenser tubes & piping etc. Chlorine dosing is done from chlorine stored in cylinders/ tonners. Chlorine gas is very hazardous and may prove fatal in case of leakage; handling and storage of same involves risk to the life of public at large. In the interest of public safety the chlorine dozing system is now being replaced by Chlorine Dixoide (ClO2) system, which is much safer and less hazardous than chlorine. In the proposed scheme ClO2 shall be produced on site by use of commercial grade HCl and sodium chlorite. As ClO2 is generated at site, avoids handling and storage risk. Further, at Kudgi NTPC project Department of Factories, Boiler, Industrial Safety and Health, Govt of Kannataka has directed NTPC to replace highily hazadorous gas Station has asked NTPC to explore the possibility of installing ClO2 system inbstead of Chlorine gas system (Relevant documents is attached at Annexure-C). For safety of public NTPC is replacing the chlorination sytem with ClO2 system in Singrauli. Accordingly, Hon'ble Commission may be pleased to allow the same under Reg. 26(1)(b), 26(1)(d) of 2019 Tariff Regulations.	
	Total (A)	772,00		772.00				
	Works beyond Original scope exluding add-cap due to Change in Law clipble for RoE at Wid. Average rate of Interest	luding add-cap	due to Change in	Law eligble for	RoE at W	td. Average rate		
2							NA	
fal A.	Total Add. Cap. Claimed (A+B)	772.00		772.00				
								<b>\7</b>
		,						(Petitioner)

		Year	r wise State	ment of A	Additional C	Year wise Statement of Additional Capitalisation after COD	PART-1 FORM- 9
Name of the Petitioner			NTPC Limited				
Name of the Generating Station			Singrauli STPS	S			
COD			01-05-1988				
For Financial Year		1	2022-23				
						Amount in Rs Lakh	n Rs Lakh
SI. No. Head of Work /Equipment		ACE Clair	ACE Claimed ( Projected)	1)	Regulations	Admit	Admitted Cost
	Accrual basis as per IGAAP	Un-dis Liability in c	Cash basis	IDC included in col. 3	under which claimed	Justification Commit	by the Commission, if any
1 2	3	4	5= (3-4)	٩	7		,
A. Works under Original scone. Change in I say etc. elighle for Roll of Normal Date	sange in 1 aw etc	Photologo Bolt of	Normal Date	131			ý
							경 교 설 왕
Package of CIO2 Plants	772.00		772.00		26(1)(b),26(1	26(1)(b),26(1 Same as FY 2021-22	
					(p)		-
Total (A)	772.00		772.00				
B. Works beyond Original scope exluding add-cap due to Change in Law eligble for RoE at Wtd. Average rate of Interest	duding add-cap o	fue to Change in L	aw eligble for	r RoE at W	/td. Average r	Ale of Interest and the second of the second	
2					NA		
Total (B)		•					
Total Add. Cap. Claimed (A+B)	772.00	•	772.00	•			
							Š
						(Pet	(Petitioner)

		Yes	ır wise State	ment of A	Year wise Statement of Additional Capitalisation after COD	tion after COD	FORM-9	4-9
			NTPC Limited Singrauli STPS	S S				ПТ
			01-05-1988					1
			2023-24					П
Head of Work /Equipment		ACE Clas	ACE Claimed ( Designation)	=	.,,,,,,,		Amount in Rs Lakh	akh
	Accrual basis as per IGAAP	Un-disc iability in c	Cash basis	IDC included in col. 3	negulations under which claimed	Justification	Admitted Cost by the Commission, if any	ost , if
1	E	4	<b>5</b> = (3.4)	ļ	l.	:		
	ange in Law etc	Works under Original scope, Change in Law etc. eligbie for RoE at Normal Rate	it Normal Rate	ļ <sup>3</sup>			6	
e ext	nding add-cap	Total (A)  B.: Works beyond Original scope exhiding add-cap due to Change in Law eligble to	Law eligble for	r Rok at W	for RoE at Wtd. Average rate of Interest			
				Z	٧			
Г								
П	•			-				
			ľ					أسكر
								-

									 	PART-I FORM- 10
Name of the Petitioner				NTPC Limited	nited					
Name of the Generating Station	uc			Singrauli STPS	STPS					
Date of Commercial Operation	u			01-05-1988	œ					
								Amount i	Amount in Rs Lakh	
Financial Year (Starting from			Actual					Admitted		
COD)1	2019-20	2020-21	2021-22	2022-23	2023-24	2019-20	2020-21	2021-22	2022-23	2023-24
1		3	4	5	9	7	∞	6	10	=
Amount capitalised in Work/ Equipment	quipment									
Financiae Dataile						ļ				
Lumania Domis										
LOan-1										
Loan-2										
Loan-3 and so on										
Total Loan2										
		•	dd cap is	Add cap is proposed to be finance in Debt: Equity ratio of 70:30	o be finan	ce in Debt	:Equity rs	tio of 70:3	0	
Equity			ŧ	•			^		,	
Internal Resources										
Others (Pl. specify)										
Total										
ıolal										
			-							A A
									(Petitioner)	oner)

							LAN I-I
_		Stateme	Statement of Depreciation	•			FORM12
E Z		NTPC Limited	or action	    -			
ğ	Name of the Power Station:	Singrauli STPS					
øi :		Fyisting				<b>V</b> )	(Amount in Rs Lakh)
<u> </u>	rariculars	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24
-	Opening Capital Cost	3	4	5	9	7	
7	Closing Capital Cost	123964.77	1,22,980.92	1,23,270.92	1,27,886.92	1,28,658,92	1.29 430 92
~	Average Capital Cost	122980.92	1,23,270.92	1,27,886.92	1,28,658.92	1,29,430.92	1.29.430.92
ᆱ	-	123472.83	1,23,125,92	1,25,578.92	1,28,272.92	1,29,044.92	1.29.430.92
23	*Cost of IT Equipments & Software included in		,	-	•		
g.	*Average Cost of IT Equipments & Software						'
4	Freehold land	1 001 00				•	
ν	Remaining depreciable value	1,001.00	1,081.00	1,081.00	1,081.00	1,081.00	1.081.00
lo	Effective Addition of new assets during the year / period	1063 010		,	,		
~		049 420	1,220.91	3,673.91	6,367.91	7,139,91	7 575 0
∞	Rate of depreciation on additions	6749.47	1098.819	3306.519	5731.119	6425.919	6773 319
6	Balance useful life at the beginning of the period	0.707.0	5.28%	5.28%	5.28%	5.28%	5.28%
	Depreciation new Assets(for the period)	25 64	•				
0	Depreciation New Assets (annualised)	50.05	04.46	193.98	336.23	376.99	397.37
=	Cumulative depreciation at the end of the period (existing	1 10 400 22	04.40	193.98	336.23	376.99	397.37
: [	assets)	1,10,400.1	1,09,475.54	1,09,475.54	1,09,475.54	1,09,475.54	1,09,475.54
2	Lumulative depreciation at the end of the period (Additions)	153.51	217.98	411.96	748.18	1,125.17	1.522.54
13	Cumulative depreciation at the end of the period (existing assets+Additions)	1,10,554.28	1,09,693.52	1,09,887.50	1,10,223.72	1,10,600,71	1 10 908 08
4	Add: Cumulative depreciation reduction on account of discharges out of un-discharged liabilities deducted as on 1.4.2009						
15	Add: Cumulative depreciation adjustment on account of liab. disc.	00.6					
16	Less: Cumulative depreciation adjustment on account of de- capitalisation	934.23	_    				
<u> </u>	Net Cumulative depreciation at the end of the period after adj.	1,09,629.05	1,09,693.52	1,09,887.50	1.10.223.72	110,600,711	0000011
[	Note: Form-12 has been slightly modified 4 1.00					11.0000.11	1,10,596.

	Name of the Company Name of the Station	NTPC Ltd Singrauli STPS				Form 13
S No	Loan	2019-20	2020-2021	2004 20	2000 00	0000 04
3 140	Loan	2019-20	2020-2021	2021-22	2022-23	2023-24
1	SBI VII D-7				I	<u> </u>
	Net Loan Opening	1250.00	937.50	625.00	312.50	0.00
	Additions					
	Repayment	312.50	312.50	312.50	312.50	0.00
	Net Loan Closing	937.50	625.00	312.50	0.00	0.00
	Avg Loan	1093.75	781.25	468.75		
	Rate of Interest	8.0213%	8.0213%	8.0213%	8.0213%	8.0213%
	Interest	87.73	62.67	37.60	12.53	0.00
2	SBI VII D-8					
	Net Loan Opening	500.00	375.00	250.00	125.00	0.00
	Additions			200.00	120.00	0.00
	Repayment	125.00	125.00	125.00	125.00	0.00
_	Net Loan Closing	375.00	250.00			
	Avg Loan	437.50	312.50			
	Rate of Interest	8.0213%	8.0213%		8.0213%	
	Interest	35.09	25.07	15.04	5.01	0.00
•						
3	SBI VII D-14	750 00				
-	Net Loan Opening Additions	750.00	562.50	375.00	187.50	0.00
-	Repayment	407.50	407.50	407.50	407.50	
·	Net Loan Closing	187.50	187.50	187.50	187.50	0.00
	Avg Loan Closing	562.50 656.25	375.00		0.00	0.00
	Rate of Interest		468.75	281.25	93.75	0.00
	Interest	8.0213% 52.64	8.0213% 37.60	8.0213% 22.56	8.0213% 7.52	8.0213% 0.00
		32.04	37.00	22.50	7.52	0.00
4	SBI VII D-16					
	Net Loan Opening	1500.00	1125.00	750.00	375.00	0.00
	Additions					
-	Repayment	375.00	375.00	375.00	375.00	0.00
	Net Loan Closing Avg Loan	1125.00	750.00	375.00	0.00	0.00
	Rate of Interest	1312.50 8.0213%	937.50	562.50	187.50	0.00
	Interest	105,28	8.0213% 75.20	8.0213% 45.12	8.0213% 15.04	8.0213%
	micrest	105.26	75.20	45.12	15,04	0.00
5	HDFC Bank Ltd. VI					<u>"-</u>
	Net Loan Opening	10000.00	10000.00	10000.00	10000.00	10000.00
	Additions	0.00	0.00	0.00	0.00	0.00
	Repayment	0.00	0.00	0.00	0.00	0.00
	Net Loan Closing	10000.00	10000.00	10000.00	10000.00	10000.00
	Avg Loan	10000.00	10000.00	10000.00	10000.00	10000.00
	Rate of Interest	8.4500%	8.4500%	8.4500%	8.4500%	8.4500%
	Interest	845.00	845.00	845.00	845.00	845.00



F F N A A A A A A A A A A A A A A A A A	Punjab National Bank-III -D3 Net Loan Opening Additions Repayment Net Loan Closing Avg Loan Rate of Interest Interest Punjab National Bank-III -D4 Net Loan Opening Additions Repayment	8000.00 0.00 0.00 8000.00 8000.00 8.3000% 664.00	8000.00 0.00 0.00 8000.00 8000.00 83000% 664.00	8000.00 0.00 888.89 7111.11 7555.56	7111.11 0.00 888.89	2023-24 6222 0 888
F F N A A R R	Net Loan Opening Additions Repayment Net Loan Closing Avg Loan Rate of Interest Interest Punjab National Bank-III -D4 Net Loan Opening Additions	0.00 0.00 8000.00 8000.00 8.3000% 664.00	0.00 0.00 8000.00 8000.00 8.3000%	0.00 888.89 7111.11	0.00 888.89	Ö
F F N A A R R	Net Loan Opening Additions Repayment Net Loan Closing Avg Loan Rate of Interest Interest Punjab National Bank-III -D4 Net Loan Opening Additions	0.00 0.00 8000.00 8000.00 8.3000% 664.00	0.00 0.00 8000.00 8000.00 8.3000%	0.00 888.89 7111.11	0.00 888.89	Ö
FINAL AREA REPORTED TO THE PROPERTY OF THE PRO	Additions Repayment Net Loan Closing Avg Loan Rate of Interest Interest Punjab National Bank-III -D4 Net Loan Opening Additions	0.00 0.00 8000.00 8000.00 8.3000% 664.00	0.00 0.00 8000.00 8000.00 8.3000%	0.00 888.89 7111.11	0.00 888.89	Ö
F III	Repayment Net Loan Closing Avg Loan Rate of Interest Interest Punjab National Bank-III -D4 Net Loan Opening Additions	0.00 8000.00 8000.00 8.3000% 664.00	0.00 8000.00 8000.00 8.3000%	888.89 7111.11	888.89	
F A A A A A A A A A A A A A A A A A A A	Net Loan Closing Avg Loan Rate of Interest Interest Punjab National Bank-III -D4 Net Loan Opening Additions	8000.00 8000.00 8.3000% 664.00	8000.00 8000.00 8.3000%	7111.11		1 000
F III R A R	Avg Loan Rate of Interest Interest Punjab National Bank-III -D4 Net Loan Opening Additions	8000.00 8.3000% 664.00	8000.00 8.3000%			
F N A R	Rate of Interest Interest Punjab National Bank-III -D4 Net Loan Opening Additions	8.3000% 664.00	8.3000%		6222.22 6666.67	5333 577
F A R N	Punjab National Bank-III -D4 Net Loan Opening Additions			8.3000%	8.3000%	8.300
A R N	Net Loan Opening Additions			627.11	553.33	479
A R N	Net Loan Opening Additions					
A R N A	Additions	10000.00	10000.00	10000.00	10000.00	1000
A R	Repayment	0.00	0.00	0.00	0.00	1000
A		0.00	0.00	0.00	0.00	
R	let Loan Closing	10000.00	10000.00	10000.00	10000.00	1000
_	Avg Loan Rate of Interest	10000.00	10000.00	10000.00	10000.00	1000
<del> "</del>	nterest	8.3000%	8.3000%	8.3000%	8.3000%	8.30
	illerest	830.00	830.00	830.00	830.00	83
S	BBJ-II -D5					
N	let Loan Opening	10000.00	9000.00	8000.00	7000.00	600
_	dditions	0.00	0.00	0.00	0.00	(
	tepayment	1000.00	1000.00	1000.00	1000.00	1000
	let Loan Closing vo Loan	9000.00	8000.00	7000.00	6000.00	5000
-	late of Interest	9500.00 8.2500%	8500.00	7500.00	6500.00	5500
	nterest	783.75	8.2500% 701.25	8.2500% 618.75	8.2500% 536.25	8.250 453
		1.00.10	701.23	010.75	536.25	450
	BI-VIII					_
N <sub>1</sub>	et Loan Opening dditions	4000.00	4000.00	4000.00	3555.56	3111
	epayment	0.00	0.00	0.00	0.00	(
No.	et Loan Closing	4000.00	0.00 4000.00	444.44	444.44	444
	vg Loan	4000.00	4000.00	3555.56 3777.78	3111.11 3333.33	2666 2888
R	ate of Interest	8.2500%	8.2500%	8.2500%	8.2500%	8.250
In	terest	330.00	330.00	311.67	275.00	238
	BI-XI					
	et Loan Opening	2000 00	2000 00	2000 00		
	dditions	2000.00	2000.00	2000.00	2000.00	1777
	epayment	0.00	0.00	0.00	222.22	222
Ne	et Loan Closing	2000.00	2000.00	2000.00	1777.78	1555
	vg Loan	2000.00	2000.00	2000.00	1888.89	1666
	ate of Interest	8.3500%	8.3500%	8.3500%	8.3500%	8.350
lint	terest	167.00	167.00	167.00	157.72	139
TC	OTAL					
Ne	et Loan Opening	48000.00	46000.00	44000.00	40666.67	37111
Ad	dditions	0.00	0.00	0.00	0.00	0
	epayment	2000.00	2000.00	3333.33	3555.56	2555
$\overline{}$	et Loan Closing	46000.00	44000.00	40666.67	37111.11	34555
	/g Loan	47000.00	45000.00	42333.33	38888.89	35833
	ate of Interest terest	8.2989% 3900.50	8.3062%	8.3146%	8.3248%	8.332
		3900.50	3737.78	3519.85	3237.41	2985



Name of the Company;			Sy Charge				
Name of the Power Station :	NTPC Limit	NTPC Limited					
	ne imeranici	per i nermai pow	er Station Sta	10-[&1]			
S. No. Month	Unit	Oct-18	8	Nov-18	-18	Dac.18	31
		Domestic	Imported	Domoctic	Immonded		
Duantity of Conf. Jonite simulity k. C. 1.11				1	Tanana Tanana	Domestic	Imported
2 Adjustment (4.7) in association of Coalitignite Company	(MM.II)	1,3516		19CF			
3 Coal cimiled to Company	(MMT)			1071		1.3812	
Normative Transact & Use-Allicat	(MALD)	1.3516		1.4281		0.05	
S Notice   Triming of the Cost Lignite based projects)	(MMT)	0.0024		20000		1.3812	
Carl Court Carl Marine Carl Marine (19-4)	(MAT)	1 3402		1,200.0		0.0018	
6 demonstrate of the second se				4575		1.3794	
A A STATE OF THE CORP. LIGHT	Cago.	757499ID34		20000000			
1	Se			KIEGIONCO-		2806164925	
Tom thom( Charged (6+7)	2	PS740000A		20.0000			
Т	ê	ACTOSEC?		61,0180682		2806164925	
Adjustinent (Fix) in amount charged made by Railways/Transpart Company		OC 170007		26621995		3107881	
Demurrage Charges, if any	(8)						
1		2000000	1				
Т		2776100		9185574		6210147	
13A Others (stone picking charges, loco driver's salary, sampling charges etc.)		21441322		35837569		9318028	
14 Total amount Charged for coal/lignite supplied including Transportation	(0)	10372999		5918863		1668286	
(8+13+13A)	}	2616804575		2872566751	•	2010311044	
15 Landed cost of epal Lignifie (14/5)						4441177107	
	Rs./MCT	1939.51		2015.27		2043.81	
17 Weighted average cost of could join to man die to		100 00		100 00		100	
Supplied the proceduring drive months	Rs./M.T			2000 14	14	TAN-TAN	
18 GCV of Domestic Coal as ner hill of Coal Company (Co. 15, 25,						_	
1	(#Cal/Kg)	4476		4675		4750	
	S S S S S S S S S S S S S S S S S S S	4476		4675		4750	
GCV of Domestic Coal as received a	CKCW/(Kg)						
22 GCV of Imported Coal of opening stock as received at Station	(KCalifice)	3776		3799		3946	
7	L Carre						
	(ACRUAL)	3/10		3075			

Note: Qty, and Value at SI, No. I and 6 respectively aso includes the Qty, and value of Opening Stock.

CTOTAMENT POPERTY POPENTY POPE

				10 10 10				PART-I
	Details of Secon	ndary Fu	el for Compu	tation of Ene	condary Fuel for Computation of Energy Charges			FURIN- 13A
Name	Name of the Company:	NTPC Limited	nited					
Name	Name of the Power Station :	Singrauli STPS	STPS					
SI.No.	Month	Unit	Oct	Oct-18	Nov-18	-18	Dec-18	8
			CDO	HFO	CDO	нғо	rpo	HFO
-	Quantity of Oil supplied by Oil Company	KL	3,476.97	92.6	3,222.97	9.76	3,222.97	9.76
2	Adjustment(+/-) in quantity supplied made by Oil Company	KT						1
3	Oil supplied by Oil Company (1+2)	ΚĽ	3,476.97	9.76	3.222.97	92.6	3 222 97	97.6
4	Normative Transit & Handling Losses	ΚĘ					1 ( ) 7777 ( )	0/:/
3	Net Oil Supplied (3-4)	ΣĘ						1
9	Amount charged by the Oil Company	(Rs)						<b>1</b>
7	Adjustment(+/-) in amount charged made by Oil Company							1
		(Rs)					.•	
œ	Total amount charged (6+7)	( <b>R</b> s)	15,78,52,672	2.92.012	14 63 21 191	2 92 012	14 63 21 191	2 02 012
6	Transportation charges by rail / ship / road transport	(Rs)				2.26		2,72,012
2	Adjustment (+/-) in amount charged made by							
	Railways/Transport Company	( <u>R</u> )						
=	Demurrage Charges, if any	(R)						
12	Cost of diesel in transporting Oil through MGR system, if	Ĺ						
	applicable	(Rs)						
13	Total Transportation Charges (9+/-10-11+12)	(Rs)					#	
4	Others -Entry Tax on Oil	(Rs.)						
15	Total amount Charged for fuel supplied including							
	Transportation (8+13+14)	(Rs)						
	Landed Price of Oil	(Rs)	45,399.53	29,931.55	45,399.53	29.931.55	45.399.49	29 931 55
16	Weighted average GCV of Oil as fired	(kCal/L)		10.400		10 400		10 500
1,1	Weighted arounds and a f C 1					20:62		10,000

Note: In terms of the order of Hon'ble Supreme Court regarding ban on use of HFO, main secondary fuel at Singrauli is LDO w.e.f. 01.01.2019 and the same has been considered for calculation of Working Capital.

45,399.53

				Computation of Energy Charges	3)				į	Form-15B
4	Name of the Company	NTPC	NTPC Limited						ADDITIO	ADDITIONAL FORM
<b>K.</b> .	Name of the Power Station	Singr	Singrauli STPS							
_										
						2019-20	2020-21	2021-22	2022-23	2023-24
	Commitation of D.	ξ		No of Days in the year	Days	366	365	365	365	366
	Computation of Energy Charges	nergy Charges		Sp. Oil consumption	ml/kwh	0.5	0.5	0.5	0.5	0.5
	1 Date of F			Auxiliary consumption	%	7.13	7.13	7.13	7.13	7.13
	nate of Energy Charge from			Heat Rate	Kcal/Kwh	2,410.00	2,410.00	2.410.00	2410.00	2410
	Sec. Fuel Oll/ Alternate Fuel	# (Cs) + Ps	2.270	Computation of Variable Charges	harges			,		
_				Variable Charge (Coal)	p/kwh	137.915	137015	137015	127.015	127016
				Variable Charge (Oil)	o/kwh	2.444	2 444	DAA C	216.161	13/913
	on from SFO	= (Qs), X (GCV),	5.217	Total	p/kwh	140.360	140.360	140.360	140 360	140 360
	/ Auchaic fuel (H <sub>s</sub> )									
				Price of fuel from Form-15/15A	715A					
	3	1 0HC	OC 707C	Coal Cost	(Ks./MT)	2000.14	2000.14	2000.14	2000.14	2000.14
	Heat Contribution from coal (1764)	s 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1	2404.70	Ull Cost	(Rs./KL)	45399.53	45399.53	45399.53	45399.53	45399.53
	4 Specific Primary Fuel (Qp),	= H <sub>≠</sub> (GCV) <sub>p</sub>	0.640	Computation of Fuel Expenses for Calculation of IWC:	rses for Calcul	lation of IWC;				
_	Consumption			7.1	(MUs)	13868.09	13830.20	13830.20	13830.20	13868.091
	S Date of Branch			ESO for 40 days	(MUs)	1515.638	1515.638	1515.64	1515.64	1515 638
	Primers First (- Array)		128.082	Cost of coal for 45 Days	(Rs. Lakh)	20902.98	20902.98	20902.98	20902.98	20902 98
	Liniary Fuel (p/kwil)			Cost of oil for 2 months	(Rs. Lakh)	564.95	563,41	563.41	563.41	564 95
	Pote of Business on			Energy Expenses for 45 days	(Rs. Lakh)	23932.62	23932.62	23932.62	23932.62	23932.62
_	6 wate of Elicity charge ex-(REC) bus (p/kWh)	= ((REC) <sub>s</sub> + (REC) <sub>p</sub>	140.360							
	;	/ (1-(AUX))		1000	-					
				COM		3rd month	2nd month	1st month	Wtd. Avg.	
				wtd. Avg. Price of Coal	Rs./MT	1939.51	2015.27	2034.28	2000.14	
				wid. Avg. GCV of Coal as received	kCal/Kg	3776	3799	3946	3840.33	
				Wtd. Avg. GCV of Coal as received after adjustement of 95 Localities	received after	odinstement of	95 Localities			
				Sec. Oil			oo nearing		3/33.33	
				Wtd. Avg. Price of Secondary	y Re/KI	45300 53	45300.53	23 00027		
				Fuel	4	CC.77CC-	45577.55	45294.53	45399.53	
			ě	Wtd. Avg. GCV of Secondary	y kCal/I.	10400 00	10400 00	00 00501	10.433 33	
				ruel		200000	00.00101	00.00501	10433.33	
										7
┙									PELLIONER	NE P

#### Name of the Petitioner Name of the Generating Station

NTPC Ltd Singrauli STPS

#### Statement of Capital cost

(Rs lacs)

S. No.	Particulars	01.04.2019
	a) Opening Gross Block Amount as per books	256341.1.
	b) Amount of capital liabilities in A(a) above	4024.0
Α	c) Amount of IDC in A(a) above	1164.7
	d) Amount of FC in A(a) above	
	e) Amount of FERV in A(a) above	2051.7
	f) Amount of Hedging Cost in A(a) above	
	g) Amount of IEDC in A(a) above	
	a) Addition in Gross Block Amount during the period (Direct purchases)	,
	b) Amount of capital liabilities in B(a) above	
	c) Amount of IDC in B(a) above	_
В	d) Amount of FC in B(a) above	
	e) Amount of FERV in B(a) above	
	f) Amount of Hedging Cost in B(a) above	
	g) Amount of IEDC in B(a) above	
-	a) Addition in Gross Block Amount during the period (Transferred from CWIP)	
	b) Amount of capital liabilities in C(a) above	<del></del>
	c) Amount of IDC in C(a) above	
	d) Amount of FC in C(a) above	
	e) Amount of FERV in C(a) above	
	f) Amount of Hedging Cost in C(a) above	
	g) Amount of IEDC in C(a) above	···
	a) Deletion in Gross Block Amount during the period incl Liability Reversal	<del></del>
	b) Amount of capital liabilities in D(a) above	·
	c) Amount of IDC in D(a) above	
	d) Amount of FC in D(a) above	
	e) Amount of FERV in D(a) above	
	f) Amount of Hedging Cost in D(a) above	
	g) Amount of IEDC in D(a) above	
	a) Closing Gross Block Amount as per books	
	b) Amount of capital liabilities in E(a) above	
	c) Amount of IDC in E(a) above	
	d) Amount of FC in E(a) above	<del></del>
	2) Amount of FERV in E(a) above	
	f) Amount of Hedging Cost in E(a) above	<del></del>
	g) Amount of IEDC in E(a) above	

(Petitioner) Phys

#### Name of the Petitioner Name of the Generating Station

NTPC Ltd Singrauli STPS

Statement of Capital Woks in Progress (To be given for relevant dates and year wise)

S. No.	Particulars	01.04.2019
	a) Opening CWIP as per books	49,544.3
	b) Amount of capital liabilities in A(a) above	4,643.7
	c) Amount of IDC in A(a) above	
Α	d) Amount of FC in A(a) above	5,954.0
	e) Amount of FERV in A(a) above	444.2
	f) Amount of Hedging Cost in A(a) above	444.2
	g) Amount of IEDC in A(a) above	
	a) Addition in CWIP during the period	
	b) Amount of capital liabilities in B(a) above	
	c) Amount of IDC in B(a) above	
В	d) Amount of FC in B(a) above	
	e) Amount of FERV in B(a) above	
	f) Amount of Hedging Cost in B(a) above	
	g) Amount of IEDC in B(a) above	
	a) Transferred to Court Pl	
	a) Transferred to Gross Block Amount during the period     b) Amount of capital liabilities in C(a) above	
	c) Amount of IDC in C(a) above	
	d) Amount of FC in C(a) above	
- 1	e) Amount of FERV in C(a) above	
}	f) Amount of Hedging Cost in C(a) above	
- 1	g) Amount of TEDC in C(a) above	
	by t another of the etal above	
	a) Deletion in CWIP during the period	
	b) Amount of capital liabilities in D(a) above	<del> </del>
[-	c) Amount of IDC in D(a) above	
	d) Amount of FC in D(a) above	
· ·	e) Amount of FERV in D(a) above	<del></del>
	Amount of Hedging Cost in D(a) above	
	g) Amount of IEDC in D(a) above	
	n) Closing CWIP as per books	
	Amount of capital liabilities in E(a) above	
	Amount of IDC in E(a) above	
	Amount of FC in E(a) above	
le E	Amount of FERV in E(a) above	
ļ.	) Amount of Hedging Cost in E(a) above ) Amount of IEDC in E(a) above	

Name of the Company:         NTPC Limited           Name of the Power Station:         Singrauli STPS           S. No.         Particulars         Existing         2019-20         2020-21           1         2         3         4         5           1         Gross Normative loan - Opening         63,985.69         63,297.00         63,500.00           2         Cumulative repayment of Normative loan up to         53,373.38         52,702.40         52,766.86			
Particulars         Existing 2019-20         2           2         3         4           an - Opening         63,985.69         63,297.00           ent of Normative loan up to         53,373.38         52,702.40			
Particulars         Existing         2019-20         2           Comulative repayment of Normative loan up to previous year         53,373.38         52,702.40			
Particulars         Existing         2019-20         2           Community in the repayment of Normative loan up to previous year         53,373.38         52,702.40		(Атопр	(Amount in Rs Lakh)
2         3         4           Gross Normative loan – Opening         63,985.69         63,297.00           Cumulative repayment of Normative loan up to previous year         53,373.38         52,702.40	2021-22	2022-23	2023-24
Gross Normative loan – Opening63,985.6963,297.00Cumulative repayment of Normative loan up to previous year53,373.3852,702.40	9	1	•
Cumulative repayment of Normative loan up to 53,373.38 52,702.40	66.731.20	67 271 60	67.812.00
	52.960.85	53.297.07	53.674.06
3 Net Normative loan - Opening 10.612.31 10.594.60 10.722.14	12 770 25	12 024 62	
Add: Increase due to addition during the year /	13,770.33	13,974.53	14,137.94
4 period 3,231.20	540.40	540.40	ì
Less: Decrease due to de-capitalisation during the 726.62 -	1		'
6 Less: Decrease due to reversal during the year / period			
7 Add: Increase due to discharges during the year /		,	•
8 Less: Repayment of Loan 55 64 64 16 103 09	22,750		
Net Normative loan - Closing 10,594.60 10,733.14 13	13 074 53	3/6.99	397.37
10,603,46 10,663,87	13 872 44	14,137,34	12,040.37
Weighted average rate of interest 8.1642 8.2989	8 2146	14,030.24	02.656.51
	0.0	0.7240	0.3323

Name	Name of the Company:	NTPC Limited	þ				
Name	Name of the Power Station :	Singrauli STPS	Sc				l.
			,			(Amount	(Amount in Rs Lakh
S. No.	Particulars	Existing 2018-19	2019-20	2020-21	2021-22	2022-23	2023-24
-	2	3	4	5	9	7	<b>∞</b>
-	Cost of Coal/Lignite	19,472.52	20902.98	20902.98	20902.98	20902.98	20902.98
7	Cost of Main Secondary Fuel Oil	599.55	564.95	563.41	563.41	563 41	564 05
3	Fuel Cost						
4	Liquid Fuel Stock	!					
2	O & M Expenses	4,959.73	5035.30	5231.88	5436.80	5643.88	5877 47
9	Maintenance Spares	11,903.35	12084.72	12556.52	13048.32	13545.32	14105.92
7	Receivables	40,713.88	33397.99	33779.70	34151.74	34485.98	34822.67
∞	Total Working Capital	77649.02	71985.95	73034.50	74103.25	75141.58	76274.00
6	Rate of Interest	13.5000	12.0500	12.0500	12.0500	12.0500	12.0500
2	Interest on Working Capital	10482.62	8674.31	99.0088	8929.44	9054.56	9191.02
				!		:	
						•	
						Petitioner	

	f Capital Liabilities	As on 01.04.2019	<del>-</del>	<del></del>	Amount. in
Sr. No.	Name of the Party	Name of the work	Year of creation of liability capitalised in Gross Block	Undischarged liabilities relating to GB 01.04.2019	Remarks
(1)	(2)				
1	ABB LTD	(3)	(4)	(5)	-
		Renovation of DAS PKG.PH II	2007-08 & 2008-09	16,31,662	! Allowed
	ABB LTD	Renovation of DAS PKG.PH II	2008-09	2,72,354	Allowed
	LUCKNOW COMPUTER SHACK PVT LTD	Supply of PCs.	2002-03	63,200	Allowed
5	NORTHERN COALFIELDS LTD	LAND	2000-01	1,76,300	Allowed
-6	KIRLOSHKAR AAF LTD	LWTP	2004-05	2,95,940	Allowed
7	KIRLOSHKAR AAF LTD	LWTP	2003-04	74,32,116	Allowed
8	HINDUSTAN STEELWORKS CONSTRUCTION	Ash pipe padestal	2008-09	27,51,300	Not Allowed
	HINDUSTAN STEELWORKS CONSTRUCTION	Ash pipe padestal	2007-08 & 2008-09	16,52,914	<del> </del>
10	HINDUSTAN STEELWORKS CONSTRUCTION	ASH DYKE PACKAGE LAGOON-S1	2005-08	30.00,936	<del>  -</del>
44	HINDUSTAN STEELWORKS CONSTRUCTION	ASH DYKE PACKAGE LAGOON-81	2004-05	8,60,000	<del> </del>
42	HINDUSTAN STEELWORKS CONSTRUCTION	ASH DYKE PACKAGE LAGOON-S1	2004-05	2,02,037	<del>                                     </del>
12	LAL PUSHPRAJ CONSTRUCTION & CO  LLOYD INSULATIONS INDIA LTD	Constr. of Bal Ash Padestal	2006-07	15,855	<del> </del>
]	ABB LIMITEO	SEC of New Fire water Line system  R&M OF 6.6KV VACCUME BREAKER St-II	2010-11	4,41,000	<del> </del>
	ABB LIMITED	Replacement OF MOCB WITH SF6/VCB St-I	2011-12	41,851	<del> </del> -
<del></del>	BHARAT HEAVY ELECTRICALS LTD	Handling Structof Econo Coil bank	2011-12	2,10,114	<del> </del>
	UP JAL NIGAM	Lease hold land	2012-13		+
18 (	CCI ASIA PACIFIC PTE LTD	SULZER;ASM250-10;HP/LPBP	2013-14	1,33,70,126	Allowed
19	CLYDE UNION LIMITED	LAST STG IMP:#47:#AO102175 OFWEIR-FK6D32	2014-15	17,973	<del>-</del>
20 F	FLUIDOMAT LTD	FC:FLUIDOMAT:STD/E/04:COMP ASSY	2014-15	72,636	Not Claimed
21 F	FLUIDOMAT LTD	FLUID COUPLING MODEL:T-12/07 FLUIDOMAT	2014-15	33,370	Not Claimed
22 A	ASHBOND ENGINEERS PVT LTD	BOROSCOPE:VIDEO ATTACH.& ELECTR.RECORDER	2014-15	60,564	Not Claimed
$\overline{}$	ALSTOM T&D INDIA LTD	NUMERICAL FEEDER PROTECTION RELAY 1A	2014-15	3,000	Not Claimed
24 N	MEHROTRA & MEHROTRA	REFRIGERATOR:BLOOD BANK-AS PER TECH SPEC	2014-15		Not Claimed
25 T	TL LTD	Mobile Crane 30 MT Model RT630C,TIL make	2014-15		Not Claimed
26 B	BHARAT HEAVY ELECTRICALS LTD	TURBINE GENERATOR UNIT 6	2014-15		Not Claimed
-+	M ENGINEERING WORKS	GYM ITEM- HEAVY DUTY MOTORISED TREADMILL	2014-15		Not Claimed
28 S	subhash Infra Engineers Pvt Ltd	Starter Ash Dyke(S-2 Lagoon)	2014-15		Not Claimed
29 M	Icnally Bharat Engineering	Ash Water Recirculation System	2014-15		Not Allowed
30 B	HARAT HEAVY ELECTRICALS LTD	Sofer Main Plent & Machinery & Asso. Civil work	2014-15	2,07,28,234	
31 B	HARAT HEAVY ELECTRICALS LTD	STEAM GENERATOR UNIT 6	2015-16	4,69,16,669	<del></del> ·
32 B	HARAT HEAVY ELECTRICALS LTD	Steam Turbine - Unit 7	2015-16		Not Claimed
33 BI	NA TECHNOLOGY CONSULTING LTD	CCTV based surveillance system- (File No. C&I /9403	2015-16		Not Claimed
34 KI	RLOSKAR ELECTRIC CO LTD	COAL HANDLING SYSTEM UNIT 6	2015-16		Claimed Not Claimed
35 S\	WAN ENVIRONMENTAL PVT LTD	Effluent Quality monitoring System-CAP Add	2015-16		Claimed
36 IN	STRUMENTATION LTD	Burner tilt actuators-Boiler Stg II- Rev & Mod	2015-16	1,03,760	
37 BH	HARAT HEAVY ELECTRICALS LTD	BOLT;M36; NUT M36.ITEM NO 24 AND 25.	2015-16		Not Claimed
8 BH	HARAT HEAVY ELECTRICALS LTD	Boiler Pressure parts for Stage-2	2015-16		Not Claimed
9 BI	HARAT HEAVY ELECTRICALS LTD	ERV TYPE:7506, 2.5"X4" 1538VX	2015-16		lot Claimed
0 HI	TACH! LTD	LINE SHAFT(3PCS)#19:HITACHI CWP:SP-CV	2015-16		lot Claimed
1 BH	HARAT HEAVY ELECTRICALS LTD	CONTROLLER;220VDC;0.2A;ERV;1539X;2539VX	2015-16	1,013 (1	lot Claimed
2 AL	STOM T&D INDIA LTD	420KV CAPACITOR VOLTAGE TRANS.4400PF WIT	2015-16	1,22,000 N	iot Claimed
3 ВН	IARAT HEAVY ELECTRICALS LTD	SH SPRAY LINE NRV PART NO.\$126	2015-16	10,000 N	··· <del>·</del>
4 AB	6 INDIA LTD	NUMERICAL GENERATOR PROTECTION GR-2	2015-16	27,95,635 N	<del></del>
5 K 8	& K HEALTH CARE PVT LTD	GYM ITMES BARBELL WITH WEIGHTS- FITNESS WORLD MAKE	2015-16	10,910 N	
6 SA	M ENGINEERING WORKS	GYM ITEMS:TREADMILL-M	2015-16		of Claimed
7 UR	MI ELECTRICALS & REFRIGERATION	AIR CONDITIONER 2 TON WINDOW	2015-16		ot Claimed
8 PR	E-TECH	HITACHI MULTIMEDIA PROJECTOR MODEL CP-X3030WN	2015-16	10,134 N	
B AN	ALYSER INSTRUMENT CO PVT LTD	Continuous Emission Monitoring System	2015-16	16,78,974 CI	
вн.	ARAT HEAVY ELECTRICALS LTD	Solar Main Plant & Machinery & Asso. Civil work	2016-17	8,70,762 N	
Adv	vanced Systems Sampling Pvt Ltd	Auto Coal Sampling System CHP-stg2	2016-17	79,34,746 N	
THE	EINOURE PVT LTD	Ash Slurry Disposal Extention Pkg	2016-17	4,32,89,626 N	
HOI	NEYWELL AUTOMATION INDIA LTD	DDCMIS Stage If Unit 6	2016-17	88,27,492 No	

	f The Station Capital Liabilities	Singrauli STPS As on 01.04.2019	<del> </del>	<del>_</del>	Form-S
Sr. No.	Name of the Party	Name of the work	Year of creation of liability capitalised in Gross Block		Remarks
				(5)	
(1)	(2)	(3) Solar Main Plant Building & Asso. Civil Work	2016-17	(5)	Not Claimed
<del> </del>	BHARAT HEAVY ELECTRICALS LTD		2016-17		Not Cłaimed
54	ANALYSER INSTRUMENT CO PVT LTD	Continuous Emission Monitoring System	<del>                                     </del>	1,92,000	-
55	POWER ENGINEERS	SADC Actuators for Stage II (2 X 500 MW) 136 No	2016-17	50,000	
56	JOY MARKETING CO PVT LTD	SCREW COMPRESSOR ASSEMBLY (MODEL 255 G)	2016-17	14,137	Not Claimed
57	BHARAT HEAVY ELECTRICALS LTD	HP TOP CONTROL V/V NO:1	2016-17	1,95,000	
58	TECHNICAL SYSTEMS & SERVICES	WIRE ROPE ELECTRIC HOIST, CAP:2MT	2016-17		
59	KAILASH TRADING CORPORATION	WAVE TRAP 400KV,2000AMP	2016-17	. 1,43,227	
60	LONESTAR INDUSTRIES	COMPENSATOR DN 1100 003	2016-17		Not Claimed
61	TEREX EQUIPMENT PRIVATE LIMITED	SKID STEER LOADER FITTED WITH STD. BUCKET, CABIN	2016-17		Not Claimed
62	FRANCIS KLEIN & CO PVT LTD	RERAILING EQUIPMENT FOR MGR WORKSHOP	2016-17		Not Claimed
63	Mcnalty Bharat Engineering	Ash Water Recirculation System	2016-17	<u> </u>	Not Allowed
64	TRANSFORMERS AND ELECTRICALS	GT, UAT and Station Transformer Pkg 2X500MW	2016-17		Not Claimed
65	TRANSFORMERS AND ELECTRICALS	Unit Aux Transformer(UAT) Stg-1 Unit-1	2017-18		Not Claimed
66	VANJAX SALES PVT LTD	AERIAL WORKING PLATFORM LIFT 8MTR/ 100KG-1 QTY	2017-18	29,425	Not Claimed
67	C&S ELECTRIC LTD	Supply, Erection, commissioning of DCDB at CHP	2017-18	1,05,665	
68	LOGICLADDER TECHNOLOGIES PVT LTD	REAL TIME DATA TRANSSolution from CEMS, EQMS	2017-18	40,680	Not Claimed
69	SCHENCK PROCESS SOLUTIONS	Supply, Erection & Comm of Gravimetric Feeder	2017-18	25,58,878	Not Claimed
70	RICE LAKE WEIGHING SYSTEMS	In motion weigh bridge- MGR	2017-18	2,83,054	Not Claimed
71	BHARAT HEAVY ELECTRICALS LTD	Solar Main Plant & Machinery & Asso. Civil work	2017-18	52,24,008	Not Claimed
72	BHARAT HEAVY ELECTRICALS LTD	Solar Main Plant Building & Asso. Civil Work	2017-18	2,88,188	Not Claimed
73	QUADRANT MARKETING	OXIDE SCALER:COMP ASSY	2017-18	35,064	Not Claimed
74	SARTECH INTL	BOMB CALORIMETER- COMPLETE ASSY.	2017-18	2,56,000	Not Claimed
75	CUBIC TRANSMISSIONS PVT LTD	CONCORD-GC-D2007C-COMP ASSY- 1 QTY	2017-18	7,908	Not Claimed
76	OMKAR ENTERPRISES	PORTABLE OIL FILTERATION M/C- 1 QTY	2017-18	45,000	Not Claimed
77	CEE DEE VACUUM EQUIPMENT PVT LTD	OIL FILTERING MACHINE OF CAPACITY 2100 L	2017-18	29,750	Not Claimed
78	WPIL LTD	LINE SHAFT:SS416#19-JHONSTON/WPIL-64TMC-4 NO QTY	2017-18	2,35,105	Not Claimed
79	BHARAT HEAVY ELECTRICALS LTD	ECO. PLAIN TUBE UPPER COIL+SUPPORT ASSY Qty-05	2017-18	2,20,180	Not Claimed
80	AAGMAN INFOTECH SYSTEMS &	PHOTO COPIER MACHINE- SHARP MAKE -AR-6020N	2017-18	29,519	Not Claimed
81	R G ENTERPRISES	450MM SWEEP HEAVY DUTY EXHAUST FAN- 20 QTY	2017-18	4,700	Not Claimed
82	CAMBRIDGE INDUSTRIES	CAPACITOR VOLTAGE TRANSFORMER; PRIMARY: 13 Qty-6	2017-18	1,24,207	Not Claimed
83	TRF LTD	CAP132-660TPH:CARRIAGE WHEEL ASSLY TRF P-Qty 2 No.	2017-18	1,87,480	Not Claimed
84	HIND RECTIFIERS Ltd	TRANSFORMER RECTIFIER: 415V 60KVA ESP-I Qty-4	2017-18	1,12,100	Not Claimed
85	SCRUM SYSTEM PVT LTD	SAFETY:KIOSK WITH STANDARD FEATURES	2017-18	30,000	Not Claimed
86	BHARAT HEAVY ELECTRICALS LTD	WORM GEAR HUB OF M.DRIVE&BOWL OF XRP803	2017-18	4,61,200	Not Claimed
87	EIP ENVIRO LEVEL CONTROL PVT LTD	VN-350DN:KNIFE GATE V/V-CYL.OP-COMP.ASSY Qty-2	2017-18	1,83,170	Not Claimed
88	AGARWAL AUTO SALES	DUAL DESK & BENCH-WOOD-SIZE-SMALL:SPEC-A- 85 QTY	2017-18	3,299	Not Claimed
89	RAJAT ASSOCIATES	NETWORK LASER PRINTER,SPD:45PPM,SIZE:A4	2017-18	2,614	Not Claimed
90	VOLKS ENERGIE PVT LTD	AIR CONDITIONERS 1.5 TON SPLIT TYPE-39No.	2017-18	3,40,628	Not Claimed
91	Godrej & Boyce Mfg Co Ltd	AIR CONDITIONER 2 TON SPLIT TYPE-10No.	2017-18	37,105	Not Claimed
92	INTELLICON PVT LTD	EPABX:IP BASEO:1500LINE(2000PORT)	2017-18	5,30,305	Not Claimed
93	ACER INDIA PVT LTD	DESKTOP PC: CORE-15 VPRO WIN, 8GB QTY 50	2017-18	1,20,488	Not Claimed
94	TECHNET SOFTWARE SOLUTIONS (P) LTD	8 PORT GIGABIT MANAGED SWITCH WITH 2 SFP-22No.	2017-18	31,500	Not Claimed
95	NTPC EMPLOYEE CONSUMERS	WATER PURIFIER RO-SYSTEM 15-25LPH	2017-18	50,000	Not Claimed
96	BHARAT HEAVY ELECTRICALS LTD	HV BUSHING FOR 200MVA CGL GT	2017-18	2,334	Not Claimed
97	K N INTERNATIONAL LTD	Secound Raising of Ash Dyke S-1 Lagoon -I	2017-18	84,44,695	Not Claimed
98	POWER GRID CORPORATION OF INDIA LTD	Retrifitting of 400 KV Singrauli-Allahabad -I & II	2017-18	19,14,250	Not Claimed
99	BHARAT HEAVY ELECTRICALS LTD	OURNAL BRG# 6;400MM#32424000 C-1;GEN	2018-19	16,978	Not Claimed
100	BHEL	Spares for BHEL make DVR	2018-19	26,99,832	Not Claimed
101	BHARAT HEAVY ELECTRICALS LTD	CAP SPR- LPBP,HPBP,ESV & HPCV, 500 MW	2018-19	4,210	Not Claimed
102	BHARAT HEAVY ELECTRICALS LTD	DBL.SQ.CG.MOTOR-6.6KV,1805KW,12P,V1-SPDP	2018-19	16,72,900	Not Claimed
103	BHARAT HEAVY ELECTRICALS LTD	HYDROGEN COOLER FOR 500MW GEN	2018-19	19,785	Not Claimed
104	TIRUPATI INDUSTRIAL AGENCIES	ACB;MWS;415VAC;2500A;M-PACT-2025;GE	2018-19	20,33,877	Not Claimed
	Indure Ltd	Ash Sturry Disposal Extention Pkg	2018-19		Disallowed
106	Monally Bharat Engineering	Ash Water Recirculation System	2018-19	31,84,948	Disallowed

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Form-S

	of The Station f Capital Liabilities	Singrauli STPS As on 01.04.2019			<u>For</u>
. No.	Name of the Party	Name of the work	Year of creation of liability capitalised in Gross Block		Remarks
(4)					
(1) 107	(2) RICE LAKE WEIGHING SYSTEMS	(3)	(4)	(5)	
108	TOWA OPTICS INDIA PVT LTD	In-Motion weigh bridge (C&I/10773)	2018-19	93,674	Not Claimed
109	YASH HIGHVOLTAGE INSULATORS PVT LTD	MICROSCOPE BINOCULAR DIGITAL WITH CAMERA	2018-19	41,720	Not Claimed
	ELECTRO CARE SYSTEMS	LV BUSHNG WTH METL PRTS AND GSKT 260MVA	2018-19	85,202	Not Claimed
		MOTR 1SQL3.3KV:470KW:04P:B3,TEFC:CHT-450	2018-19	1,75,000	Not Claimed
$\neg$	BHARAT HEAVY ELECTRICALS LTD	IMPELLER ASSY STG I ID FAN 200 MW	2018-19	4,52,760	Not Claimed
-	LAXMI HYDRAULICS PVT LTD	3PIM;415V;200KW;993RPM;FR:AMW355L/QU355L	2018-19	2,43,806	Not Claimed
	BHARAT HEAVY ELECTRICALS LTD	COMPENSATOR ASSY DN500X6 11077002100/008	2018-19	1,84,789	Not Claimed
-	BHARAT HEAVY ELECTRICALS LTD	COMPENSATOR ASSY DN500X6 11077002100/008	2018-19	42,083	Not Claimed
	CONTROL COMPONENT INDIA PVT LTD	Replacement of Field Devices & Oil Unit HPBY Syste	2018-19	37,43,882	Not Claimed
	NDTS INDIA PVT LTD	PORTABLE NON-DESTRUCTIVE HARDNESS TESTER	2018-19	1,03,500	Not Claimed
-+	ANAND TECHNOMARKETING PRIVATE	S.SCM-6.6KV,525KW,10P,B3TETV,ILA7716-3XZ	2018-19	2,36,450	Not Claimed
	JAIMARUTI GAS CYLINDERS LTD	EMPTY CHLORINE GAS CYLINDER	2018-19	1,16,578	Not Claimed
-	JAIMARUTI GAS CYLINDERS LTD	EMPTY CHLORINE GAS CYLINDER	2018-19	25,922	Not Claimed
$\rightarrow$	DHR HOLDING INDIA PVT LTD	ONLINE SILICA ANALYSERRANGE:0-5000PPB-2No.	2018-19	2,24,000	Not Claimed
	ELPE ENGINEERS	FREQUENCY RESPONSE ANALYSER DOBLE MAKE	2018-19	1,06,250	Not Claimed
22	MASTEL MEDICAL SYSTEMS PVT. LTD.	ADVANCE ANAESTHESIA STATION	2018-19	1,04,920	Not Claimed
23	TRANSASIA BIO-MEDICALS LTD	AUTOMATIC HEMATOLOGY ANALYSER	2018-19		Not Claimed
24 (	GUNANK TECHNOLOGIES PVT LTD	LABOR INFORMATION AND MANAGEMENT SYSTEM(CLIMS)	2018-19	2,07,000	Not Claimed
25 1	FAKYON NETWORKS PVT.LTD.	SERVER - SINGLE CPU, WITH OS	2018-19	<del></del>	Not Claimed
26 N	MEHULENDRA CORPORATION	Biometric Attendance System.	2018-19	2,12,250	Not Claimed
27 4	ANB COMPUTER SOLUTIONS PVT LTD	IP based PTZ Cameras using outdoor P2P W/L Camera	2018-19		
8 [	DOCKET CARE SYSTEMS	LASERJET N/W PRINTER-A4 SIZE,31-35PPM (03 Nos. Assets)	2018-19		Not Claimed
9 A	ARPHI (R) ELECTRONICS PVT LTD	DIGITAL AUDIOMETER	2018-19		Not Claimed
0 A	NB COMPUTER SOLUTIONS PVT LTD	IP-DSLAM STANDARD	2016-19		Not Claimed
$\rightarrow$	ONEYWELL AUTOMATION INDIA LTD	PR/DP TRANSMTR -100-+900MMWC (18 Nos. Assets)	<del> </del>	_	Not Claimed
$\overline{}$	QUA MACHINERIES PVT LTD	HEAVY DUTY SUBMERSIBLE PP 500 M3/HR, 30M	2018-19		Not Claimed
	HARAT HEAVY ELECTRICALS LTD	SH ERV I/L ISOL V/V PART NO.SO72	2018-19		Not Claimed
_	HARAT HEAVY ELECTRICALS LTD	RH SPRAY LINE-NRV PART NO.R73	2018-19	6,89,688	Not Claimed
_	HARAT HEAVY ELECTRICALS LTD		2018-19	1,78,777	Not Claimed
$\rightarrow$	HARAT HEAVY ELECTRICALS LTD	VALVE TOA HO 2500 100NB GLOBE BW WC9	2018-19	3,27,947	Not Claimed
-+-	HARAT HEAVY ELECTRICALS LTD	CMPLT SLSV ASSY;S:3X6***;T:1759WA;BLR.DR	2018-19	3,31,384	Not Claimed
-		BOILER DRUM SLSV COMPLETE ASSY	2018-19	10,69,380	Not Claimed
+	NERGY EFFICIENCY SERVICES LTD	ENERGY EFFICIENT CEILING FAN - 50 WATT (100 Nos. Assets)	2018-19	1,34,400	Not Claimed
_		A-SCAN (A-SCAN BIOMETER)	2018-19	2,44,440	Not Claimed
<del></del>	UN POWER CONVERSION PVT LTD	UPS & BATTERYKVA RATING- 5 (2 Nos. Assets)	2018-19	2,06,500	Not Claimed
+-	G ASSOCIATES	Lighting mast in Switchyard & CHP area	2018-19	51,75,960	Not Claimed
<del></del>		CARTRIDGEASSY#AO102175/102603;WEIRFK6D32	2018-19	1,24,16,827	Vot Claimed
+		ESP (Renovation & Retrofitting) Pass 1 to 7 (07 Nos. Assets)	2018-19	20,18,85,634	
-		Microprocessor based electronic belt weigher at Co	2018-19	14,27,234	
_		Supply and Installation of OLEMS hardwar	2018-19		Claimed
Į.	RSEN & TOUBRO LTD	Complete Replacement of Slew Bearing of SR-3 in CH	2018-19		lot Claimed
1		Grand Total		46,10,83,859	
		Total (Singrauli Thermal)		40,24,07,119	· ·
$\bot$		Total (Singrauli Solar)		5,86,76,740	
$\top$	Δ	SINGRAULI THERMAL Disc. of liab. by way of pmt. out of liability on allowed items	<del></del>	<del></del>	_
+		pefore 1.4.04 Disc. of fliab, by way of pmt. out of liability on allowed items		76,71,616	
+-	<del></del>	luring 2004-09		21,99,956	
+		Disc. of liab. by way of pmt. on allowed items after 1.4.09-19  Total of Allowed, Claimed & Allowed Items (A+B+C)		1,56,27,501	
+-		iabilities in relation to 2004-09 not admitted		2,54,99,073	_
+		iabilities in relation to 2009-14 not allowed		84,83,042	
1		isc. of liab. by way of pmt. on disallowed items after 1.4.14	<del> +</del>	8,55,375	
+	<del></del>	isc. of liab. by way of pmt. on not claimed items after 1.4.14		6,74,03,522	
+				30,01,66,107	
_		Total of Disallowed and not claimed Items (E+F+G+H)		37,69,08,046	
		RAND TOTAL SINGRAULI		40,24,07,119	

Summary of issue involved in the petition

	of the Company : of the Power Station :	NTPC Limited			
1 Petitioner;		NTPC Limited	Singrauli STPS		
2	Subject		RIFF FOR THE PERIOD 2019-24		
ii) Allow the recovery of filir iii) Allow reimbursement of a		uli for the tariff period 01.04.201	9 to 31.03.2024.  Hon'ble Commission and publication expenses from the beneficiaries. rectly from the beneficiaries quarterly on net basis.		
4	Respondents: 11				
	Uttar Pradesh Power Corp. Ltd. (     Shakti Bhawan     14, Ashok Marg,     Lucknow – 226 001.	UPPCL)	2. Rajasthan Urja Vika Nigam Limited (RUVNL) (on behalf of DISCOMs of Rajasthan), Vidyut Bhawan, Janpath, Jaipur 302 005		
	3. Tata Power Delhi Distribution La Grid Substation, Hudson Road Kingsway Camp Delhi-110009.	d.	4. BSES Rajdhani Power Ltd., 2nd floor, B-Block BSES Bhawan, Nehru Place New Delhi-110019.		
	5. BSES Yamuna Power Ltd., Shakti Kiran Building Karkardooma Delhi-110092		6. Haryana Power Purchase Centre (HPPC) Shakti Bhawan, Sector – VI, Panchkula Haryana – 134 109		
	7. Punjab State Power Corporation Ltd. (PSPCL) The Mall Patiala – 147 001		8. Himachal Pradesh State Electricity Board Ltd. (HPSEB) Kumar Housing Complex Building-II Vidyut Bhawan Shimla – 171 004		
	Power Development Department Govt. of J&K, Secretariat     Srinagar/Jammu	(J&K)	10. Electricity Department (Chandigarh) Union Territory of Chandigarh Addl. Office Building Sector-9 D, Chandigarh		
	11. Uttarakhand Power Corporation Urja Bhawan, Kanwali Road Dehradun – 248 001 Uttarakhand.	Ltd. (UPCL)			
5	Project Scope		2000 MW Super Thermal Power Station		
	Cost		NA		
	Commissioning		May-88		
Claim (2019-24)			6450		
	AFC (Average; 2019-24)		1,01,783.21		
	Capital cost as on 01.04.2019	<del></del>	122980.92		
$\dashv$	Initial spare		<u> </u>		
	NAPAF (Gen)		85%		
	Any Specific		•		



#### असाधारण

#### EXTRAORDINARY

भाग II—खण्ड 3—उप-खण्ड (ii) PART II—Section 3—Sub-section (ii)

#### प्राधिकार से प्रकाशित PUBLISHED BY AUTHORITY

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नई दिल्ली, बुधवार, जनवरी 27, 2016 माघ 7, 1937

No. 225]

NEW DELHI, WEDNESDAY, JANUARY 27, 2016/ MAGHA 7, 1937

### पर्यावरण, वन और जलवायु परिवर्तन मंत्रालय अधिसूचना

नई दिल्ली, 25 जनवरी, 2016

का.आ. 254(अ).—भारत सरकार, पर्यावरण, वन और जलवायु परिवर्तन मंत्रालय की अधिसूचना सं. का.आ. 763(अ), नारीख 14 सितंवर, 1999 (जिसे इसमें इसके पश्चात् उक्त अधिसूचना कहा गया है) में कितपय संशोधनों का प्रारूप, जिन्हें केन्द्रीय सरकार पर्यावरण (संरक्षण) नियम, 1986 के नियम 5 के उप-नियम (3) के खंड (य) के साथ पठित पर्यावरण (संरक्षण) अधिनियम, 1986 ( 1986 का 29) की धारा 3 की उप-धारा (1) और उप-धारा (2) के खंड (v) के अंतर्गत करने का प्रस्ताव करती है, भारत के राजपत्र, असाधारण, भाग II, खंड 3, उप-खंड (ii) में अधिसूचना सं. का.आ. 1396(अ), तारीख 25 मई, 2015 द्वारा प्रकाशित किया गया था, जिसके द्वारा ऐसे सभी व्यक्तियों से, जिनके उनसे प्रभावित होने की संभावना थी, उस तारीख से, जिसको उक्त प्रारूप संशोधनों को अंतर्विष्ट करने वाली राजपत्र की प्रतियां जनता को उपलब्ध करा दी जाती हैं, माठ दिनों के अवसान से पूर्व आक्षेप और सुझाव आमंत्रित किए गए थे;

और उक्त राजपत्र की प्रतियां 25 मई, 2015 को जनता को उपलब्ध करा दी गई थी;

और उक्त प्रारूप अधिसूचना के संबंध में, ऐसे सभी व्यक्तियों से, जिनके उनसे प्रभावित होने की संभावना थी, प्राप्त सभी आक्षेपों और सुझावों पर केन्द्रीय सरकार द्वारा सम्यक् रूप से विचार कर लिया गया है;

अत:, अब, केन्द्रीय मरकार पर्यावरण (संरक्षण) नियम, 1986 के नियम 5 के उप-नियम (3) के खंड (घ) के साथ पठित पर्यावरण (संरक्षण) अधिनियम, 1986 (1986 का 29) की धारा 3 की उप-धारा (1) और उप-धारा (2) के खंड (v) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए उक्त अधिसूचना में निम्नलिखित संशोधन करती है, अर्थात् :1. उक्त अधिसूचना के पैरा 1 में-

- उक्त आधसूचना क परा 1 म-
  - (क) उप पैरा 1(क) में "सौ किलोमीटर" शब्दों के स्थान पर "तीन सौ किलोमीटर" शब्द रखें जाएंगे;
  - (ख) उप पैरा 3 में "100 कि.मी." अंकों और शब्दों के स्थान पर "तीन सौ किलोमीटर" शब्द रखें जाएंगे;
  - (ग) उप पैरा 5 में "सौ किलोमीटर" शब्दों के स्थान पर "तीन सौ किलोमीटर" शब्द रखें जाएंगे;
  - (घ) उप पैरा 7 में "सौ किलोमीटर" शब्दों के स्थान पर "तीन सौ किलोमीटर" शब्द रखें जाएंगे;

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#### 2. उक्त अधिसूचना के पैरा 2 में:-

# (क) उप पैरा (1) के पश्चात् निम्नलिखित परंतुक अंत:स्थापित किया जाएगा, अर्थात्:-

"परंतु यह और कि शुष्क ईएसपी फ्लाई ऐश के 20 प्रतिशत का नि:शुल्क प्रदाय करने का निर्बंधन उन तापीय विद्युत संयंत्रों पर लागू नहीं होगा, जो विहित रीति में मौ प्रतिशत फ्लाई ऐश का उपयोग करने में समर्थ हैं।"

# (ख) उप पैरा (7) के पश्चात् निम्नलिखित उप पैरा अंत:स्थापित किए जाएंगे, अर्थात् :-

- "(8) प्रत्येक कोयला या लिग्नाइट आधारित तापीय विद्युत संयंत्र (जिसके अंतर्गत कैपटिव और/या मह उत्पादन केन्द्र भी हैं), अधिमूचना की तारीख से तीन माम के भीतर उनके पास उपलब्ध प्रत्येक किस्म की ऐश के स्टाक के व्यौरे अपनी वेवसाइट पर अपलोड करेगा और उसके पश्चान् मास में कम से कम एक बार स्टाक की स्थिति को अद्यतन करेगा।
- (9) प्रत्येक कोयला या लिग्नाइट आधारित तापीय विद्युत संयंत्र समर्पित शुष्क ऐश साइलोस प्रतिष्ठापित करेगा, जिनके पास पृथक् पहुंच मार्ग होंगे, जिससे कि फ्लाई ऐश के परिदान की सुगम बनाया जा सके।
- (10) कोयला या लिग्नाइट आधारित तापीय विद्युत मंयंत्र से 100 किलोमीटर की परिधि के भीतर सड़क संनिर्माण परियोजनाओं या ऐश आधारित उत्पादों के संनिर्माण के लिए या कृषि संबंधित क्रियाकलापों में मृदा अनुकूलक के रूप में उपयोग के लिए ऐश के परिवहन की लागत ऐसे कोयला या लिग्नाइट आधारित तापीय विद्युत संयंत्र द्वारा वहन की जाएगी और 100 किलोमीटर की परिधि से परे और 300 किलोमीटर की परिधि के भीतर ऐसे परिवहन की लागत को उपयोक्ता और कोयला या लिग्नाइट आधारित तापीय विद्युत संयंत्र के बीच समान रूप से अंश भाजित की जाएगी।
- (11) कोयला या लिग्नाइट आधारित तापीय विद्युत संयंत्र अपने परिसरों के भीतर या अपने परिसरों के आस-पास ऐश आधारित उत्पाद संनिर्माण सुविधाओं का संवर्धन करेंगे, उन्हें अपनाएंगे और उनकी स्थापना करेंगे (वित्तीय और अन्य सहबद्ध अवसंरचना)।
- (12) नगरों के आस-पास बने कोयला या लिग्नाइट आधारित तापीय विद्युत संयंत्र ऐश आधारित उत्पाद विनिर्माण इकाइयों का संवर्धन करेंगे और उनकी स्थापना का समर्थन और उसमें सहायता करेंगे ताकि ईंटों और अन्य भवन संनिर्माण सामग्रियों की अपेक्षाओं की पूर्ति की जा सके और साथ ही परिवहन में कमी की जा सके।
- (13) यह मुनिश्चित करने के लिए कि किसी सड़क संनिर्माण का संविदाकार सड़क निर्माण में ऐश का उपयोग करता है, सड़क संनिर्माण के लिए संबद्ध प्राधिकारी मंविदाकार को किए जाने वाले संदाय को नापीय विद्युत संयंत्र से ऐश के प्रदाय के प्रमाणीकरण के माथ जोड़ेगा।
- (14) कोयला या लिग्नाइट आधारित तापीय विद्युत संयंत्र, 300 किलोमीटर की परिधि के भीतर प्रधानमंत्री ग्रामीण संडक योजना के अधीन सड़क संनिर्माण परियोजनाओं और भवनों, सड़कों, बांधों और तटबंधों के संनिर्माण को अंतर्विति करने वाले सरकार के आस्ति सृजन कार्यक्रमों के स्थल तक ऐश के परिवहन की संपूर्ण लागत का वहन करेगा।"।

### 3. उक्त अधिसूचना के पैरा (2) के उप-पैरा (2क) को उप-पैरा (15) के रूप में पढ़ा जाए और उक्त उप-पैरा के अंत में निम्नलिखित उप-पैरा जोड़ा जाएगा, अर्थात् :-

"और तटीय जिलों में अवस्थित कोयला या लिग्नाइट आधारित तापीय विद्युत संयंत्र तटरेखा मुरक्षा उपायों का समर्थन करेंगे, उनके संनिर्माण में सहायता करेंगे या उसमें प्रत्यक्ष रूप से सम्मिलित होंगे।"

# उक्त अधिसूचना के पैरा 3 में उप-पैरा (7) के पश्चात् निम्नलिखित अंत:स्थापित किया जाएगा, अर्थात् :-

- "(8) विभिन्न संनिर्माण परियोजनाओं का अनुमोदन करने वाले सभी राज्य प्राधिकारियों का यह उत्तरदायित्व होगा कि वे यह सुनिश्चित करें कि फ्लाई ऐश का उपयोग करने या फ्लाई ऐश आधारित उत्पादों के लिए तापीय विद्युत संयंत्रों और संनिर्माण अभिकरण या संविदाकारों के बीच परस्पर समझ ज्ञापन या कोई अन्य ठहराव किया जाता है।
- (9) राज्य प्राधिकारी, दस लाख या अधिक की जनसंख्या वाले नगरों की भवन निर्माण संबंधी उप विधियों का संशोधन करेंगे ताकि भार वहन करने वाली संरचनाओं हेतु तकनीकी अपेक्षाओं के अनुसार आवश्यक विनिर्देशों को ध्यान में रखते हुए ऐश आधारित ईंटों के आज्ञापक उपयोग को सुनिश्चित किया जा सके।

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- (10) संबद्ध प्राधिकारी मभी सरकारी स्कीमों या कार्यक्रमों में, उदाहरणार्थ महात्मा गांधी राष्ट्रीय ग्रामीण रोजगार गारंटी अधिनियम, 2005 (मनरेगा), स्वच्छ भारत अभियान, शहरी और ग्रामीण आवासन स्कीम, जहां संनिर्मित क्षेत्र एक हजार वर्ग फुट से अधिक है और अवसंरचना संबंधी संनिर्माण में, जिसके अंतर्गत अभिहित औद्योगिक संपदाओं या पार्कों या विशेष आर्थिक जोनों में भवन निर्माण भी है, ऐश आधारित ईंटों या उत्पादों के आज्ञापक उपयोग को स्निश्चित करेंगे।
- (11) कृषि मंत्रालय कृषि क्रियाकलापों में ऐश के मृदा अनुकूलक के रूप में उपयोग का संवर्धन करने पर विचार कर सकेगा।"
- 5. सभी संबद्ध प्राधिकारियों द्वारा उपरोक्त उपबंधों का अनुपालन करने की समयाविध 31 दिसंबर, 2017 है। कोयला या लिग्नाइट आधारित तापीय विद्युत संयंत्र, उनके द्वारा उत्पादित फ्लाई ऐश के 100 प्रतिशत उपयोग के अतिरिक्त उपरोक्त उपबंधों का अनुपालन 31 दिसंबर, 2017 से पूर्व करेंगे।

[फा. मं. 9-8/2005-एचएसएमडी] विश्वनाथ सिन्हा, संयुक्त मचिव

टिप्पण:- मूल अधिसूचना भारत के राजपत्र, असाधारण, भाग II, खंड 3, उप-खंड (ii) में अधिसूचना सं. का.आ. 763(अ), तारीख 14 सितंबर, 1999 द्वारा प्रकाशित की गई थी और इसमें पश्चातवर्ती संशोधन अधिसूचना सं. का.आ. 979(अ), तारीख 27 अगस्त, 2003 और का.आ. 2804(अ), तारीख 3 नवंबर, 2009 द्वारा किए गए थे।

# MINISTRY OF ENVIRONMENT, FORESTS AND CLIMATE CHANGE NOTIFICATION

New Delhi, the 25th January, 2016

S.O. 254(E).—Whereas a draft of certain amendments to the Government of India in the Ministry of Environment, Forests and Climate Change number S.O. 763(E), dated the 14th September. 1999 (hereinafter referred to as the said notification) which the Central Government proposes to make under sub-section (1) and clause (v) of sub-section (2) of section 3 of the Environment (Protection) Act, 1986 (29 of 1986) read with clause (d) of sub-rule (3) of rule 5 of the Environment (Protection) Rules, 1986, was published in the Gazette of India, Extraordinary, Part II, section 3, Sub-section (ii), vide S.O. 1396(E), dated the 25<sup>th</sup> May, 2015 inviting objections and suggestions from all persons likely to be affected thereby before the expiry of sixty days from the date on which copies of the Gazette containing the said draft amendments were made available to the public.

And, whereas copies of the said Gazette were made available to the public on 25th May, 2015;

And, whereas all the objections and suggestions received from all persons likely to be affected thereby in respect of the said draft notification have been duly considered by the Central Government;

Now, therefore, in exercise of the powers conferred by sub-section (1) and clause (v) of sub-section (2) of section 3 of the Environment (Protection) Act, 1986 (29 of 1986) read with clause (d) of sub-rule (3) of rule 5 of the Environment (Protection) Rules, 1986, the Central Government hereby makes the following amendments to the said notification, namely:—

- 1. In the said notification, in paragraph 1,-
  - (a) in sub-paragraph 1(A), for the words "hundred kilometers", the words "three hundred kilometers" shall be substituted:
  - (b) in sub-paragraph (3), for the figures and letters "100 km", the words "three hundred kilometers" shall be substituted;
  - (c) in sub-paragraph (5), for the words "hundred Kilometers", the words "three hundred Kilometers" shall be substituted;
  - (d) in sub-paragraph (7), for the words "hundred Kilometers", the words "three hundred Kilometers" shall be substituted.

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#### 2. In the said notification, in paragraph 2:-

(a) after sub-paragraph (1), the following proviso shall be inserted, namely:-

"provided further that the restriction to provide 20 % of dry ESP fly ash free of cost shall not apply to those thermal power plants which are able to utlise 100 % fly ash in the prescribed manner."

- (b) after sub-paragraph (7), the following sub-paragraphs shall be inserted, namely:-
- "(8) Every coal or lignite based thermal power plants (including captive and or co-generating stations) shall, within three months from the date of notification, upload on their website the details of stock of each type of ash available with them and thereafter shall update the stock position at least once a Month.
- (9) Every coal or lignite based thermal power plants shall install dedicated dry ash silos having separate access roads so as to ease the delivery of fly ash.
- (10) The cost of transportation of ash for road construction projects or for manufacturing of ash based products or use as soil conditioner in agriculture activity within a radius of hundred kilometers from a coal or lignite based thermal power plant shall be borne by such coal or lignite based thermal power plant and the cost of transportation beyond the radius of hundred kilometers and up to three hundred kilometers shall be shared equally between the user and the coal or lignite based thermal power plant.
- (11) The coal or lignite based thermal power plants shall promote, adopt and set up (financial and other associated infrastructure) the ash based product manufacturing facilities within their premises or in the vicinity of their premises so as to reduce the transportation of ash.
- (12) The coal or lignite based thermal power plants in the vicinity of the cities shall promote, support and assist in setting up of ash based product manufacturing units so as to meet the requirements of bricks and other building construction materials and also to reduce the transportation.
- (13) To ensure that the contractor of road construction utilizes the ash in the road, the Authority concerned for road construction shall link the payment of contractor with the certification of ash supply from the thermal power plants.
- (14) The coal or lignite based thermal power plants shall within a radius of three hundred kilometers bear the entire cost of transportation of ash to the site of road construction projects under Pradhan Mantri Gramin Sadak Yojna and asset creation programmes of the Government involving construction of buildings, road, dams and embankments".
- 3. In the said notification, in paragraph 2, sub-paragraph (2A) be read as sub-paragraph (15) and at the end of the said sub-paragraph, the following sub-paragraph shall be added, namely:-

"and the coal or lignite based thermal power plants located in coastal districts shall support, assist or directly engage into construction of shore line protection measures."

- 4. In the said notification, in paragraph 3, after sub-paragraph (7), the following shall be inserted. namely:-
  - "(8) It shall be the responsibility of all State Authorities approving various construction projects to ensure that Memorandum of Understanding or any other arrangement for using fly ash or fly ash based products is made between the thermal power plants and the construction agency or contractors.
  - (9) The State Authorities shall amend Building Bye Laws of the cities having population One million or more so as to ensure the mandatory use of ash based bricks keeping in view the specifications necessary as per technical requirements for load bearing structures.
  - (10) The concerned Authority shall ensure mandatory use of ash based bricks or products in all Government Scheme or programmes e.g. Mahatma Gandhi National Rural Employment Guarantee Act, 2005 (MNREGA), SWACHH BHARAT ABIYAN, Urban and Rural Housing Scheme, where built up area is more than 1000 square feet and in infrastructure construction including buildings in designated industrial Estates or Parks or Special Economic Zone.

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- (11) The Ministry of Agriculture may consider the promotion of ash utilisation in agriculture as soil conditioner."
- 5. The time period to comply with the above provisions by all concerned authorities is 31<sup>st</sup> December, 2017. The coal or lignite based thermal power plants shall comply with the above provision in addition to 100 % utilization of fly ash generated by them before 31<sup>st</sup> December, 2017.

[F. No. 9-8/2005-HSMD]

BISHWANATH SINHA, Jt. Secy.

Note:- The principal notification was published in the Gazette of India, Extraordinary, Part II, section 3. Sub-section (ii) vide notification S.O. 763(E), dated the 14<sup>th</sup> September, 1999 and was subsequently amended vide notification S.O. 979(E), dated the 27<sup>th</sup> August, 2003 and S.O. 2804(E), dated the 3<sup>rd</sup> November, 2009.

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Item Nos. 01 to 03

Court No. 1

# BEFORE THE NATIONAL GREEN TRIBUNAL PRINCIPAL BENCH, NEW DELHI

Original Application No. 117/2014 WITH Original Application No. 499/2014 WITH

Original Application No. 102/2014 (M.A. No. 858/2014, M.A. No.872/2014, 42/2015, 287/2015, 694/2015 & 580/2016)

Shantanu Sharma

Applicant(s)

Versus

Union of India & Ors.

Respondent(s)

Anupam Raghav & Anr.

Applicant(s)

Versus

U.O.1. & Ors.

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Respondent(s)

WITH

Sandplast (India) Ltd. & Ors.

Applicant(s)

Versus

MoEF & Ors.

Respondent(s)

Date of hearing: 20.11.2018

CORAM

HON'BLE MR. JUSTICE ADARSH KUMAR GOEL, CHAIRPERSON HON'BLE MR. JUSTICE S.P. WANGDI, JUDICIAL MEMBER HON'BLE MR. JUSTICE K. RAMAKRISHNAN, JUDICIAL MEMBER HON'BLE DR. NAGIN NANDA, EXPERT MEMBER

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#### **ORDER**

- These matters raise concern of management of fly ash generated by the Thermal Power Plants (TPP). We may refer to the pleadings in Original Application No. 117/2014, Shantanu Sharma Vs. Union of India & Ors. Other matters are said to be identical.
- 2. The applicant claims to be interested in protection of environment and forest. He claims to be aggrieved by non-implementation of Notifications issued by the Ministry of Environment, Forest and Climate Change (MoEF&CC) for proper utilization of fly ash generated by the coal and lignite based TPP.
- Case of the applicant is that non-utilization and improper disposal of fly ash leads to increase in air pollution and causes severe health problems. It also affects horticulture and agricultural crops. As at present, there are increased ash pond areas and increased height of ash dykes. Apart from air pollution, there is pollution of surface water and ground water. Major pollutants in fly ash are Arsenic and Mercury. Both the said pollutants are injurious for the land and the water bodies. Thus, there is need for 100% utilization of fly ash by all possible means such as conversion to ash based products, preventing its washing away or flying in the air. MoEF&CC has failed to ensure proper monitoring mechanism inspite of issuing notification on the subject.
- 4. Notification dated 14.09.1999 required use of atleast 25% of the ash for clay bricks or tiles or blocks for use in construction



activities. The Delhi High Court vide judgment dated 05.08.2004 in Writ Petition (C) No. 2145/1999 directed the Government to make use of fly ash mandatory in roads apart from using it in bricks for construction. Land, electricity and water is required to be made available for promoting ash based production units. Vide amendment dated 03.11.2009, provision was made for its use in manufacturing of building material and in construction activity to preserve top soil by restricting excavation for disposal. Since quantum of fly ash has increased, the extent of fly ash required to be used was also increased.

- 5. In view of more and more concern on account of failure of efficacy of the measures already taken, a working group was constituted by the Department of Industrial Policy and Promotion, Ministry of Commerce and Industry, Govt. of India. In its report submitted in the year 2011, the said group inter-alia observed that increase in generation of fly ash led to increase in the requirement of land and thus target has to be of 100% utilization as against 60% utilization which was happening. The disposal process lacked transparency and it was necessary that each thermal power plant displays complete information. Other measures adopted include policy of financial institutions to require compliance of fly ash as a condition for grant of loan and incentives in rate of excise duty. The applicant has also referred to the report jointly published by the World Bank and the Department of Economic Affairs, Govt. of India pointing out the deficiencies in pro-active government policies on the subject.
- 6. We may now refer to the Notifications on the subject. Vide Notification dated 14.09.1999, the MoEF&CC issued directions requiring manufacturers of clay bricks or tiles or blocks or construction activities to mix atleast 25% of ash which is to be ensured by the Pollution Control Boards/Committees by



canceling the consent order for brick kilns or mining leases. Every thermal power plant was required to make available such ash and phase out dumping and disposal in three years to the extent of 30%, and in six years the remaining. This applied to plants permissioned subject to Environmental Clearance having such conditions. The remaining are required to phase-out the same in 15 years and compliance was required to be furnished to the Central Pollution Control Board and the concerned State Pollution Control Boards/Committees. The Electricity Boards, NTPC and the management of the power plants are to facilitate making available land, electricity and water for manufacturing activities and also to provide access to the ash lifting area and furnish annual implementation report. Manufacturers of ash based products such as cement, blocks, brick panels were to operate as per the guidelines laid down by the Bureau of Indian Standards, Indian Bureau of Mines, Indian Road Congress, Central Building Research Institute, Roorkee, Central Road Research Institute, New Delhi, Building Materials and Technology Promotion Council, New Delhi, Central Public Works Department, State Public Works Departments and other Central and State Government agencies. The said authorities are to prescribe the use of ash and ash based products in schedules of specifications. Local authorities are to specify such requirement in building byelaws.

- 7. Vide Notification dated 27.08.2003, certain amendments were made particularly to the effect that construction agencies were required to use the fly ash to the extent of 100% in a phased manner upto 31.08.2007.
- 8. Next Notification is dated 03.11.2009, revising the timelines and the period for implementation. The revised timelines apply to the construction agencies as well as thermal power plants as per



details mentioned in the said Notification. The said Notification also provided for shifting of the fly ash by filling empty mined voids by stowing.

- 9. The report of the Working Group of Cement Industries for 12th Five Year Plan (2012-2017) by the Department of Industrial Policy and Promotion, Ministry of Commerce and Industry goes into the extent of the problems and challenges in tackling the issue. The report shows that if the fly ash generated is not consumed, the cost on the economy by way of disposal risks and threat to health will be very high. Thus, to conserve top soil and prevent dumping and disposal on land, proper disposal of fly ash was significant. It was recommended that the Ministry of Power, Govt. of India should make proper assessment of the level of fly ash generation. The fly ash need not be required to be supplied free by the cement manufacturers to small bricks manufacturers and should be utilized by the cement plants for their own consumption. If the cement plants are required to purchase the fly ash at a cost, it will require transportation and add to the cost unnecessarily.
- Finance and Revenue, Govt. of India in its reply has stated that the excise duty is 2% without CENVAT credit and 6% with CENVAT credit. Fly ash products are covered by SSI exemption.

  No excise duty is payable upto a clearance value of Rs. 1.5 crores.
- 11. The Reserve Bank of India has stated that the Banks have been advised to have an appropriate policy in this regard in the matter of giving loans.
- 12. The MoEF&CC has referred to the Notifications issued from time to time to deal with the problem. In respect of thermal power



stations in its operation before 03.11.2009, extent of fly ash utilizations is as per following table:

Sr. No.	Percentage Utilization of Fly Ash	Target Date	
1.	At least generation 50% of fly ash	One year from the date of issue of this notification.	
2.	At least generation 60% of fly ash	issue of this notification.	
3.	At least generation 75% of fly ash	Three years from the date of issue of this notification	
4.	At least generation 90% of fly ash	Four years from the date of issue of this notification	
5.	At least generation 100% of fly ash	Five years from the date of issue of this notification	

13. For those commissioned thereafter, the extent of fly ash utilization is as follows:

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Sr. No.	Percentage Utilization of Fly Ash	Target Date
1.	At least generation 50% of fly ash	One year from the date of issue of commissioning.
2.	At least generation 70% of fly ash	issue of commissioning.
3.	At least generation 90% of fly ash	issue of commissioning.
4.	At least generation 100% of fly ash	Four years from the date of issue of commissioning.

14. Conditions prescribed for utilization of fly ash are as follows:

"(a) the pond ash should be made available free of cost on "as is where is basis" to manufacturers of bricks, blocks or tiles including clay fly ash product manufacturing unit(s), farmers, the Central and the State road construction agencies, Public Works Department, and to agencies engaged in backfilling or stowing of mines.

b) at least 20% of dry ESP fly ash shall be made available free of cost to units manufacturing fly ash or clay-fly ash bricks, blocks and tiles on a priority basis over other users and if the demand from such agencies falls short of 20% of quantity, the balance quantity can be sold or disposed of by the power station as may be possible;

Provided that the fly ash obtained from the thermal power station should be utilized only for the purpose for which it was obtained from the thermal power station or plant failing which no fly ash shall be made available to the defaulting users."

15. Under the above notification, a Monitoring Committee is to be constituted in every State/Union Territory under the Chairmanship of the Secretary, Department of Environment with representatives from Department of Power, Department of Mining, Road and Building Construction Department and State Pollution Control Board. The Committee is required to deal with

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any unresolved issue by Dispute Settlement Committee in addition to the monitoring and facilitating the implementation of the notification.

- 16. Monitoring Committee constituted by the MoEF&CC is to have members from Ministry of Coal., Ministry of Power., Central Pollution Control Board., Central Electricity Authority., Head, Fly Ash Unit of the Department of Science and Technology and Building Material Technology Promotion Council.
- 17.It is further stated, in the affidavit of the MoEF&CC, that as per the information received from Central Electricity Authority (CEA), during a meeting, the fly ash generation from 138 thermal power plants is reported to be 163.56 million tons during the year 2012-13. The overall utilization of fly ash was 100.73 million ton, which is about 61.37% of the total fly ash generated. During the year 2012-13, out of 138 (one hundred thirty-eight) thermal power stations for which data was received, 66 (sixty-six) power stations have achieved the targets of fly ash utilization as stapulated in the notification dated 03.11.2009. The remaining 33 (thirty-three) plants have achieved the level of fly ash utilization up to 75%. The 19 (nineteen) plants have achieved the level of fly ash utilization up to 60%.
- 18.CPCB has given a chart showing progress of fly ash generation and utilization from 1996 to 2012. Some of the State Pollution Control Boards/Committees have filed their affidavits indicating the extents to which utilization of fly ash has taken place.
- 19. Vide Notification dated 25.01.2016, further amendment was made to the Notification dated 14.09.1999 mainly to the effect that the area within which the fly ash is to be utilized has been increased to 300 kms. The time period to comply with the requirements of 100% utilization of fly ash was extended to 31.12.2017.

- 20. The matter has been considered on several dates in the last four and a half years. Reference may be made to some of the orders passed.
- 21. Vide order dated 06.01.2016, the MoEF&CC, the State Governments/Union Territories were required to furnish the details of the Monitoring Committees and if such Committees were not constituted as per the mandate of the Notification, the same were directed to be constituted.
- 22. On 03.01.2018, the States/Union Territories were directed to furnish their action plans for utilization of fly ash produced and generated by thermal power plants in accordance with the Notifications.
- 23. On 16.02.2018, it was noted that only eight States have submitted their action plans. MoEF&CC was directed to expedite the collection of action plans from the concerned States.
- 24. On 20.03.2018, the Chief Secretaries of the States, who had failed to submit action plans, were again required to do so.
- 25. On 12.07.2018, it was stated that 20 States have submitted their action plans out of which 13 were not complete or satisfactory. The MoEF&CC was directed to monitor the compliance of the earlier orders referred to above and submit a status report.
- 26 Accordingly, status report has been filed before this Tribunal on 07.09.2018 by the MoEF&CC stating that no fly ash is generated in 15 States/UTs namely Goa, Himachal Pradesh, Jammu & Kashmir, Kerala, Manipur, Mizoram, Nagaland, Sikkim, Tripura, Andaman and Nicobar, Chandigarh, Daman & Diu, Dadra & Nagar Haveli, Lakshadweep and Pondicherry. Accordingly, the said States and Union Territories and their authorities be deleted from the array of parties.
- 27. Twenty States have given their action plans. Only State of Arunachal Pradesh has not furnished any action plan. Since there is nothing to show that there is any fly ash generation in the said

State, the said State or its authorities are also deleted from array of parties. We do not understand why deletion of unnecessary parties was never sought.

- 28.The position of each of the remaining twenty States has been mentioned. It may be noted that though the last date for achieving 100% utilization was 31.12.2017 and the said date has not been extended, the States have sought extension of time by 2 to 5 years upto the year 2023 which is wholly uncalled for. This Tribunal has no jurisdiction to grant any extension of time in conflict with the mandate of notification under the Environment (Protection) Act, 1986, particularly when such extension will enable harm to enveronment, in violation of statutory scheme. It is also stated by some of the States that action plans to achieve 100% utilization of fly ash has not even been furnished by some of the Thermal Power Plants.
- 29. We may also note that the NITI Aayog, vide order dated 12.06.2018, constituted a Committee headed by Joint Secretary, MoEF&CC, Govt. of India, to develop a focused strategy for best utilization of fly ash to manufacture end products. Issues to be gone into by the Committee are revisiting existing notifications / guidelines, transportation of fly ash, better utilization in MSME Sector, cement and allied industries, use of mobile app in data base, guidelines for ash parks regulation of red bricks, incentives for 100% utilization, incentives to TPPs for new innovations. Draft report was circulated by MoEF&CC on 16.10.2018. The Committee noted that the existing notification needed review and the same were not being fully implemented.
- 30. In view of the above, only question for consideration is the directions to be issued on account of failure of 100% utilization of fly ash which has admitted adverse impact on public health and to give effect to the 'Precautionary Principle' and the 'Polluter Pays'



Principle to be applied under Section 20 the National Green Tribunal Act, 2010.

- 31. Since non-utilization of 100% fly ash, especially after 31.12.2017, the date fixed in the Notification of the MoEF&CC dated 25.01.2016, invites penal consequences under the provisions of the Environment Protection Act, 1986, liability in this regard is not only of the persons responsible for non-utilization but also for generators of the fly ash. The generator cannot avoid responsibility for due disposal of any residue pollutants on account of its activity. The principle of 'extended producer's liability' is well recognized as part of 'Sustainable Development'. Applying the 'Precautionary Principle', the permission to dump fly ash in the mined voids has to be subject to all precautionary measures necessary for environment protection. Area of utilization of fly ash has been extended to 300 kms, which may call for more stringent conditions to avoid damage to the environment.
- 32. The adverse effect of fly ash mismanagement is well acknowledged in decision of Courts as well as by public authorities?
- 33. We are of the view that a Joint Committee of the representatives of the Ministry of Environment, Forest and Climate Change, Central Pollution Control Board and IIT Roorkee and any other member considered necessary by MoEF&CC needs to be forthwith constituted to finalize action plan covering all aspects so as to not only achieve 100% utilization of fly ash but also to ensure its scientific and environmentally sound disposal. The Committee will also be required to determine the amount of damages to be paid for the violation of requirement of utilization of fly ash. Needless to say that statutory authorities under the Environment

http://pib.nic.in/newsite/PrintRelease.aspx?relid=179785

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<sup>&</sup>lt;sup>1</sup> Occupational Health and Safety Association v. Union of India & Ors. (2004) 3 SCC 547 ¶12-15

<sup>&</sup>lt;sup>2</sup> http://pib.nic.in/PressReleseDetail.aspx?PRID=1520080, https://economictimes.indiatimes.com/news/politics-and-nation/pmo-asks-agencies-to-increase-usage-of-fly-ash-by-10-times/articleshow/65814656.cms, https://energy.economictimes.indiatimes.com/news/power/from-coal-production-to-renewable-power-obligations-niti-asyog-lays-down-its-3-year-agenda-for-indias-energy-sector/58486230,

(Protection) Act, 1986, the Air (Prevention and Control of Pollution) Act, 1981 and the Water (Prevention and Control of Pollution) Act, 1974 are entitled to assess and recover damages on 'Polluter Pay's Principle' in exercise of incidental powers to protect environment. The Committee may determine any other allied or incidental issue.

- 34. Accordingly, we direct constitution of such a Committee by the MoEF&CC forthwith. The Committee may give its report within two months from the date of its assuming charge to the MoEF&CC.
- 35. The report of the Committee may be complied with by all concerned, subject to any challenge to such report, in accordance with law.
- 36.Pending submissions of such report, we direct all Thermal Power Stations who have failed to dispose of 100% fly ash up to \$1.12.2017, to deposit damages for environment restoration as follows:

SI. No.	Capacity of the Thermal Power Plant   Cost of damages
1.	Thermal Power Plants upto the Rs. 1 Crore
*(:	capacity of 500 MW
2.	Thermal Power Plants upto the Rs. 3 Crores
She.	capacity of 1000 MW
3,	Thermal Power Plants beyond the Rs. 5 Crores
	capacity of 1000 MW

- 37. The above amount may be deposited with the CPCB within one month from today, failing which interest @ 12% p.a. will be payable for the delayed period. The amount may be spent on restoration and restitution of the environment.
- 38. No damages will be payable by the Thermal Power Plants which have utilized 100% of the ash generated by it in accordance with law up to 31.12.2017 and disposing it in scientific manner. In case, any such claim is found to be false by the Committee, the amount of penalty payable may be up to five times.

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- 39. The MoEF&CC may furnish an action taken report to this Tribunal on or before 31.03.2019 by e-mail at <a href="mailto:ngt.filing@gmail.com">ngt.filing@gmail.com</a>. All the applications are disposed of.
- 40. Report may be put up for consideration on 9th April, 2019.

Adarsh Kumar Goel, CP

S.P. Wangdi, JM



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र्जिस्ट्री सं० डी॰ एल॰-33004/99

REGD. NO. D. L.-33004/99



असाधारण

#### **EXTRAORDINARY**

भाग II—खण्ड ३—उप-खण्ड (i)

PART II—Section 3—Sub-section (i) प्राधिकार से प्रकाशित

PUBLISHED BY AUTHORITY

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नई दिल्ली, बृहस्पतिबार, जनवरी 2, 2014/पौष 12, 1935

NEW DELHI, THURSDAY, JANUARY 2, 2014/PAUSHA 12, 1935

### पर्यावरण और वन मंत्रालय

#### अधिसूचना

नई दिल्ली, 2 जनवरी, 2014

सा.का.नि. 02(अ).—केन्द्रीय सरकार ने, पर्यावरण (संरक्षण) नियम, 1986 के नियम 5 के साथ पठित पर्यावरण संरक्षण अधिनियम, 1986 (1986 का 29) की धारा 3 की उप-धारा (2) और धारा 25 का प्रयोग करते हुए, पर्यावरण (संरक्षण) नियम, 1986 का और संशोधन करने के लिए भारत के राजपत्र, असाधारण में सं. सा.का.नि. 552(अ), तारीख 11 जुलाई, 2012 द्वारा समी व्यक्तियों तथा संगठनों की जानकारी के लिए जिनके उनसे प्रभावित होने की संभावना थी, प्रारूप नियम प्रकाशित किए थे और यह सूचना दी थी कि उक्त प्रारूप नियमों पर उस तारीख से जिसको राजपत्र की प्रतियां, जिसमें सूचना प्रकाशित की जाती है, जनता को उपलब्ध करा दी जाती हैं, साठ दिनों की अवधि की समाप्ति के पश्चात् विचार किया जाएगा;

और जनता को उक्त राजपत्र की प्रतियां 31 जुलाई, 2012 को उपलब्ध करा दी गईं थी;

और केन्द्रीय सरकार द्वारा उपर वर्णित प्रारूप नियमों पर प्राप्त सुझावों या आक्षेपों पर सम्यक्तः विचार किया गया है;

अतः अबं केन्द्रीय सरकार, पर्यायरण (संरक्षण) नियम, 1986 के नियम 5 के साथ पठित पर्यावरण संरक्षण अधिनियम, 1986 (1986 का 29) की धारा 3 की उप-धारा (2), धारा 6 और धारा 25 द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए, पर्यावरण (संरक्षण) नियम, 1986 का और संशोधन करने के लिए निम्नलिखित नियम बनाती है, अर्थात् : —

- 1. (1) इन नियमों का संक्षिप्त नाम पर्यावरण (संरक्षण) संशोधन नियम, 2014 है !
  - (2) ये राजपत्र में प्रकाशन की तारीख की प्रवृत्त होंगे ।
- 2. पर्यावरण (संरक्षण) अधिनियम, 1986 में, नियम 3 के उप-नियम (8) के स्थान पर निम्नलिखित उप-नियम रखा जाएगा अर्थात् : ---

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- "(8) इसमें नीचे विनिर्दिष्ट तारीख से निम्नलिखित कोयला आधारित ताप ऊर्जा संयंत्र त्रैमासिक औसत आधार पर कच्चा अथवा मिश्रित अथवा सज्जीकृत कोयले का जिसमें भव्म अंतर्वस्तु 34% से अनिधक हो, उपयोग करेंगे, अर्थात् : —
- (क) एकल ताप विद्युत संयंत्र (किसी भी क्षमता का) या 100 मेगावाट या अधिक प्रतिष्ठापित क्षमता का या उससे अधिक क्षमता का प्रतिष्ठापित कैप्टिव ताप विद्युत संयंत्र जो गर्तमुख से 1000 किलोमीटर से अधिक दूरी पर या किसी शहरी क्षेत्र या किसी पारिस्थितिकीय रूप से संवेदनशील क्षेत्र में या गंभीर रूप से प्रदूषित औद्योगिक क्षेत्र में आवस्थित है, गर्तमुख से उसकी दूरी पर ध्यान दिए बिना सिवाय किसी गर्तमुख विद्युत संयंत्र के, तुरंत प्रभाव से,;
- (ख) एकल ताप विद्युत संयंत्र (किसी भी क्षमता का) या 100 मेगावाट या उससे अधिक प्रतिष्ठापित क्षमता का कैप्टिव ताप विद्युत संयंत्र जो गर्तमुख से 750-1000 किलोमीटर के बीच की दूरी पर अवस्थित है, 1 जनवरी, 2015 से;
- (ग) एकल ताप कर्ज़ा संयंत्र (किसी भी क्षमता का) या 100 मेगावाट या उससे अधिक प्रतिष्ठापित क्षमता का कैप्टिव ताप विद्युत संयंत्र जो गर्तमुख से 500-749 किलोमीटर के बीच की दूरी पर अवस्थित है, 5 जून, 2016 से;

परंतु यह कि ऐसे किसी ताप ऊर्जा संयंत्र के संबंध में, जो परिसंचारी तरल-तर दहन या वायुमंडलीय तरल-तर दहन या संपीड़ित द्रवित तरल-तर दहन या समाकलित गैसीकरण संयुक्त आवर्तन चक्र प्रौद्योगिकियों का या ऐसी कोई रवच्छ प्रौद्योगिकियों का, जो केन्द्रीय सरकार द्वारा राजपत्र में अधिसूचित की जाएं, प्रयोग कर रहा है, खंड (क). (ख) और (ग) लागू नहीं होंगे।

#### स्पष्टीकरण : \_ इस नियम के प्रयोजन के लिए, \_

- (i) 'सज्जीकृत कोयला' से ऐसा कोयला अभिष्रेत हैं जिसमें उच्चतर कैलोरी मान अंतर्विष्ट है किंतु भौतिक पृथक्करण या धोवन प्रक्रिया के माध्यम से अभिप्राप्त कच्चे कोयले में अंतर्विष्ट मूल भव्म से निम्नतर भव्म अंतर्विष्ट है;
- (ii) 'कैप्टिव ताप फुर्जा संयंत्र' से ऐसा ताप फुर्जा संयंत्र अभिप्रेत हैं जो किसी उद्योग द्वारा उसके अनन्य उपयोग के लिए विद्युत सृजन के लिए स्थापित किया गया है;
- (iii) 'अत्यधिक प्रदूषित औद्योगिक क्षेत्र' से ऐसा औद्योगिक समूह या क्षेत्र अभिप्रेत है, जहां प्रदूषण गंभीर स्तर पर पहुंच चुका है या पहुंच जाने की संभावना है और जिसकी केन्द्रीय सरकार या राज्य सरकार या केन्द्रीय प्रदूषण नियंत्रण बोर्ड या राज्य प्रदूषण नियंत्रण बोर्ड द्वारा ऐसे क्षेत्र के रूप में पहचान की जा चुकी है;
- (iv) 'पारिस्थितिकीय रूप से संवेदनशील क्षेत्र' से, ऐसा क्षेत्र अभिप्रेत है, जहां पारिस्थितिकीय संतुलन आसानी से विक्षुब्ध होने की संमावना है और जिसकी केन्द्रीय संस्कार द्वारा पहचान की गई है और उसे अधिसूचित किया गया है;
- (y) 'प्रतिष्ठापित क्षमता' की संगणना किसी सीमा के भीतर सभी इकाईयों की व्यष्टिक क्षमता को जोड़कर की जाएगी;
- (vi) 'गर्तमुख विद्युत संयंत्र' से कोई कंपटिव या एकल विद्युत केन्द्र अभिप्रेत है जिसका खनन क्षेत्र से लदाई बिन्दु तक विद्युत केन्द्र में सामान्य लोक परिवहन प्रणाली का उपयोग किए बिना विद्युत केन्द्र में कोयले के परिवहन के लिए उसके अनन्य उपयोग के लिए कंपटिव परिवहन प्रणाली है:
- (vii) 'एकल ताम विद्युत संयंत्र' से ऐसा विद्युत संयंत्र अभिप्रेत है जिसकी स्थापना विद्युत ग्रिङ में विद्युत आपूर्ति के लिए विद्युत के सृजन के लिए या उन अवस्थानों पर जिन्हें विद्युत वितरण प्रणाली के साथ सुसज्जित नहीं किया गया है, विद्युत आपूर्ति के लिए की गई है; और
- (viii) 'शहरी क्षेत्र' से ऐसे शहर की क्षेत्र सीमा अभिग्रेत जिसकी जनसंख्या नवीनतम जनगणना के अनुसार दस लाख से अधिक हो ।'।

[फा. सं. क्यू-15017/11/2011-सी.पी.डब्ल्यू.]

्सुशील कुमार, अपर सचिव

टिप्पण.—मूल नियम मारत के राजपन्न में सं. का. आ. 844(अ), तारीख 19 नवम्बर, 1986 द्वारा प्रकाशित किए गए थे और पश्चात्वर्ती संशोधन सं. का.आ. 82(अ), तारीख 16 फरवरी, 1987; का.आ. 64(अ), तारीख 18 जनवरी, 1988;



सा.का.नि., 931(अ), तारीख 27 अक्तूबर, 1989; का.आ. 23 (अ), तारीख 16 जनवरी, 1991; सा.का.नि. 95(अ), तारीख 12 फरवरी, 1992; सा.का.नि. 329(अ), तारीख 13 मार्च, 1992; सा.का.नि. 562(अ), तारीख 27 मई, 1992; सा.का. नि. 884(अ), तारीख 20 नवम्बर, 1992; सा.का.नि. 386(अ), तारीख 22 अप्रैल, 1993; सा.का.नि. 422(अ), तारीख 19 मई, 1993; सा.का.नि. 801(अ), तारीख 31 दिसंबर, 1993; सा.का.नि. 320(अ), तारीख 16 मार्च, 1994; सा.का.नि. 560(अ), तारीख 19 सितंबर, 1997; सा.का.नि. 378(अ), तारीख 30 जून, 1998; सा.का.नि. 07(अ), तारीख 22 दिसंबर 1998; सा.का.नि. 407(अ), तारीख 31 मई, 2001; और सा.का.नि. 826(अ), तारीख 16 नवंबर, 2009 और

# MINISTRY OF ENVIRONMENT AND FORESTS NOTIFICATION

New Delhi, the 2nd January, 2014

G.S.R. 02(E).—Whereas the Central Government had, in exercise of its powers under sub-section (2) of Section 3 and Section 25 of the Environment (Protection) Act, 1986 (29 of 1986), read with rule 5 of the Environment (Protection) Rules, 1986, published draft rules further to amend the Environment (Protection) Rules, 1986, in the Gazette of India, Extraordinary, vide number G.S.R. 552(E), dated 11th July, 2012 for information of all persons and organizations likely to be affected thereby; and notice was given that the said draft rules would be taken into consideration by the Central Government on or after the expiry of a period of sixty days from the date on which copies of the Gazette containing this notification are made available to the public;

And whereas, the copies of the said Gazette notification were made available to the public on the 31st July, 2012;

And whereas, the suggestions or objections received in response to the above mentioned draft rules have been duly considered by the Central Government;

Now, therefore, in exercise of the powers conferred by Section 3, Section 6 and Section 25 of the Environment (Protection) Act, 1986 (29 of 1986) read with rule 5 of the Environment (Protection) Rules, 1986, the Central Government hereby makes the following rules further to amend the Environment (Protection) Rules, 1986, namely:-

- (1) These rules may be called the Environment (Protection) Amendment Rules, 2014.
  - (2) They shall come into force on the date of their publication in the Official Gazette.
- 2. In the Environment (Protection) Rules, 1986, in rule 3, for sub-rule (8), the following sub-rule shall be substituted, namely :-
  - "(8) With effect from the date specified hereunder, the following coal based thermal power plants shall be supplied with, and shall use, raw or blended or beneficiated coal with ash coment not exceeding thirty-four
  - (a) a stand-alone thermal power plant (of any capacity), or a captive thermal power plant of installed capacity of 100 MW or above, located beyond 1000 kilometres from the pit-head or, in an urban area or an ecologically sensitive area or a critically polluted industrial area, irrespective of its distance from the pit-head, except a
  - (b) a stand-alone thermal power plant (of any capacity), or a captive thermal power plant of installed capacity of 100 MW or above, located between 750 - 1000 kilometres from the pit-head, with effect from the 1st day of
  - (c) a stand-alone thermal power plant (of any capacity), or a captive thermal power plant of installed capacity of 100 MW or above, located between 500-749 kilometres from the pit-head, with effect from the 5th day of

Provided that in respect of a thermal power plant using Circulating Fluidised Bed Combustion or Atmosphere Fluidised Bed Combustion or Pressurized Pluidised Bed Combustion or Integrated Gasification Combined Cycle technologies or any other clean technologies as may be notified by the Central Government in the Official Gazette, the provisions of clauses (a), (b) and (c) shall not be applicable.

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#### Explanation: For the purpose of this rule,-

- (i) 'beneficiated coal' means coal containing higher calorific value but lower ash than the original ash content
  in the raw coal obtained through physical separation or washing process;
- (ii) 'captive thermal power plant' means a power plant which is set up by an industry to generate electricity for its exclusive use;
- (iii) 'critically polluted industrial area' means an industrial cluster or area where pollution levels have reached or likely to reach critical level, and has been identified as such by the Central Government or the State Government or the Central Pollution Control Board or a State Pollution Control Board;
- (iv) 'ecologically sensitive area' means an area whose ecological balance is prone to be easily disturbed and has been identified and notified by the Central Government;
- (v) 'installed capacity' shall be calculated by adding, individual capacity of all units within a boundary;
- (vi) 'pit-head power plant' means any captive or stand-alone power station having captive transportation system for its exclusive use for transportation of coal from the loading point at the mining end, up to the uploading point at the power station without using the normal public transportation system;
- (vii) 'stand-alone thermal power plant' means a power plant which is set up to generate electricity for feeding to electricity grid or for locations that are nor fitted with an electricity distribution system; and
- (viii) 'urban area' means an area limit of a city having a population of more than one million according to the last census.",

[F. No. Q-15017/11/2011-CPW]

SUSHEEL KUMAR, Addi. Secy.

Note:—The Principal rules were published in the Gazette of India vide number S.O. 844(E), dated the 19th November, 1986 and subsequently amended vide numbers S.O. 82(E), dated 16th February, 1987; S.O. 64(E), dated 18th January, 1988; G.S.R. 931(E), dated 27th October, 1989; S.O. 23(E), dated 16th January, 1991; G.S.R. 95(E), dated 12th February, 1992; G.S.R. 329(E), dated 13th March, 1992; G.S.R. 562(E), dated 27th May, 1992; G.S.R. 884(E), dated 20th November, 1992; G.S.R. 386(E), dated 22nd April, 1993; G.S.R. 422(E), dated 19th May, 1993; G.S.R. 801(E), dated 31st December, 1993; G.S.R. 320(E), dated 16th March, 1994; G.S.R. 560(E), dated 19th September, 1997; G.S.R. 378(E), dated 30th June, 1998; G.S.R. 7(E), dated 22nd December, 1998; G.S.R. 407(E), dated 31st May, 2001; G.S.R. 826(E), dated 16th November, 2009 and G.S.R. 513(E), dated 28th June, 2012.



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### GOVERNMENT OF KARNATAKA

DEPARTMENT OF FACTORIES, BOILERS, INDUSTRIAL SAFETY & HEALTH

Phone No. 080 26531200 Fax No. 080-26531202 Directorate of Factories, Boilers, Industrial Safety & Feath, "Karmika Bhavana" 2 floor Near Benga Jou Dairy, I.T.L.compound, Barinergatta road, Bengalura-29

General Manager,
M/s NIPC Limited,
Kudgi Super Thermal Power Project,
Plot No. 9, Mallikarjure Nagr.
Monaguch Road, Bijanur 386 166

Sir.

Aum (PK)
Aum (PK)

Subject

Reference;;;

L. Your letter dated 03.05,2013

2. Proceedings of lask force commutee meeting held on 12.00 2013

3. Your reply mail dated 19,09,2013.

We are pleased to inform you that the Task Force Committee in its meeting held an 12 09 2013 has reviewed the presentation, documents, dotails of the safety security adopted, one and has comcurred as principle to issue the Site Clearance by the order of the establishment of super thermal power project for generating circulated power NSO MN at Near Rudwy village, Basavana bageward Latur, bijapur District.

The site clearance is issued subject to the following conditions:



to residue hedragen extrader bank with manuald sestem shall be adopted to the

- the safety check shall be prepared in storing, handling and usage of Hydracine and its holding capacity shall be limited to a minimum required quantity
- I the exclusive salety health and environment (SHE) department shall be formed under the direct control & supervision of the occupier. This department shall be supported by the security senior level qualified and competent executives with adequate field start.
- Work environment with special trust to fugitive emition, it radiation, noise level at
- 5. No building of structure shall be constructed with obtaining a prior approval of plans be Director. Department of Factories, Boilers, Industrial Safety and Health.
- 7. The pre and periodical medical examination shall be carried out to all the category of employees including contract and casual. The medical surveillance shall be carried out by creating a base line health data and shall have the provision for up-dating the same and continuous basis.

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- Constitute shall be the contained in the seast experiency plans for some shall be submitted for scruding and appreciate
- One processions of safe for a 25 that Evaleting and Other Construction thankers (Regulation) of implementation and considering at services (Regulation) and companied to ensure a reproduction safety and in althoration construction analters metalesed project. The compliante shall be complianted to a probability of the formation and to the Parenter of the terms. In the terms in the first terms that the terms the terms to the first terms.

#### Suggestions

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- 4. The unitary stable adopt substituting system at least cacumic according the limit of a substitution of a substitution

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# Govt Ut Karnataka Department Of Factories, Boilers, Industrial Security And Health

Office of the Director Karmika Bhawana, II floor, Bannerghatta Road, Bengaluru-29, Date: 13.04.2016

Proceedings of the Department of Factories, Boilers, Industrial Security and Health

Read with: Sec 6(1) of Factories Act 1948 and Rule 3 of Karnataka Factories Rules, 1969

Sub: Approval of factory drawings in respect of M/s. Kudgi Super Thermal Power Project (NTPC Limited) as per Factories Act 1948 - Reg.

Ref: 1) Application Form 1 dated 27.01.2016

- 2) Site Inspection dated 05.02.2016
- 3) Final Scrutiny dated 07.04.2016

The maps of M/s NTPC Limited, Kudgi Super Thermal Power Project, Vijayapura have been scrutinized as per the Factories Act 1948 and the Rules framed and conceived there under and the blue prints of the factory's buildings and machinery layouts have been approved subject to the conformity of all provisions conceived as per Factories Act 1948 concerned and clause 3(4) of Karnataka Factories Rules, 1969 and also conformity of following conditions:

- 1. To modify the use of hazardous chlorine chemical to minimum hazardous chlorine chemical and to strictly comply with all the conditions laid down in the letter as per the condition of this office letter no. CSMC/TFC/CR-13/2013-14 Date 23.09.2013.
- 2. To get those buildings and machinery layout maps approved which are not approved earlier or the maps involving modifications. Such maps should be submitted for approval.
- 3. Before starting use of all the buildings and structures of the factory, authentication certification should be separately obtained as per Form 1A from authorized Civil Engineers and submitted to the Field Officer. Then only these should be used.

Ninety nine maps as approved are sent enclosed herewith. Kindly acknowledge.

Director of Factories & Boilers. Bengaluru

To. The Occupier, M/s. Kudgi Super Thermal Power Project NTPC Limited Kudgi, Taluka: Basavana Bagewadi, Dist.: Vijayapura

for knd information please. The THIII

# ಕರ್ನಾಟಕ ಸರ್ಕಾರ ಕಾರ್ಖಾನೆಗಳು, ಬಾಯ್ಲರುಗಳು, ಕೈಗಾರಿಕಾ ಸುರಕ್ಷತೆ ಮತ್ತು ಸ್ವಾಸ್ಥ್ಯ ಇಲಾಖೆ

ಸಂಖ್ಯೆ: ಕಾಬಾನಿ/ಎಫ್ಫ್ಎಲ್/ಎಫ್ಪ್ಎನ್/ಸಿಆರ್-167/2015-16 ನಿರ್ದೇಶಕರ ಕಾರ್ಯಾಲಯ, ಕಾರ್ಮಿಕ ಭವನ, 2ನೇ ಮಹಡಿ, ಬನ್ನೇರುಘಟ್ಟ ರಸ್ತೆ ಬೆಂಗಳೂರು-29, ದಿನಾಂಕ: 13.04.2016

ನಿರ್ದೇಶಕರು, ಕಾರ್ಖಾನೆಗಳು, ಬಾಯ್ಲರುಗಳು, ಕೈಗಾರಿಕಾ ಸುರಕ್ಷತೆ ಮತ್ತು ಸ್ವಾಸ್ಥ ಇಲಾಖೆ, ಬೆಂಗಳೂರು ಇವರ ನಡವಳಿಗಳು

ಓದಿದೆ: ಕಾರ್ಖಾನೆಗಳ ಕಾಯ್ದೆ 1948ರ ಸೆಕ್ಷನ್ 6(1) ಹಾಗೂ ಕರ್ನಾಟಕ ಕಾರ್ಖಾನೆಗಳ ನಿಯಮಾವಳಿಗಳು, 1969ರ 3ನೇ ನಿಯಮ.

ವಿಷಯ: ಕಾರ್ಖಾನೆಗಳ ಕಾಯ್ದೆ 1948ರ ಮೇರೆಗೆ ಮೆ೩ ಕೂಡಗಿ ಸೂಪರ್ ಥರ್ಮಲ್ ಪವರ್ ಪ್ರಾಜೆಕ್ಟ್ (ಎನ್.ಟಿ.ಪಿ.ಸಿ.ಲಿಮಿಟೆಡ್), ವಿಜಯಪುರ ಈ ಕಾರ್ಖಾನೆಯ ನಕ್ಷೆಗಳನ್ನು ಅನುಮೋದಿಸುವ ಕುರಿತು.

ಉಲ್ಲೇಖ : 1) ಅರ್ಜಿ ನಮೂನೆ–1ರ ದಿನಾಂಕ : 27.01.2016.

2) ಸ್ಥಳ ಪರಿವೀಕ್ಷಣೆಯ ದಿನಾಂಕ : 05.02.2016.
 3) ಅಂತಿಮ ಪರಿಶೋಧನೆಯ ದಿನಾಂಕ : 07.04.2016.

ಮೆ ಕೂಡಗೆ ಸೂಪರ್ ಫರ್ಮಲ್ ಪವರ್ ಪ್ರಾಜೆಕ್ಟ್ (ಎನ್.ಟಿ.ಪಿ.ಸಿ.ಲಿಮಿಟೆಡ್), ವಿಜಯಪುರ ಈ ಕಾರ್ಖಾನೆಯ ನಕ್ಷೆಗಳು ಕಾರ್ಖಾನೆಗಳ ಕಾಯ್ದೆ 1948ರ ಹಾಗೂ ಅದರಿಂದ ರೂಪಿತವಾದ ನಿಯಮಗಳಲ್ಲಿ ಕಲ್ಪಿಸಿರುವ ಅವಕಾಶಗಳಿಗನುಸಾರವಾಗಿ ಪರಿಶೋಧಿಸಿದ ಮೇಲೆ ಸದರಿ ಕಾರ್ಖಾನೆಗೆ ಸಂಬಂಧಪಟ್ಟ ಕಟ್ಟಡ ಹಾಗೂ ಯಂತ್ರ ವಿನ್ಯಾಸ ಇವುಗಳ ನೀಲಿ ನಕ್ಷೆಗಳು ಅಗತ್ಯವಿರುವ ಕಾರ್ಖಾನೆಗಳು ಕಾಯ್ದೆ 1948ರ ಹಾಗೂ ಕರ್ನಾಟಕ ಕಾರ್ಖಾನೆಗಳ ನಿಯಮಾವಳಿಗಳು 1969ರ 3(4) ನೇ ನಿಯಮಕ್ಕನುಸಾರವಾಗಿ ಕಲ್ಪಿಸಿರುವ ಎಲ್ಲಾ ಅವಕಾಶಗಳು ಮತ್ತು ಈ ಕೆಳಕಂಡ ಷರತ್ತುಗಳ ಅನುವರ್ತನೆಗೊಳಪಟ್ಟು ಅನುಮೋದಿತವಾಗಿವೆ.

- 1. ಇದೇ ಕಛೇರಿಯ ಪತ್ರ ಸಂಖ್ಯೆ: ಸಿಎಸ್ಎಂಸಿ/ಟಿಎಫ್ಸ್/ಸಿಆರ್-13/2013-14, ದಿ: 23.09.2013 ರಂತೆ ವಿಧಿಸಿರುವ ಷರತ್ತಿನಂತೆ ಅಪಾಯಕಾರಿ ಕ್ಲೋರಿನ್ ರಾಸಾಯನಿಕದ ಉಪಯೋಗವನ್ನು ಕನಿಷ್ಟ ಅಪಾಯಕಾರಿ ರಾಸಾಯನಿಕಕ್ಕೆ ಬದಲಾಯಿಸುವುದು ಮತ್ತು ಇತರೆ ಸದರಿ ಪತ್ರದಲ್ಲಿ ಸೂಚಿಸಿರುವ ಎಲ್ಲಾ ಷರತ್ತುಗಳನ್ನು ಕಟ್ಟುನಿಟ್ಟಾಗಿ ಪಾಲಿಸುವುದು.
- 2. ಹಾಲಿ ಇಲಾಖೆಯಿಂದ ಅನುಮೋದನೆಗೊಂಡಿರುವ ಎಲ್ಲಾ ಕಟ್ಟಡ ಮತ್ತು ಯಂತ್ರ ವಿನ್ಯಾಸ ನಕ್ಷೆಗಳನ್ನು ಕ್ರೋಡೀಕರಿಸಿ ಯಾವುದೇ ಕಟ್ಟಡ ಮತ್ತು ಯಂತ್ರ ವಿನ್ಯಾಸ ಅನುಮೋದನೆಗೊಳ್ಳದಿದ್ದಲ್ಲಿ ಅಥವಾ ಬದಲಾವಣೆಗಳಿದ್ದಲ್ಲಿ ಅವುಗಳಿಗೆ ಸಂಬಂಧಿಸಿದಂತೆ ನಕ್ಷೆಗಳನ್ನು ಸಲ್ಲಿಸಿ ಅನುಮೋದನೆ ಪಡೆಯುವುದು.
- 3. ಕಾರ್ಖಾನೆಯಲ್ಲಿನ ಎಲ್ಲಾ ಕಟ್ಟಡ ಮತ್ತು ಸ್ಟ್ರಕ್ಟರ್ಗಳನ್ನು ಉಪಯೋಗಕ್ಕೆ ತೆಗೆದುಕೊಳ್ಳುವ ಪೂರ್ವದಲ್ಲಿ ದೃಢೀಕೃತ ಸಿವಿಲ್ ಇಂಜಿನಿಯರ್ಗಳಿಂದ ದೃಢೀಕರಣ ಪ್ರಮಾಣ ಪತ್ರಗಳನ್ನು ನಮೂನೆ–1ಎ ನಂತೆ ಪ್ರತ್ಯೇಕವಾಗಿ ಪಡೆದು ಕ್ಷೇತ್ರಾಧಿಕಾರಿಗಳಿಗೆ ಸಲ್ಲಿಸಿ, ನಂತರವೇ ಉಪಯೋಗಕ್ಕೆ ತೆಗೆದುಕೊಳ್ಳುವುದು.

